# CMD<sup>®</sup> Studies and Special Lecture: Path Integral Simulations

Motoyuki Shiga (Japan Atomic Energy Agency)

> CMD Workshop 2020 September 4, 2020, online

## Self-Introduction:

#### Development and Applications of Ab Initio Simulations



aqueous solutions

### Self-Introduction: Software Development

**PIMD** - an open-source software for parallel molecular simulations

Language: MPI Fortran 90 (300,000 lines)

License: Apache 2.0 (for anyone, free of charge)

URL: https://ccse.jaea.go.jp/software/PIMD/index.en.html

Manual: <a href="https://ccse.jaea.go.jp/software/PIMD/doc/manual.pdf">https://ccse.jaea.go.jp/software/PIMD/doc/manual.pdf</a> (235 pages)

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Your contribution is also welcome!



#### **Simulation methods**

- **Statics:** Geometry optimization, phonon calculations
- Reaction paths: string method, steepest descent, gentlest ascent method
- **Classical mechanics:** molecular dynamics (MD), hybrid Monte Carlo
- **Classical statistics:** replica exchange method
- Quantum statistics: path integral MD
- Semiclassical dynamics: centroid and ring polymer MD
- Nonadiabatic dynamics: surface hopping, mean field dynamics
- Free energies: metadyamics, constrained MD, mean force dynamics

#### **Statistical ensembles**

 constant energy (NVE), constant temperature (NVT), constant pressure (NPT), constant stress (NtT)

#### **Potentials**

- Ab initio quantum chemistry: SMASH (HF, B3LYP, MP2)
- Classical force fields: AMBER, CHARMM, OPLS, EAM
- Interface with other codes (ab initio):
   CP2K, GAMESS, GAUSSIAN, MOLPRO, NTCHEM, ORCA,
   QUANTUM ESPRESSO, TURBOMOLE, VASP
- Interface with other codes (semiempirical): DFTB+, MOPAC
- Multiscale methods: ON/OM, QM/MM
- Machine learning potentials: *AENET*
- A subroutine intended for user-defined potentials.

#### **Boundary conditions**

free boundary, periodic boundary (cubic cell, parallel-piped cell)

#### PHYSICAL REVIEW B 102, 041124(R) (2020)

**Rapid Communications** 

#### Self-learning hybrid Monte Carlo: A first-principles approach

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#### Estimated elapsed time for mean-squared displacement

pubs.acs.org/JPCB

Article

# Understanding Competition of Polyalcohol Dehydration Reactions in Hot Water

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# **Quantum Simulations**

Quantum systems/phenomena	Methods	
Electronic structures	First principles/ab initio methods	
Quantum statistics, Semiclassical dynamics	Path integral simulations	
Vibrational energy levels	Vibrational SCF	
Nonadiabatic Dynamics	Surface hopping, mean field dynamics	
Quantum wave packet dynamics	DVR method, MCTDH method	
Ground state of strongly correlated systems	Variational/Diffusion Monte Carlo	

#### Ab initio path integral simulations

Electronic structure calculations – Born-Oppenheimer approximation:

Schroedinger Eq. for electron-nucleus system with electrostatic interaction

 $H_{\mathbf{R}}(\hat{\mathbf{r}}, \hat{\mathbf{p}}) \Psi_{\mathbf{R}}(\mathbf{r}) = E_{\mathbf{R}} \Psi_{\mathbf{R}}(\mathbf{r}) \qquad \mathbf{R}: \text{nuclei} \dots \text{fixed}$  $\Psi_{\mathbf{R}}: \text{electronic ground state} \qquad \mathbf{r}, \mathbf{p}: \text{electrons}$ 

Ab initio molecular dynamics – classical trajectory:

 $M\ddot{\mathbf{R}} = -\nabla V(\mathbf{R})$  where  $V(\mathbf{R}) = E_{\mathbf{R}}$ 

Nuclear quantum effects (beyond AIMD):

 $H(\widehat{\mathbf{R}}, \widehat{\mathbf{P}})\varphi_k(\mathbf{R}) = E_k \varphi_k(\mathbf{R}) \quad \text{where} \quad H(\widehat{\mathbf{R}}, \widehat{\mathbf{P}}) = \frac{\widehat{\mathbf{P}^2}}{2M} + V(\widehat{\mathbf{R}})$  $\varphi_k : \text{rovibrational state}$ 

