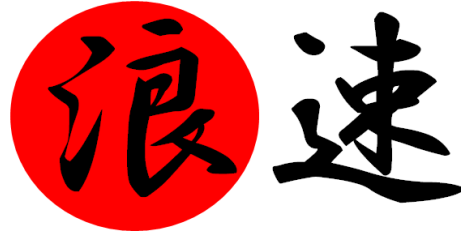


The fastest wave



Naniwa

中西 寛<sup>AB</sup>, Wilson Dino<sup>C</sup>, 笠井秀明<sup>AD</sup>  
Hiroshi Nakanishi<sup>AB</sup>, Wilson Dino<sup>C</sup>, Hideaki Kasai<sup>AD</sup>

<sup>A</sup>明石工業高等専門学校

<sup>B</sup>東京大学生産技術研究所

<sup>C</sup>大阪大学大学院工学研究科

<sup>D</sup>大阪大学

<sup>A</sup> National Institute of Technology, Akashi College

<sup>B</sup> Institute of Industrial Science (IIS), the University of Tokyo

<sup>C</sup> Graduate School of Engineering, Osaka University

<sup>D</sup> Osaka University

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- [1] Hiroshi Nakanishi, 2016. Ch.6 Quantum adsorption states of small mass atoms on solid surfaces. In: H. Kasai, M. C. S. Escaño, ed. Physics of Surface, Interface and Cluster Catalysis. Bristol, UK, IOP Publishing.
- [2] Hiroshi Nakanishi, Quantum States of the Hydrogen Isotope in Solid Materials and on Their Surfaces", J. Comput. Chem. Jpn., Vol. 15, No. 5, pp. 124–135 (2016).

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Naniwa formulation  
from total Hamiltonian  
(ab initio)

# Hamiltonian

$$H = \sum_{I=1}^{N_{\text{nc.}}} \left( -\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + \sum_{i=1}^{n_e} \left( -\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R})$$

$m_e, M_I$  : masses of electron and nucleus  $I$

$n_e, N_{\text{nc.}}$  : numbers of electron and nucleus

## Interactions

$$V(\mathbf{r}, \mathbf{R}) = \sum_{i=1}^{n_e} \sum_{I=1}^{N_{\text{nc.}}} \frac{-Z_I e^2}{|r_i - R_I|} + \sum_{i=1}^{n_e} \sum_{j=1}^{i-1} \frac{e^2}{|r_j - r_i|} + \sum_{I=1}^{N_{\text{nc.}}} \sum_{J=1}^{I-1} \frac{Z_J Z_I e^2}{|R_J - R_I|}$$

$Z_I$  : atomic number of nucleus  $I$

$e$  : elementary charge

## Particle position vectors

$$\mathbf{r} = (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots, \mathbf{r}_i, \dots, \mathbf{r}_{n_e})$$

$$\mathbf{R} = (\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_I, \dots, \mathbf{R}_{N_{\text{nc.}}}) \quad 3(n_e + N_{\text{nc.}})$$

# Schrödinger equation

$$H\Psi(\mathbf{r}, \mathbf{R}) = E\Psi(\mathbf{r}, \mathbf{R})$$

## Born-Oppenheimer approximation



$$\Psi_{n,\omega}(\mathbf{r}, \mathbf{R}) = \psi_{n;\mathbf{R}}(\mathbf{r}) \cdot \phi_{\omega;n}(\mathbf{R})$$

$\psi_{n;\mathbf{R}}(\mathbf{r})$  : the  $n$ -th electron wave function  
in the case of the fixed nucleus position  $\mathbf{R}$ .

$\phi_{\omega;n}(\mathbf{R})$  : the  $\omega$ -th nucleus motion wave function  
in the case of the electron state  $n$ .

Equation for electron state:

$$\left[ \sum_{i=1}^{n_e} \left( -\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R}) \right] \psi_{n;\mathbf{R}}(\mathbf{r}) = U_n(\mathbf{R}) \psi_{n;\mathbf{R}}(\mathbf{r}) \quad \dots \quad (*)$$

Equation for nucleus motion:

$$\left[ \sum_{I=1}^{N_{\text{nc}}} \left( -\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + U_n(\mathbf{R}) \right] \phi_{\omega;n}(\mathbf{R}) = E_{\omega;n} \phi_{\omega;n}(\mathbf{R}) \quad \dots \quad (**)$$

$$\mathbf{r} = (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots, \mathbf{r}_i, \dots, \mathbf{r}_{n_e})$$
$$\mathbf{R} = (\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_I, \dots, \mathbf{R}_{N_{\text{nc}}})$$

Equation for electron state:

$$\left[ \sum_{i=1}^{n_e} \left( -\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R}) \right] \psi_{n;\mathbf{R}}(\mathbf{r}) = U_n(\mathbf{R}) \psi_{n;\mathbf{R}}(\mathbf{r}) \quad \dots \quad (*)$$

For fixed  $\mathbf{R}$ , we can obtain the eigen energies,  $U_n(\mathbf{R})$ , and eigenstates,  $\psi_{n;\mathbf{R}}(\mathbf{r})$  with the aid of the conventional first principles (*electron states*) calculation.

The eigenenergies,  $U_n(\mathbf{R})$ , as a function of  $\mathbf{R}$  can be consider as the adiabatic potential energy surface for nucleus motion.

Equation for nucleus motion:

$$\left[ \sum_{I=1}^{N_{\text{nc}}} \left( -\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + U_n(\mathbf{R}) \right] \phi_{\omega;n}(\mathbf{R}) = E_{\omega;n} \phi_{\omega;n}(\mathbf{R}) \quad \dots \quad (**)$$

The eigen energy,  $E_{\omega,n}$ , corresponds to the total energy,  $E$ , appeared in the Schrödinger equation for total system:  $H\Psi(\mathbf{r}, \mathbf{R}) = E\Psi(\mathbf{r}, \mathbf{R})$

quantum numbers

$\omega$  : index of quantum state for nucleus motion

$n$  : index of quantum state for electron system



Equation for electron state:

$$\left[ \sum_{i=1}^{n_e} \left( -\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R}) \right] \psi_{n;\mathbf{R}}(\mathbf{r}) = U_n(\mathbf{R}) \psi_{n;\mathbf{R}}(\mathbf{r}) \quad \dots \quad (*)$$

Equation for nucleus motion:

$$\left[ \sum_{I=1}^{N_{\text{nc.}}} \left( -\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + U_n(\mathbf{R}) \right] \phi_{\omega;n}(\mathbf{R}) = E_{\omega;n} \phi_{\omega;n}(\mathbf{R}) \quad \dots \quad (**)$$

$$\mathbf{r} = (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots, \mathbf{r}_i, \dots, \mathbf{r}_{n_e})$$
$$\mathbf{R} = (\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_I, \dots, \mathbf{R}_{N_{\text{nc.}}})$$

# Our quantum simulation scheme: Naniwa

Interactions between nucleus is calculated by DFT based first principle calculations

... (\*)

Potential energy for nucleus motions:  $U_n(\mathbf{R})$

Solve the Schrödinger equation for nucleus motion ... (\*\*)

Wave function for nucleus motion → Derive the various physical quantities

A periodic table of elements with Pt (Platinum) and H (Hydrogen) highlighted. Pt is in the 6th period, 10th group, and H is in the 1st period, 1st group. The table shows groups 1-18 and periods 1-7. Pt is highlighted in pink and H is highlighted in blue.