(However, NQE will be solved here in a different manner, via path integrals)

### Nuclear Quantum Effects

**Experiment** Hale and Querry, *Appl. Opt.* **12**, 555 (1973).

#### IR absorption spectrum of water



#### Most vibrational modes have quantum nature

### **Nuclear Quantum Effects**



Classical averages

$$\langle A \rangle = \frac{\int A(R) \exp(-\beta V) dR}{\int \exp(-\beta V) dR}$$

mass-independent

**Classical energy** 

 $\langle H \rangle = \frac{3N}{2\beta} + \langle V \rangle$ (principle of equipartition)

mass-independent

Classical free energy  $F_{B} - F_{A} = -\beta^{-1} \log \frac{\int \exp(-\beta V_{B}) dR}{\int \exp(-\beta V_{A}) dR}$ 

mass-independent

Quantum averages

$$\langle A \rangle = \frac{\operatorname{Tr}(\hat{A}\exp(-\beta\hat{H}))}{\operatorname{Tr}(\exp(-\beta\hat{H}))}$$

mass-dependent

Quantum energy  $\langle H \rangle = \left\langle \frac{\hat{P}^2}{2M} \right\rangle + \left\langle \hat{V} \right\rangle$ 

mass-dependent

Quantum free energy  $F_{B} - F_{A} = -\beta^{-1} \log \frac{\operatorname{Tr}\left(\exp\left(-\beta \hat{H}_{A}\right)\right)}{\operatorname{Tr}\left(\exp\left(-\beta \hat{H}_{B}\right)\right)}$ 

mass-dependent

#### Isotope effects on thermal properties are mainly from nuclear quantum effects

Quantum mechanics: Variables are expressed as operators

Position  $r \longrightarrow$  Position operator  $\hat{r}$ Momentum  $p \longrightarrow$  Momentum operator  $\hat{p} = -i\hbar \frac{\partial}{\partial r}$ Hamiltonian operator  $\hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{r}) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial r^2} + V(\hat{r})$ 

When *A* is a function of position

$$A(\hat{r})|r\rangle = A(r)|r\rangle$$

When *A* is a function of momentum

$$A(\hat{p})|p\rangle = A(p)|p\rangle$$

Closure relation for orthonormal basis functions:

$$\sum_{i} \left| \phi_{i} \right\rangle \left\langle \phi_{i} \right| = 1$$

*r*-representation

$$\int |r\rangle \langle r|dr = 1$$

*p*-representation

$$\frac{1}{2\pi\hbar} \int |p\rangle \langle p|dp = 1$$

*p*-basis functions are plane waves

$$\langle p | r \rangle = \exp\left(-\frac{ipr}{\hbar}\right), \quad \langle r | p \rangle = \exp\left(\frac{ipr}{\hbar}\right), \quad \langle r | r' \rangle = \delta(r - r)$$

Time-dependent Schrödinger equation

$$i\hbar\frac{\partial}{\partial t}\left|\Psi\right\rangle = \hat{H}\left|\Psi\right\rangle$$

The formal solution is

$$|\Psi(t)\rangle = \exp\left(-\frac{i\hat{H}t}{\hbar}\right)|\Psi(0)\rangle$$

Time evolution operator

The matrix element in *r*-representation

$$G(r, r'; t) = \langle r' | \exp\left(-\frac{i\hat{H}t}{\hbar}\right) | r \rangle$$

"Propagator" or "Green function"

The formal solution

on  

$$|\Psi(t)\rangle = \exp\left(-\frac{i\hat{H}t}{\hbar}\right)|\Psi(0)\rangle$$

Using the closure relation

$$\langle r' | \Psi(t) \rangle = \int \langle r' | \exp\left(-\frac{i\hat{H}t}{\hbar}\right) r \rangle \langle r | \Psi(0) \rangle dr$$

Therefore

$$\Psi(r',t) = \int G(r,r';t)\Psi(r,0)dr$$

G has the full information:  $\Psi$  is obtained as a time-space function

G(r'',r';t) is equal to  $\Psi(r',t)$  when  $\Psi(r,0) = \delta(r-r'')$ 

For a Hamiltonian operator of single particle

$$\hat{H} = \hat{T} + \hat{V} = \frac{\hat{p}^2}{2m} + V(\hat{r})$$

The propagator can be expressed as (Feynman, 1965)

$$G(r',r;t) = \lim_{P \to \infty} \left(\frac{m}{2\pi i\hbar\Delta t}\right)^{\frac{P}{2}} \int dr_2 \int dr_3 \dots \int dr_P \exp(iS[r]/\hbar)$$

where we defined

$$\Delta t = \frac{t}{P}, \quad r_1 = r, \quad r_{P+1} = r'$$

and the action

$$S[r] = \sum_{s=1}^{P} \left[ \frac{m}{2} \left( \frac{r_{s+1} - r_s}{\Delta t} \right)^2 - \frac{V(r_s) + V(r_{s+1})}{2} \right] \Delta t$$

$$G(r,r';t) = \langle r' | \exp\left(-\frac{i\hat{H}t}{\hbar}\right) | r \rangle$$

$$\exp\left(-\frac{i\hat{H}t}{\hbar}\right) = \left(\exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right)\right)^{P} = \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right) \times \dots \times \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right)$$

$$G(r,r';t) = \lim_{P \to \infty} \langle r' | \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right)^{P} | r \rangle$$

$$\Delta t = \frac{t}{P}$$

$$\int |r\rangle \langle r | dr = 1$$

$$G(r,r';t) = \lim_{P \to \infty} \int dr_2 \int dr_3 \dots \int dr_P \left\langle r' \right| \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right) \left| r_P \right\rangle \times \left\langle r_P \right| \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right) \left| r_{P-1} \right\rangle \times \dots \times \left\langle r_2 \right| \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right) \left| r \right\rangle$$

$$\begin{split} G(r,r';\Delta t) &= \langle r' | \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right) | r \rangle \approx \langle r' | \exp\left(-\frac{i\hat{V}\Delta t}{\hbar}\right) \exp\left(-\frac{i\hat{T}\Delta t}{\hbar}\right) \exp\left(-\frac{i\hat{V}\Delta t}{\hbar}\right) | r \rangle \\ &= \left(\frac{1}{2\pi\hbar}\right) \int dp \, \langle r' | \exp\left(-\frac{i\hat{V}\Delta t}{2\hbar}\right) | p \rangle \langle p | \exp\left(-\frac{i\hat{T}\Delta t}{\hbar}\right) \exp\left(-\frac{i\hat{V}\Delta t}{2\hbar}\right) | r \rangle \\ &= \left(\frac{1}{2\pi\hbar}\right) \int dp \, \exp\left(-\frac{i\hat{V}(r')\Delta t}{2\hbar}\right) \langle r' | p \rangle \exp\left(-\frac{i\Delta t}{\hbar}\left(\frac{p^2}{2m}\right)\right) \langle p | r \rangle \exp\left(-\frac{iV(r)\Delta t}{2\hbar}\right) \\ &\left(\langle r | p \rangle = \exp\left(\frac{ipr}{\hbar}\right) \\ &\left(\langle p | r \rangle = \exp\left(-\frac{ipr}{\hbar}\right)\right) \\ &= \left(\frac{1}{2\pi\hbar}\right) \exp\left(-\frac{i(V(r')+V(r))\Delta t}{2\hbar}\right) \int dp \, \exp\left(-\frac{i\Delta t}{\hbar}\left(\frac{p^2}{2m}\right)\right) \exp\left(-\frac{i}{\hbar}p(r'-r)\right) \\ &= \left(\frac{m}{2\pi\hbar i\Delta t}\right)^{\frac{1}{2}} \exp\left(-\frac{i}{\hbar}\left(\frac{m}{2}\left(\frac{r'-r}{\Delta t}\right)^2 - \frac{V(r')+V(r)}{2}\right) \Delta t\right) \\ &= \left(\frac{m}{2\pi\hbar i\Delta t}\right)^{\frac{1}{2}} \exp\left(-\frac{i}{\hbar} \times Lagrangian \times \Delta t\right) \\ \end{split}$$

$$G(r,r';t) \propto \lim_{P \to \infty} \int dr_2 \int dr_3 \dots \int dr_P \exp(iS(r_1,r_2,\dots,r_{P+1})/\hbar)$$



### Imaginary Time Path Integral Theory

**Quantum Statistical Mechanics** 

For Canonical Ensemble

Partition function

$$Z = \operatorname{Tr} \exp(-\beta \hat{H})$$
$$= \int \langle r | \exp(-\beta \hat{H}) | r \rangle dr$$
$$= \int G(r,r;-i\beta\hbar) dr$$
$$t \to -i\beta\hbar$$