78 Pt 195.078

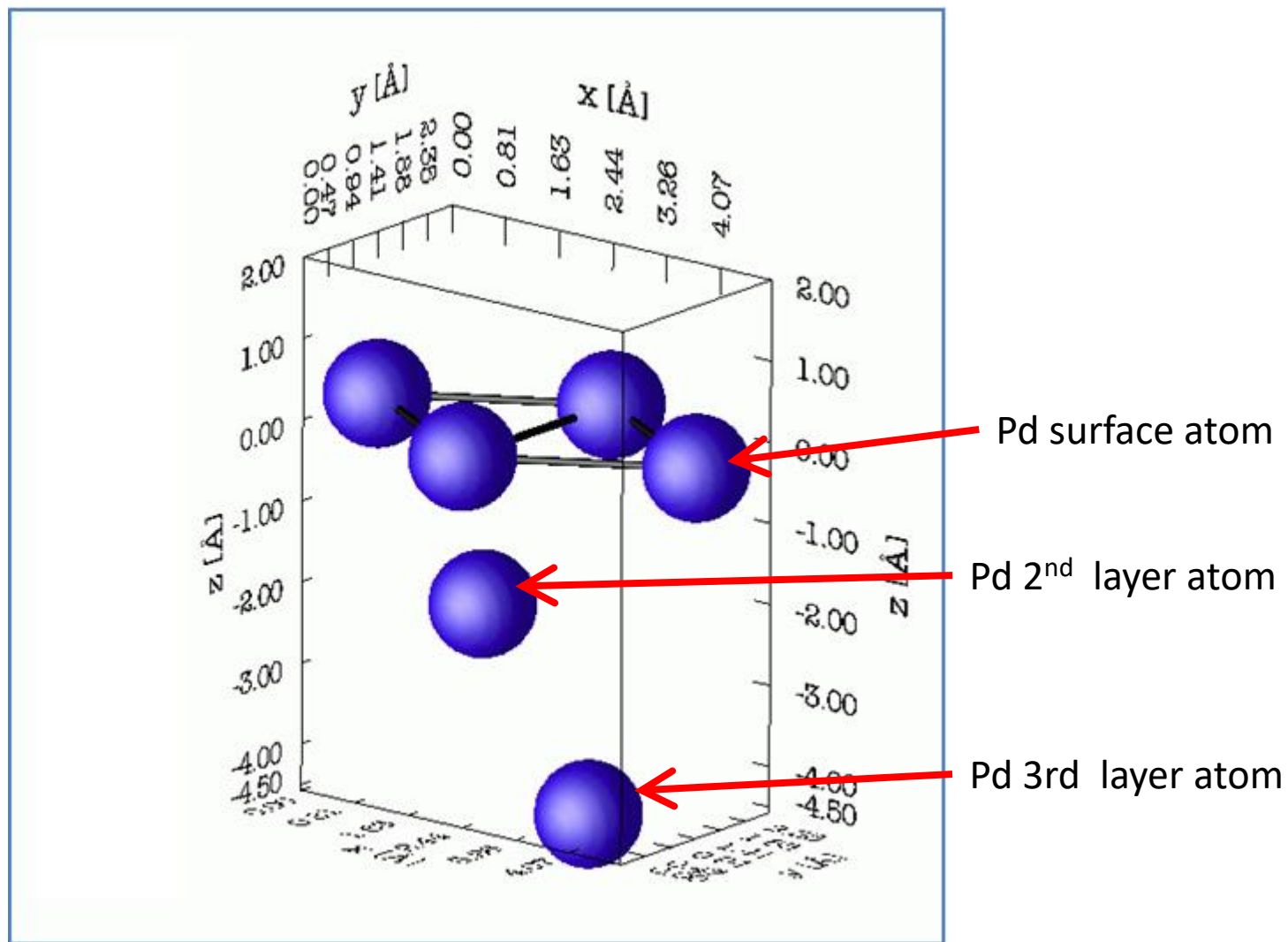
1 H 1.00794



- Parameters are only atomic number of elements
- No fitting and no artificial procedure

Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

$U_0(\mathbf{R}_1)$ ,  $\mathbf{R}_1 = (x_1, y_1, z_1)$  **Single hydrogen atom near Pd(111) surface**

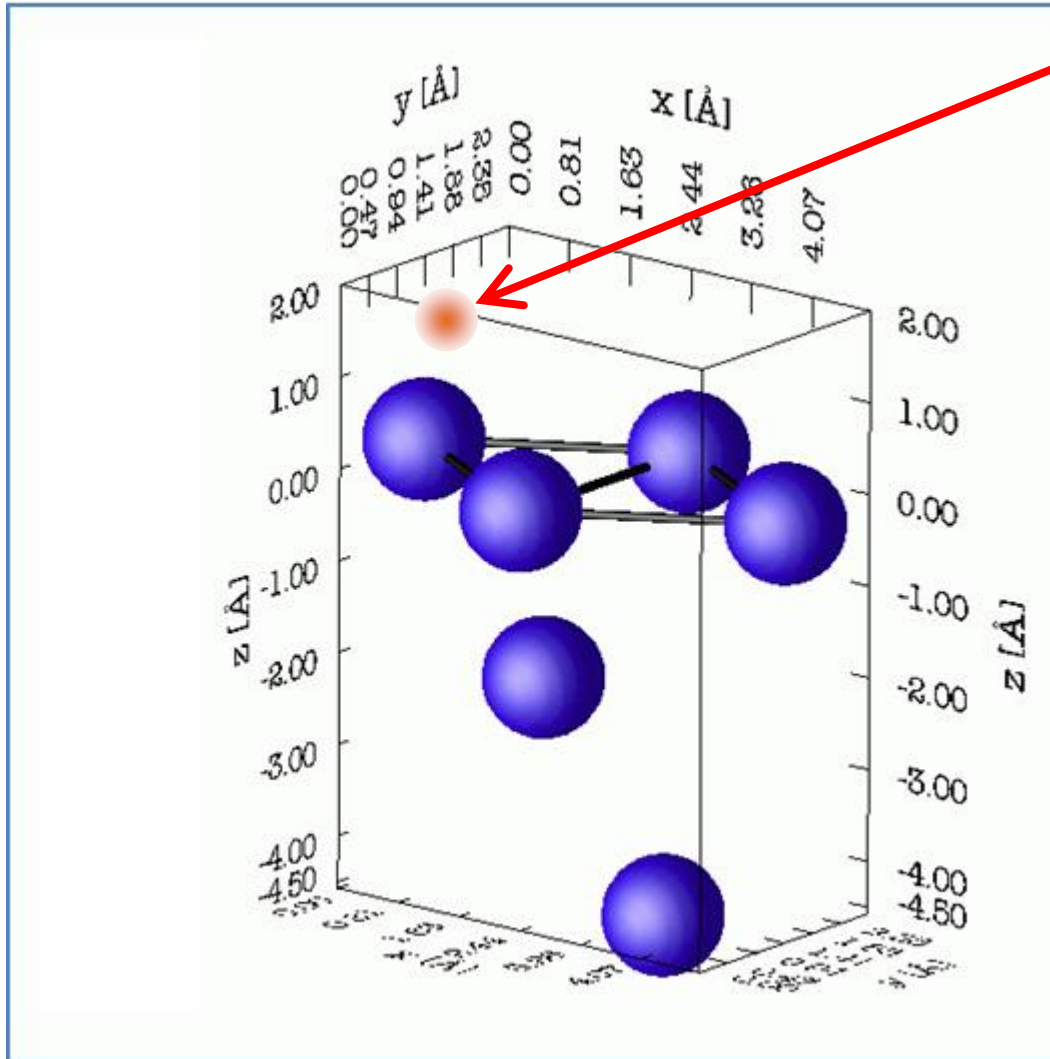


contour surface plots

adiabatic potential energy surface for nucleus motion.

Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

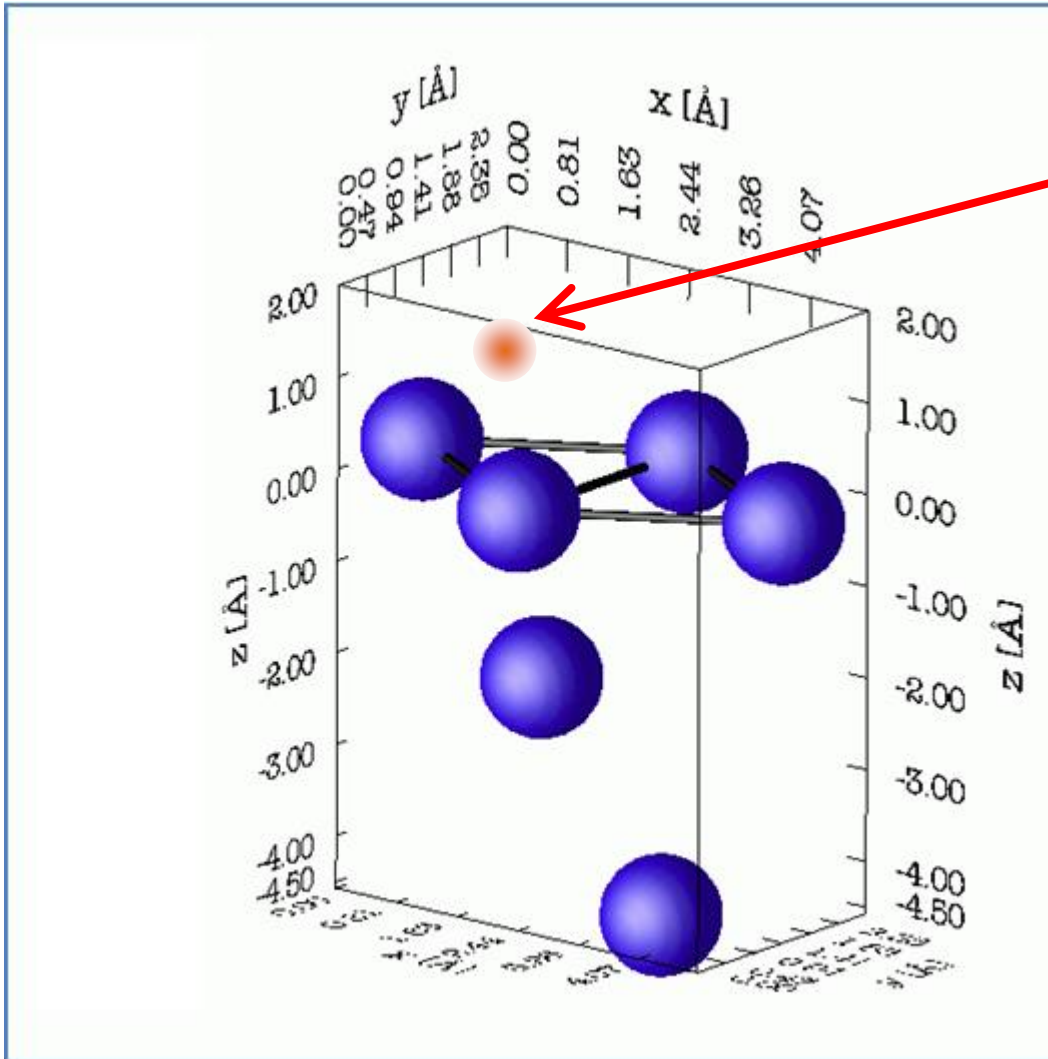
Single hydrogen atom near Pd(111) surface



$\mathbf{R}_1 = (x_1, y_1, z_1)$   $\rightarrow U_0(\mathbf{R}_1)$ ,

Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

**Single hydrogen atom near Pd(111) surface**



$\mathbf{R}_1 = (x_1, y_1, z_1)$   $\rightarrow U_0(\mathbf{R}_1),$

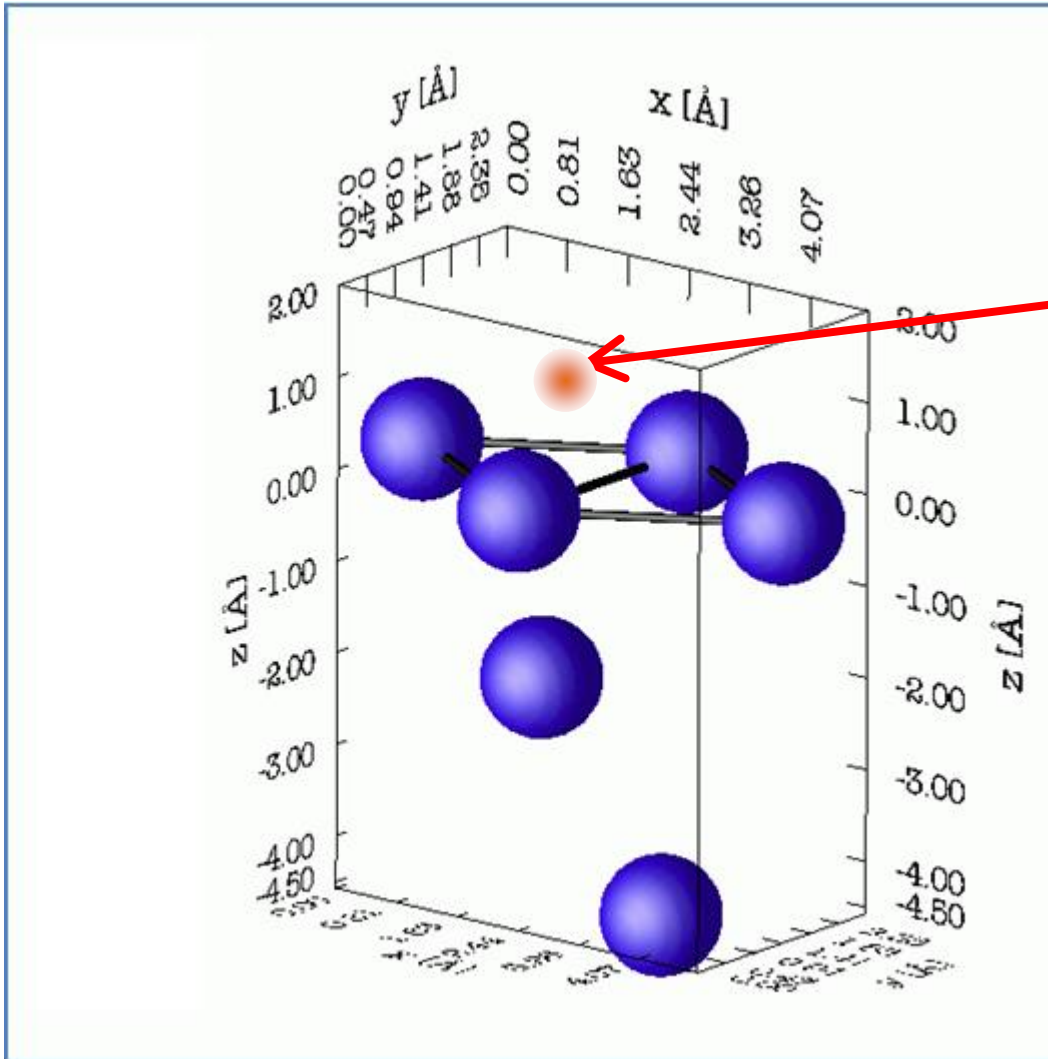
$\mathbf{R}_2 = (x_2, y_2, z_2)$   $\rightarrow U_0(\mathbf{R}_2),$