**Statistical Average** 

$$\langle A(\hat{r}) \rangle = Z^{-1} \operatorname{Tr} A(\hat{r}) \exp\left(-\beta \hat{H}\right)$$
  
=  $Z^{-1} \int \langle r | A(\hat{r}) \exp\left(-\beta \hat{H}\right) r \rangle dr$   
=  $Z^{-1} \int A(r) \langle r | \exp\left(-\beta \hat{H}\right) r \rangle dr$   
=  $Z^{-1} \int A(r) G(r, r; -i\beta\hbar) dr$ 

Propagator in imaginary time  $G(r, r; -i\beta\hbar) = \langle r | \exp(-\beta\hat{H}) r \rangle$ 

#### Imaginary Time Path Integral Theory

$$G(r,r';-i\beta\hbar) \propto \lim_{P \to \infty} \int dr_2 \int dr_3 \dots \int dr_P \exp\left(-\beta V_{eff}\left(r_1,r_2,\cdots,r_P\right)\right)$$

Sum of all possible paths



### Path Integral Simulations

Imaginary time path integral theory - quantum statistical mechanics

Application to molecular systems: Chandler, Wolynes, J. Chem. Phys. 74, 4078 (1981).



Path integral molecular dynamics: MD sampling using forces on each bead Ab initio PIMD uses Born-Oppenheimer forces: Quantum many electron-nuclei systems

Marx, Parrinello, *J. Chem. Phys.* **104**, 4077, (1996). **CPMD** Shiga, Tachikawa, Miura, *J. Chem. Phys.* **115**, 9149 (2001) **BOMD** Shiga, "Path Integral Simulations", *Reference Module in Chemistry, Elsevier* (2018).

### Path Integral Simulations

Partition function of single-particle system

$$Z = \operatorname{Tr} \exp\left(-\beta \hat{H}\right)$$
 where  $\hat{H} = \hat{T} + \hat{V} = \frac{\hat{p}^2}{2M} + V(\hat{r})$ 

The path integral representation

$$Z = \lim_{P \to \infty} \left( \frac{MP}{2\pi\beta\hbar^2} \right)^{\frac{P}{2}} \int dR_1 \int dR_2 \dots \int dR_P \exp\left( -\beta \left( \sum_{s=1}^P \frac{M}{2} \omega_P^2 (R_s - R_{s-1})^2 + \frac{1}{P} \sum_{s=1}^P V(R_s) \right) \right) \text{ with } \omega_P \equiv \frac{\sqrt{P}}{\hbar\beta}$$

is isomorph to classical partition function of interacting <u>*P*-particle system</u>(ring polymer)

$$Q = N \int dR_1 \int dR_2 \dots \int dR_P \exp\left(-\beta V_{eff}(R_1, \dots, R_P)\right)$$

where the effective potential is  $V_{eff}(R_1, \dots, R_P) = \sum_{s=1}^P \frac{M}{2} \omega_P^2 (R_s - R_{s-1})^2 + \frac{1}{P} \sum_{s=1}^P V(R_s)$ 

Classical MD of *NP* particle system effective potential  $V_{eff}$   $\rightarrow$  Classical statistics of *NP* particle system  $\rightarrow$  Quantum statistics of *N* particle system

### Path Integral vs Wavefunction Calculations



### Bead Convergence



- Inversely proportional to temperature
- Proportional to the highest frequency in the system
- Independent of system size
- 16-32 beads at the ambient temperature for water



Shinoda, Shiga, *Phys. Rev. E* **71**, 041204 (2005).

### **Applications of Path Integral Simulations**



Water & Ice *PRE* **71**, 041204 (2005).



#### **Guanine-Cytosine**

H-bonds in biomoleules *CPL* **625**, 174 (2015).



Hydrogen in metals *JPCC* **116**, 23113 (2012).



 $N_2H_4$ 

Floppy molecules *JPCA* **113**, 1985 (2009).



NH<sub>4</sub><sup>+</sup> BeH<sub>2</sub>

Dihydrogen bonds *JCP* **125**, 204310 (2006).