$\mathbf{R}_3 = (x_3, y_3, z_3)$   $\rightarrow U_0(\mathbf{R}_3),$

$\mathbf{R}_4 = (x_4, y_4, z_4)$   $\rightarrow U_0(\mathbf{R}_4),$

Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

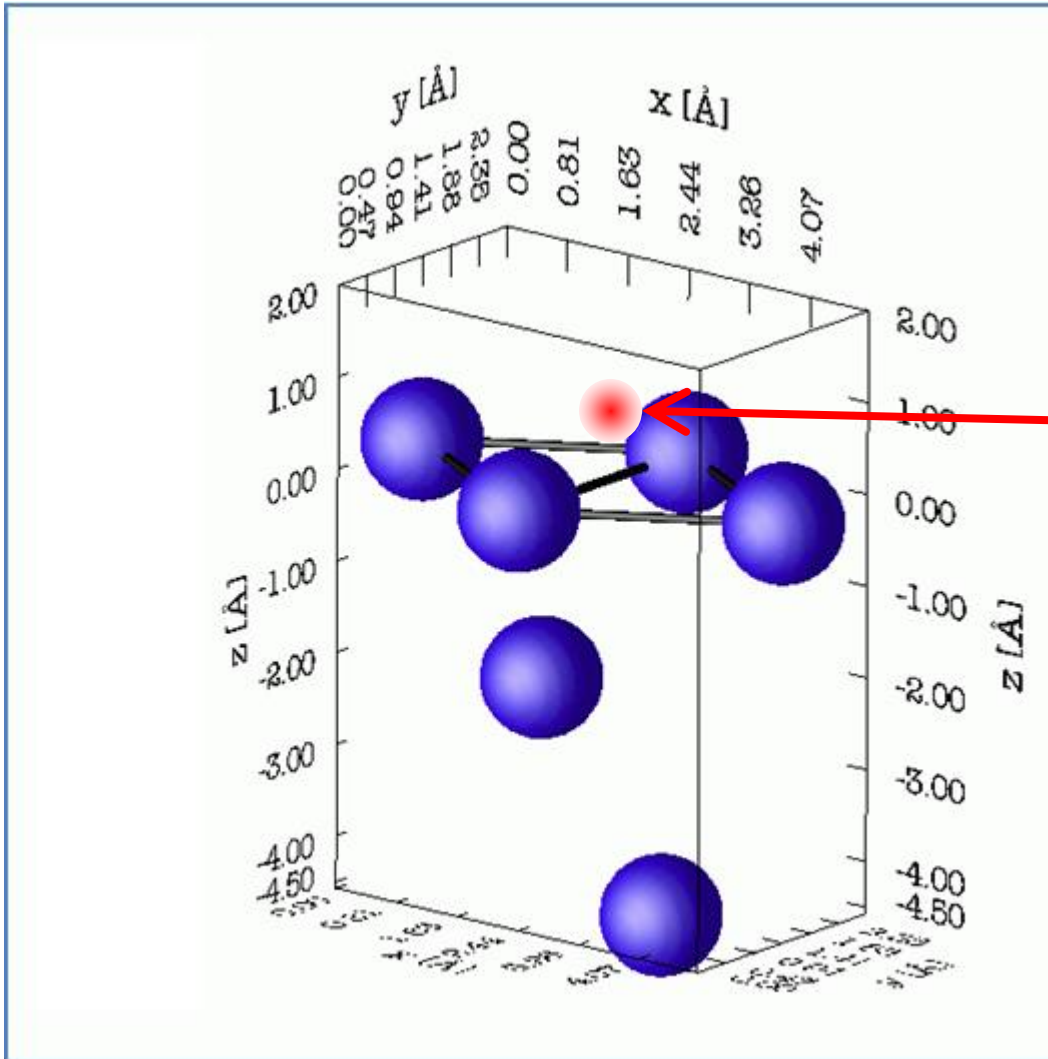
Single hydrogen atom near Pd(111) surface



$\mathbf{R}_1 = (x_1, y_1, z_1) \Rightarrow U_0(\mathbf{R}_1),$   
 $\mathbf{R}_2 = (x_2, y_2, z_2) \Rightarrow U_0(\mathbf{R}_2),$   
 $\mathbf{R}_3 = (x_3, y_3, z_3) \Rightarrow U_0(\mathbf{R}_3),$   
 $\mathbf{R}_4 = (x_4, y_4, z_4) \Rightarrow U_0(\mathbf{R}_4),$

Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

**Single hydrogen atom near Pd(111) surface**

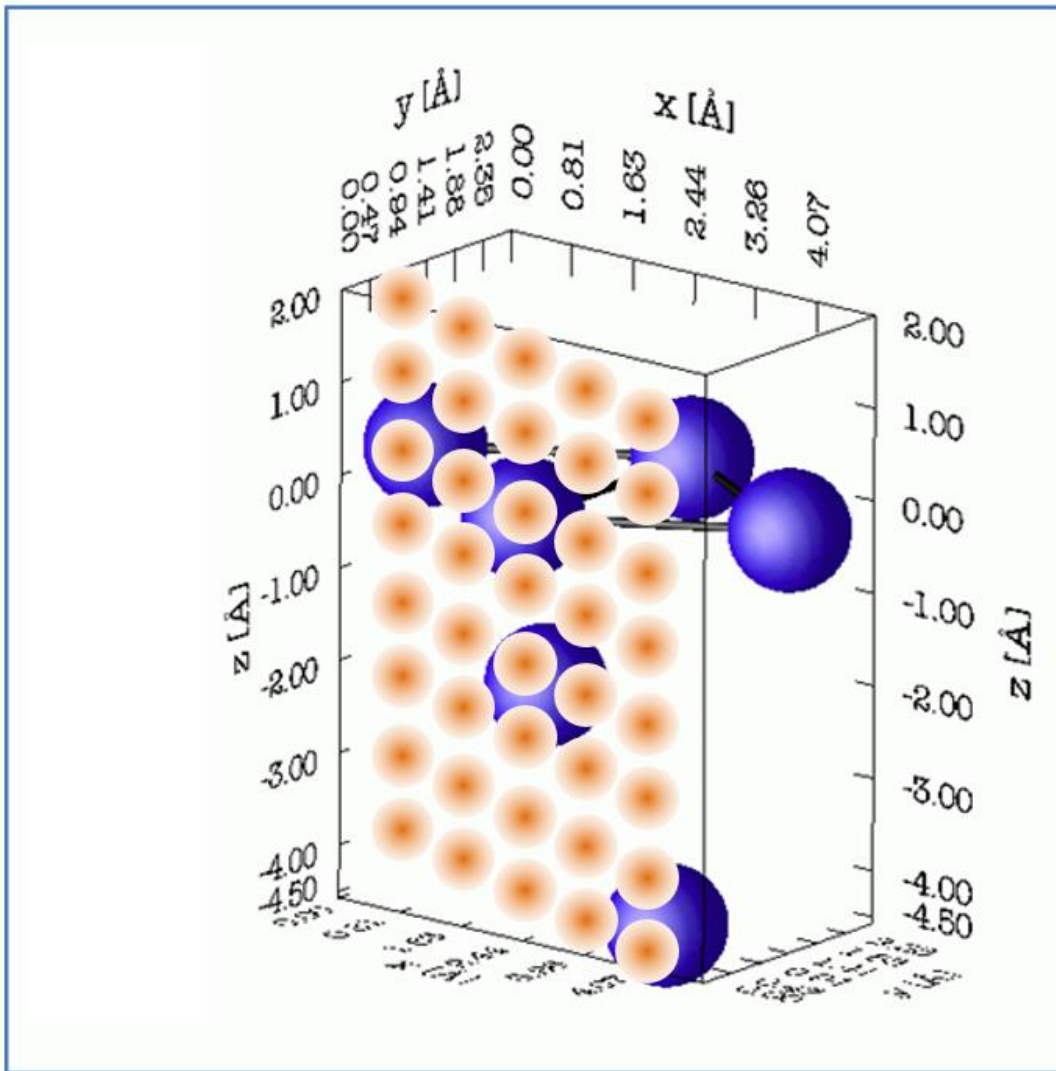


$$\mathbf{R}_1 = (x_1, y_1, z_1) \quad \Rightarrow \quad U_0(\mathbf{R}_1),$$
$$\mathbf{R}_2 = (x_2, y_2, z_2) \quad \Rightarrow \quad U_0(\mathbf{R}_2),$$
$$\mathbf{R}_3 = (x_3, y_3, z_3) \quad \Rightarrow \quad U_0(\mathbf{R}_3),$$
$$\mathbf{R}_4 = (x_4, y_4, z_4) \quad \Rightarrow \quad U_0(\mathbf{R}_4),$$



Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

Single hydrogen atom near Pd(111) surface



3-dimensional potential energy for hydrogen nucleus motion

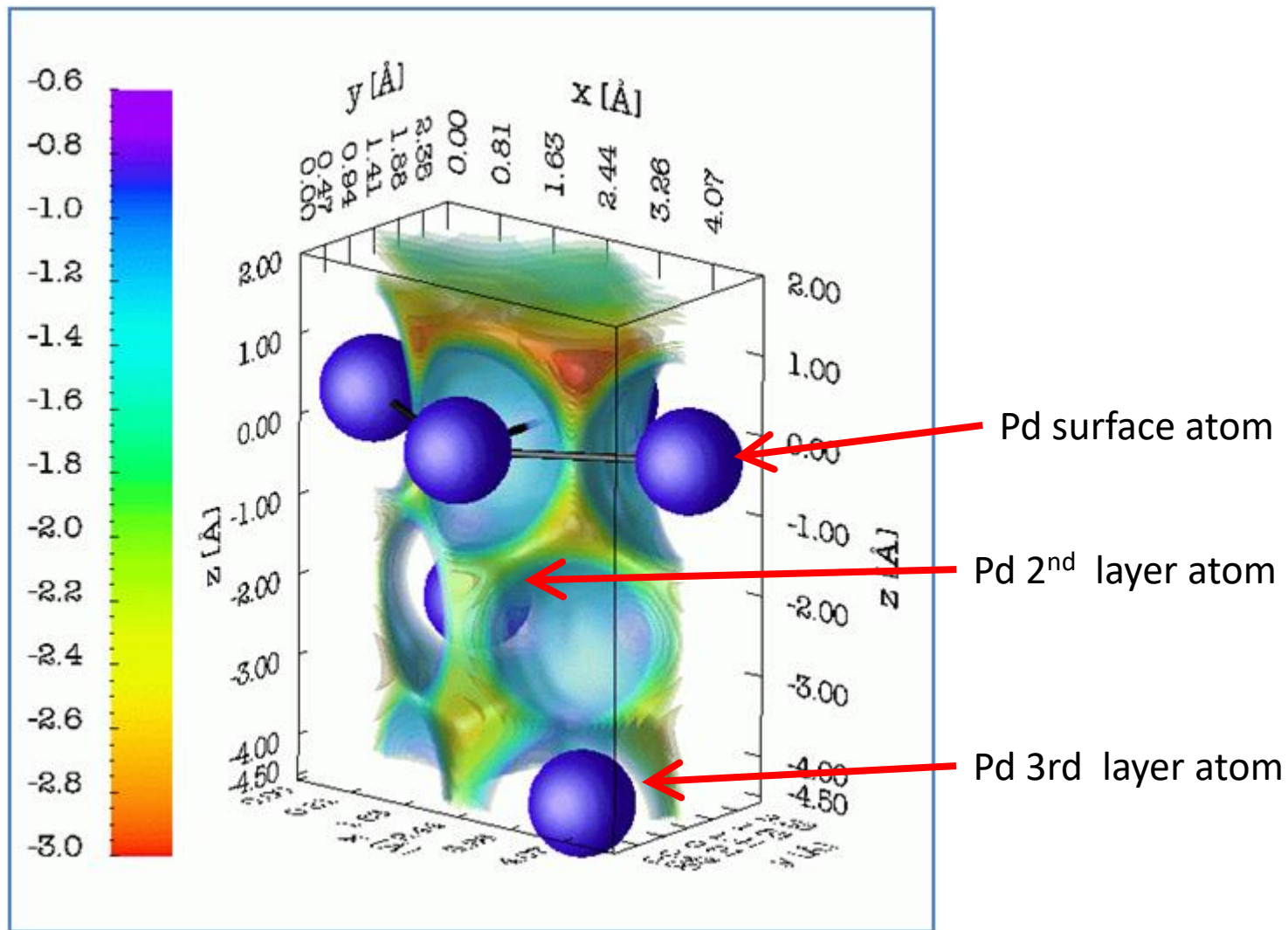
$$U_0(\mathbf{R}),$$

Function of  $\mathbf{R}$



Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

$U_0(\mathbf{R}_1)$ ,  $\mathbf{R}_1 = (x_1, y_1, z_1)$  **Single hydrogen atom near Pd(111) surface**



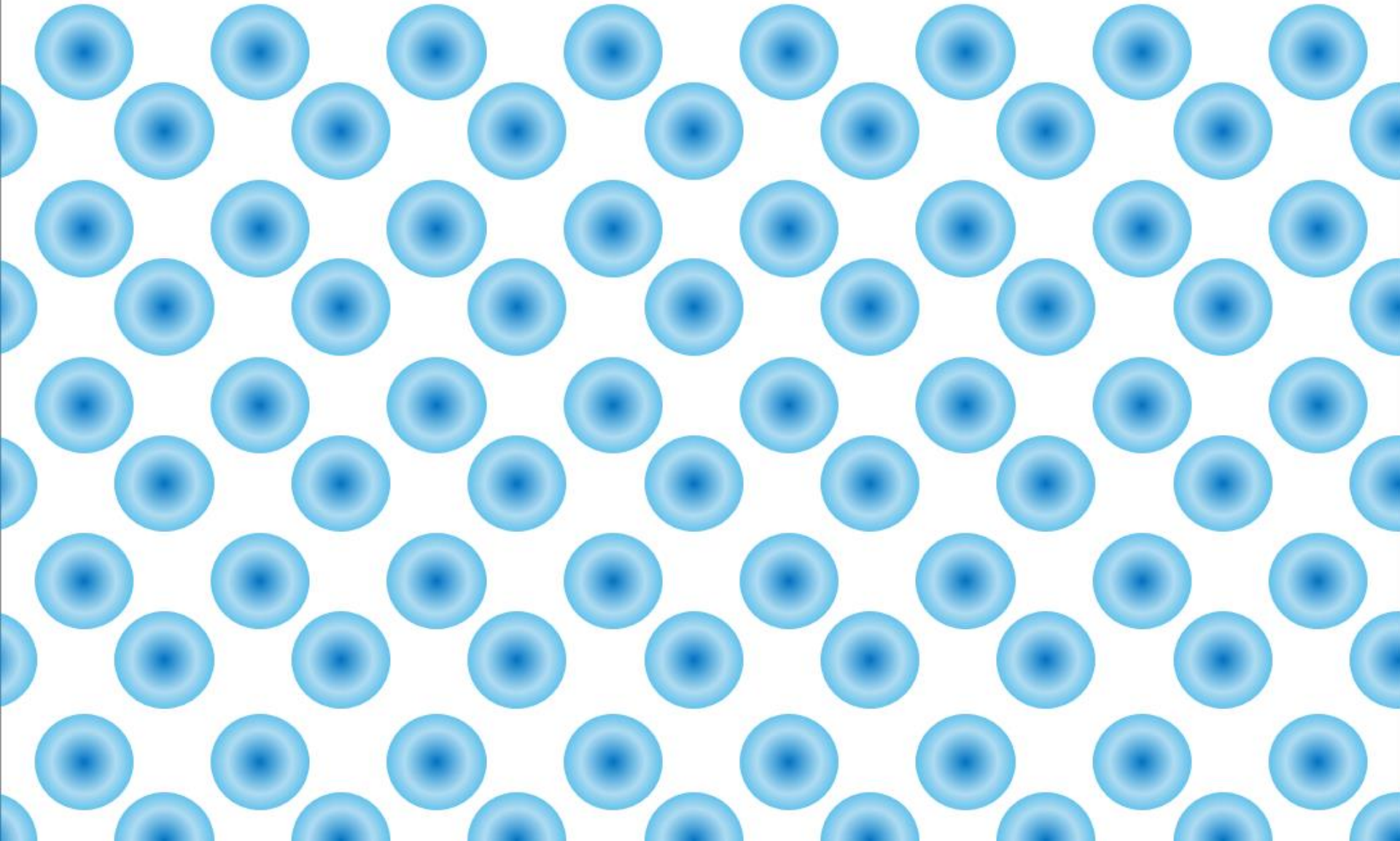
contour surface plots

adiabatic potential energy surface for nucleus motion.