**Ag<sup>+</sup> in He** Helium droplet *PCCP* **19**, 13798 (2017).

#### **Proton Transfer in Water**

REVIEWS

DOI: 10.1002/cphc.200600128

#### Proton Transfer 200 Years after von Grotthuss: Insights from Ab Initio Simulations\*\*

Dominik Marx\*<sup>[a]</sup>

In the last decade, ab initio simulations and especially Car–Parrinello molecular dynamics have significantly contributed to the improvement of our understanding of both the physical and chemical properties of water, ice, and hydrogen-bonded systems in general. At the heart of this family of in silico techniques lies the crucial idea of computing the many-body interactions by solving the electronic structure problem "on the fly" as the simulation proceeds, which circumvents the need for pre-parameterized potential models. In particular, the field of proton transfer in hydrogen-bonded networks greatly benefits from these technical advances. Here, several systems of seemingly quite different nature and of increasing complexity, such as Grotthuss diffusion in water, excited-state proton-transfer in solution, phase transitions in ice, and protonated water networks in the membrane protein bacteriorhodopsin, are discussed in the realms of a unifying viewpoint.





Marx, ChemPhysChem, 7, 1848 (2006)



#### No fixed shape due to large quantum fluctuations (zero-point vibrations / tunnel effects)

Tachikawa, Shiga, *J. Amer. Chem. Soc.* **127**, 11908 (2005). Suzuki, Tachikawa, Shiga, *J. Chem. Phys.* **138**, 184307 (2013).



Tachikawa, Shiga, J. Amer. Chem. Soc. 127, 11908 (2005).





Sugawara, Yoshikawa, Takayanagi, Shiga, Tachikawa. J. Phys. Chem. B. 115, 11486 (2009).

#### Liquid water at ambient condition



Machida, Kato, Shiga, J. Chem. Phys. 148, 102324 (2018).

### Liquid water at ambient condition

First-principles BOMD [BLYP-D2 functional, PAW, (H<sub>2</sub>O)<sub>64</sub>, periodic boundary]



Machida, Kato, Shiga, J. Chem. Phys. 148, 102324 (2018).

#### Liquid water at ambient condition

Evaporation energy [kcal/mol]	H <sub>2</sub> O	$D_2O$	T <sub>2</sub> O	
Classical MD	11.9	11.9	11.9	
Quantum PIMD	11.2	11.5	11.8	
Exptl	10.0	10.4		

cf. D<sub>2</sub>O m.p. 3.82 °C, b.p. 101.43 °C



Machida, Kato, Shiga, J. Chem. Phys. 148, 102324 (2018).





Kimizuka, Ogata, Shiga, *Phys. Rev. B* **97**, 014102 (2018) Kimizuka, Ogata, Shiga, *Phys. Rev. B* **100**, 024104 (2019).



#### **Quantum Transition State Theory:**

Gillan, Phys. Rev. Lett. 58, 563 (1987).

free energy calculations from ring polymer



f cent : force on the ring polymer centroids



Kimizuka, Ogata, Shiga, *Phys. Rev. B* **97**, 014102 (2018) Kimizuka, Ogata, Shiga, *Phys. Rev. B* **100**, 024104 (2019).



Kimizuka, Ogata, Shiga, Phys. Rev. B 97, 014102 (2018)



Kimizuka, Ogata, Shiga, *Phys. Rev. B* **97**, 014102 (2018)



*cf*: Nanoparticle expt. by Kofu, Yamamuro, et al., *Phys. Rev. B* **94**, 064303 (2016) Kimizuka, Ogata, Shiga, *Phys. Rev. B* **97**, 014102 (2018)





cf: low temperature expt by Fukutani's group

Kimizuka, Ogata, Shiga, *Phys. Rev. B* **100**, 024104 (2019).

### Hydrogen adsorption on Pt(111) surface

# H distribution

Full coverage

Pt-H distribution



Yan, Yamamoto, Shiga, Sugino, Phys. Rev. B 101, 165414 (2020).

### Low Temperature Helium Droplet

#### $He_{300}$ cluster at 0.5 K



Suzuki, Miyazaki, Takayanagi, Shiga, Phys. Chem. Chem. Phys. 20, 26489 (2018).

### Low Temperature Helium Droplet





Suzuki, Miyazaki, Takayanagi, Shiga, Phys. Chem. Chem. Phys. 20, 26489 (2018).

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**Hydrogenomics**