# Important reminder for making potential energy surface

In the case of translation symmetry

Host material atoms

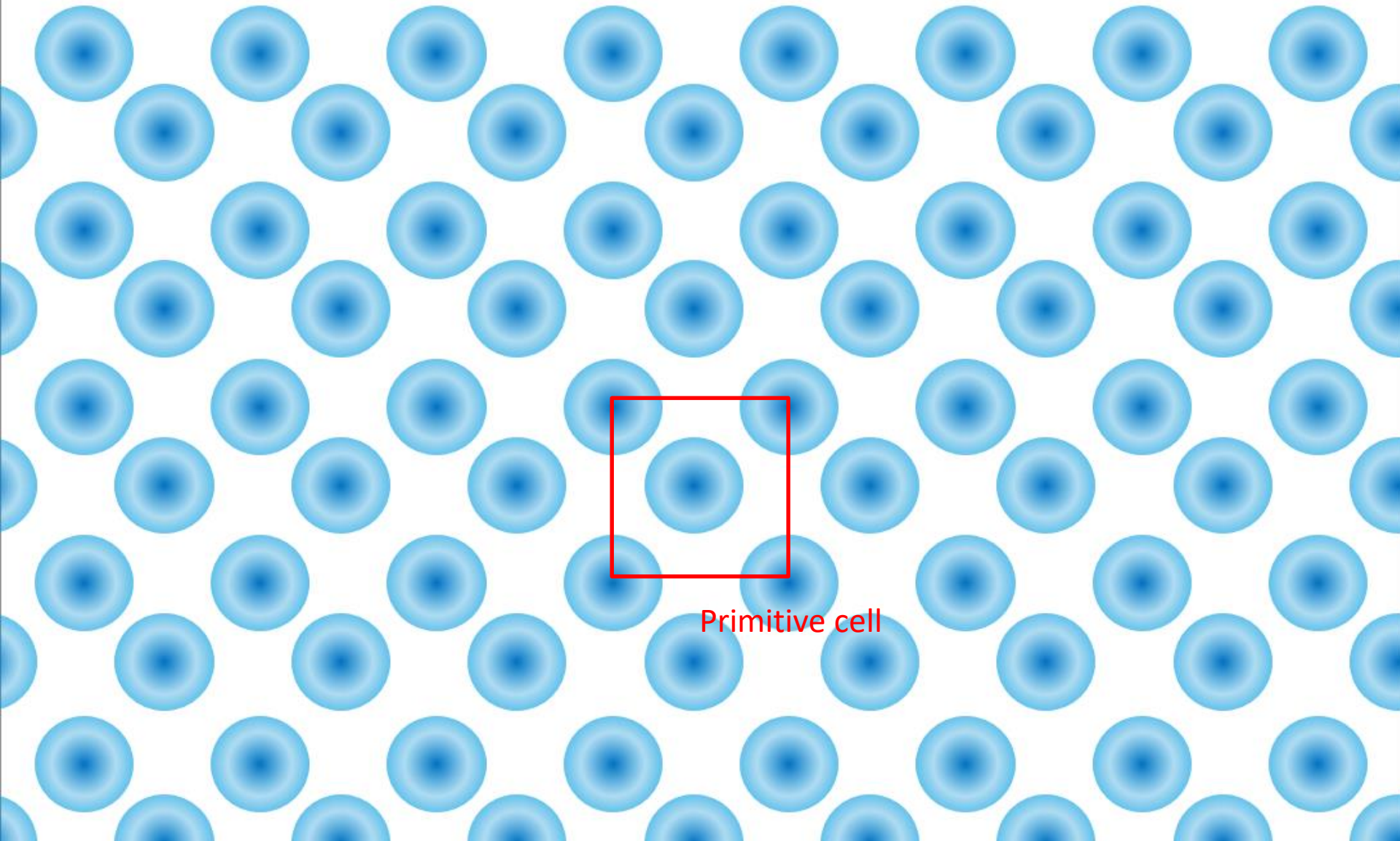




# Important reminder for making potential energy surface

In the case of translation symmetry

Host material atoms

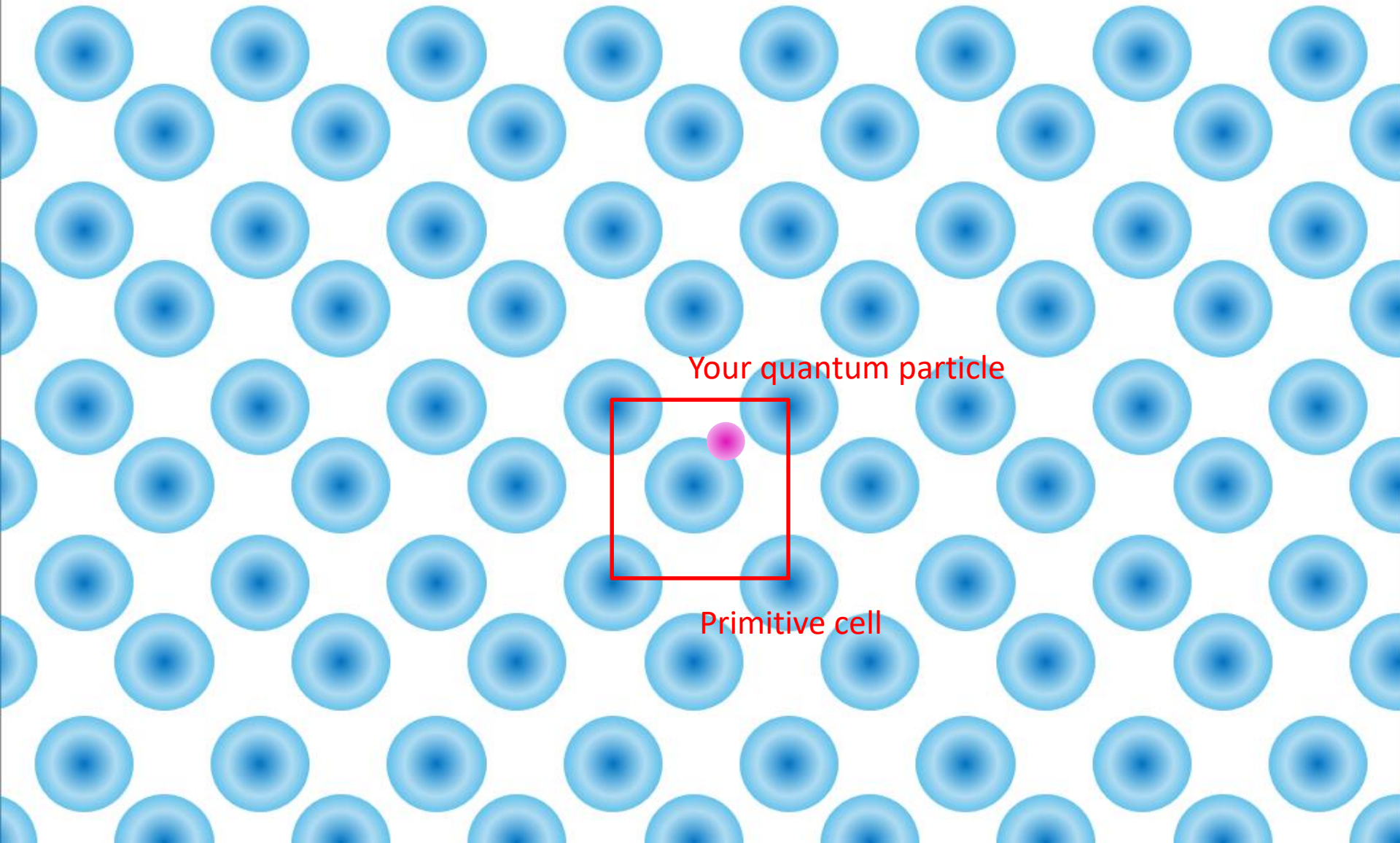


Primitive cell

# Important reminder for making potential energy surface

In the case of translation symmetry

Host material atoms



Your quantum particle

Primitive cell

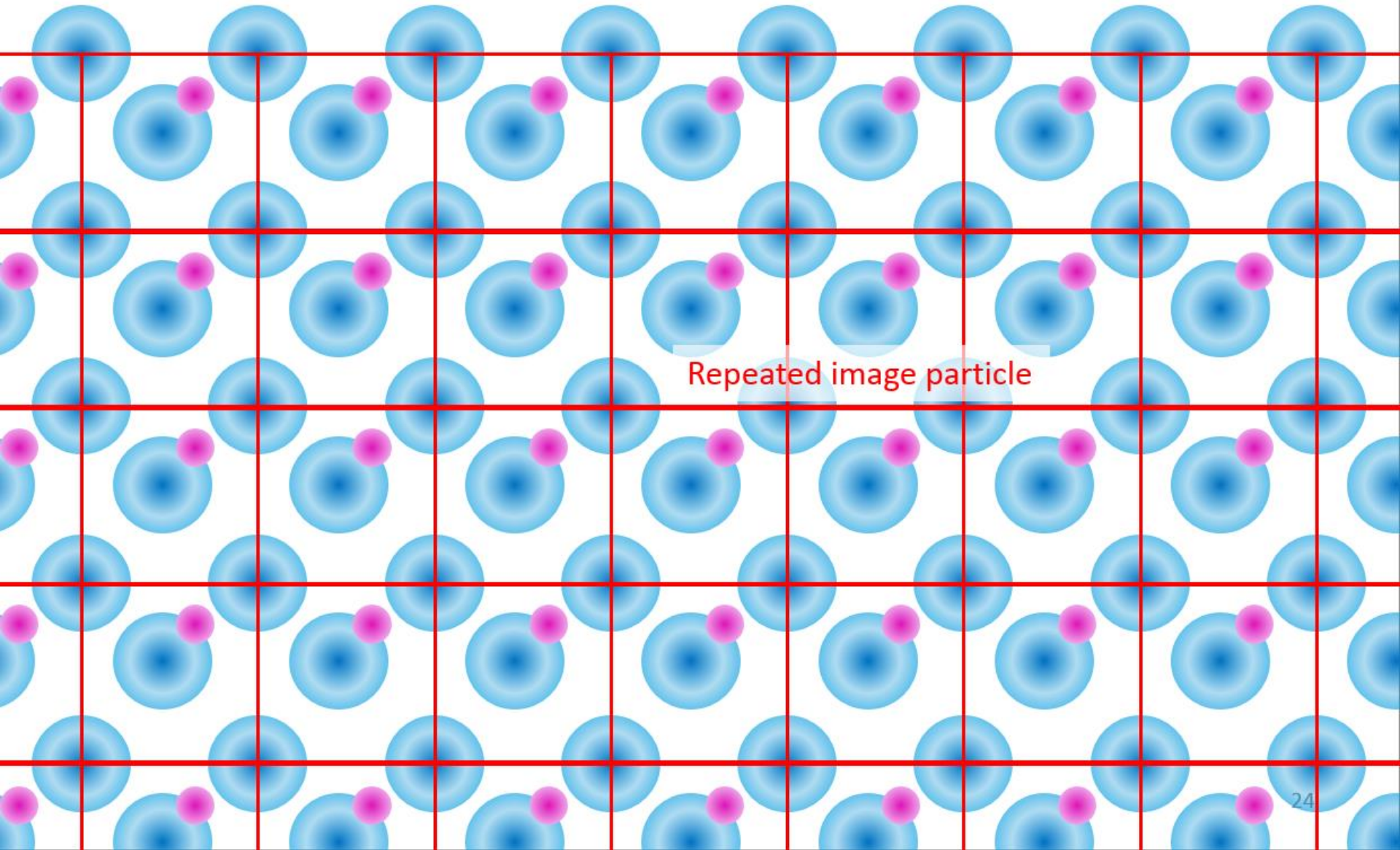


# Important reminder for making potential energy surface

In the case of translation symmetry

Primitive cell = unit cell

Host material atoms

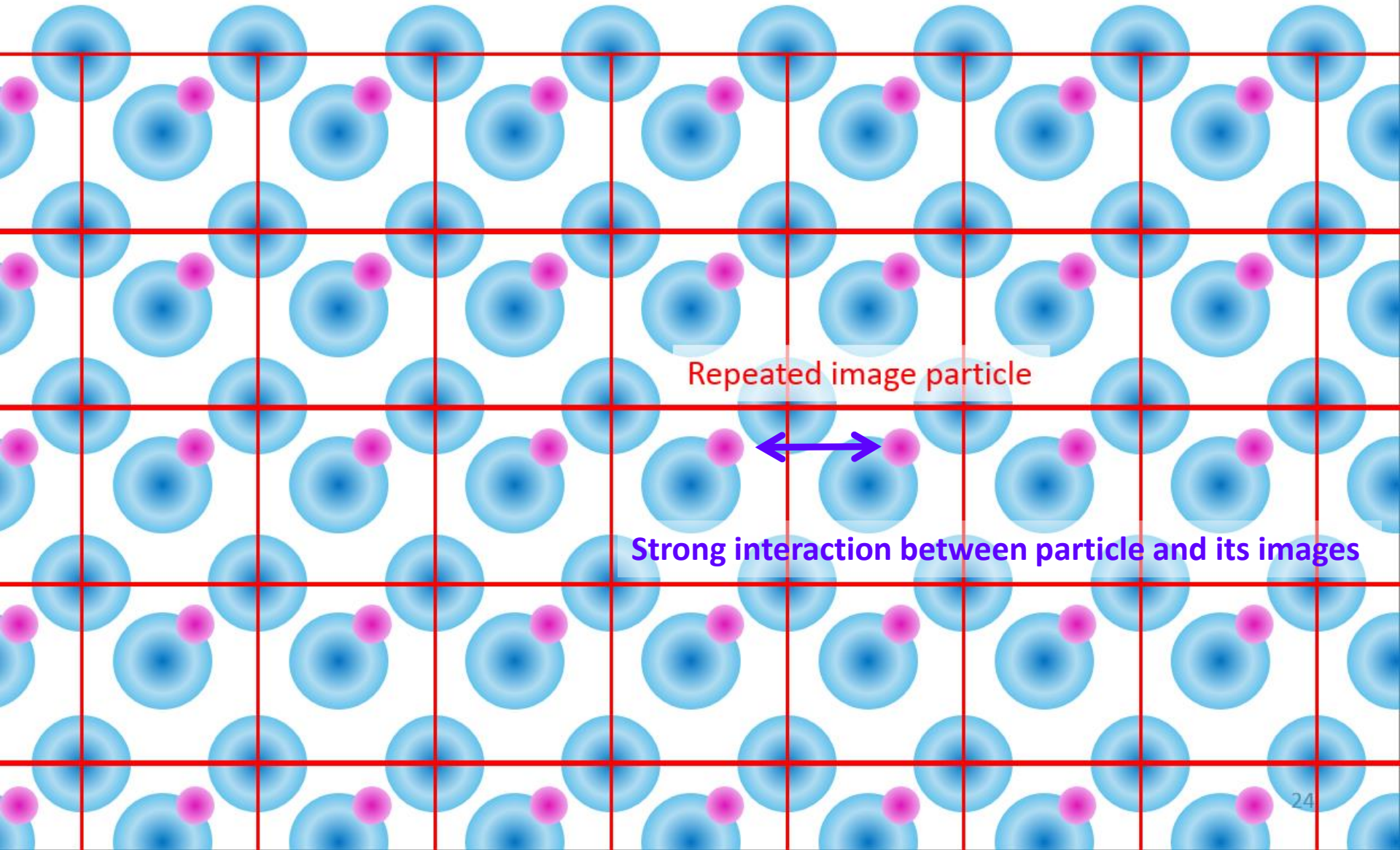


# Important reminder for making potential energy surface

In the case of translation symmetry

Primitive cell = unit cell

Host material atoms





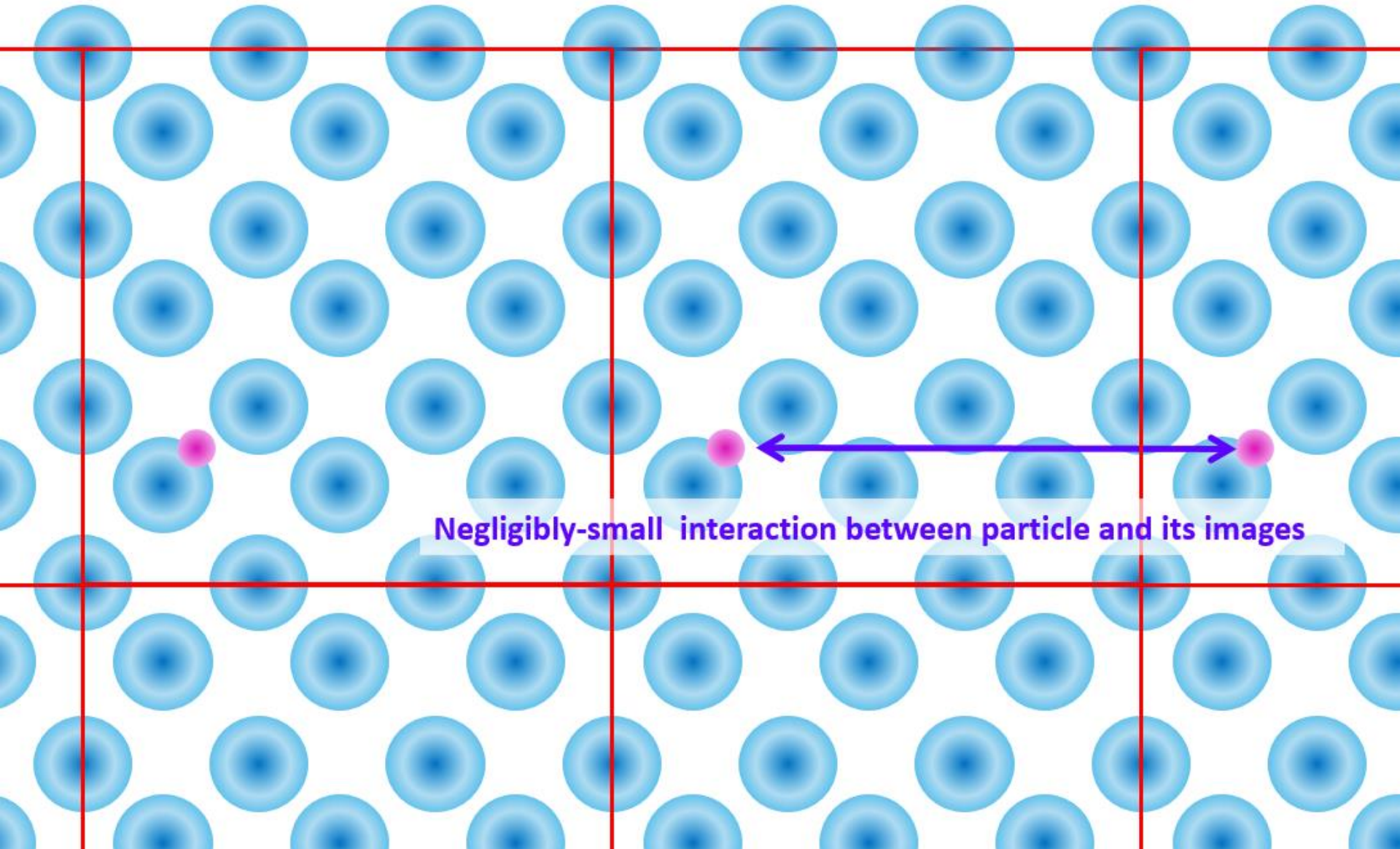
# Important reminder for making potential energy surface

Super cell=Unit cell

In the case of translation symmetry

>> Primitive cell

Host material atoms



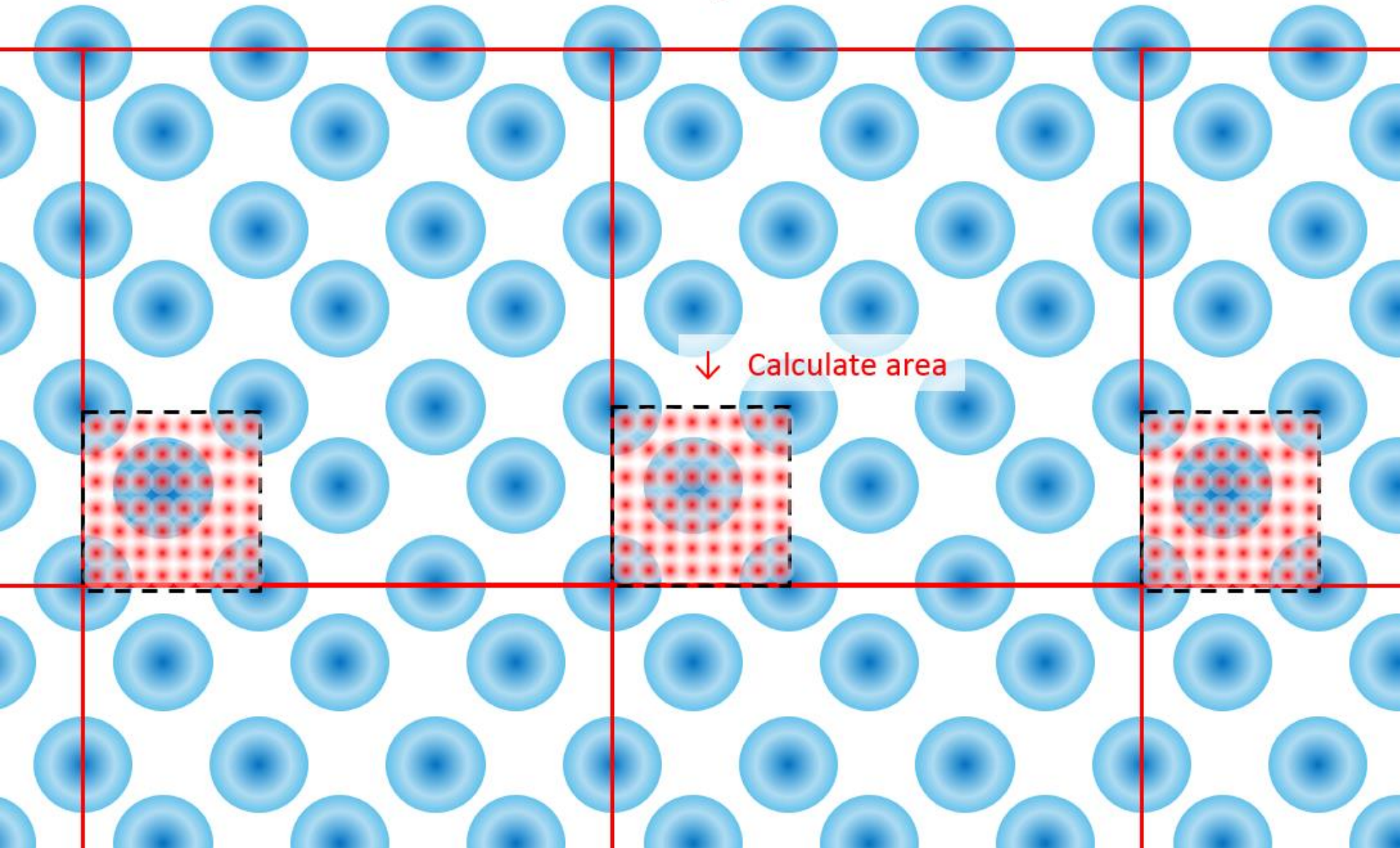
Negligibly-small interaction between particle and its images

# Important reminder for making potential energy surface

In the case of translation symmetry

Super cell = Unit cell

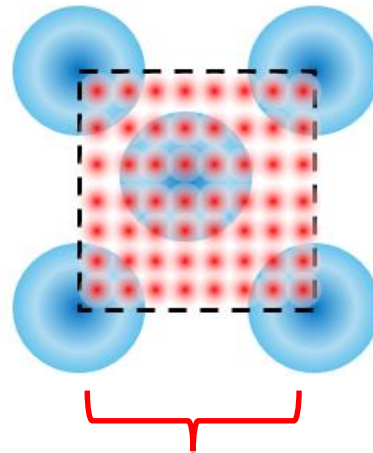
Host material atoms





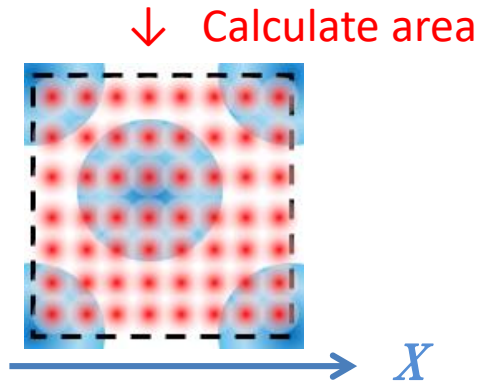
# Important reminder for making potential energy surface

↓ Calculate area



Number of calculating point → enough large

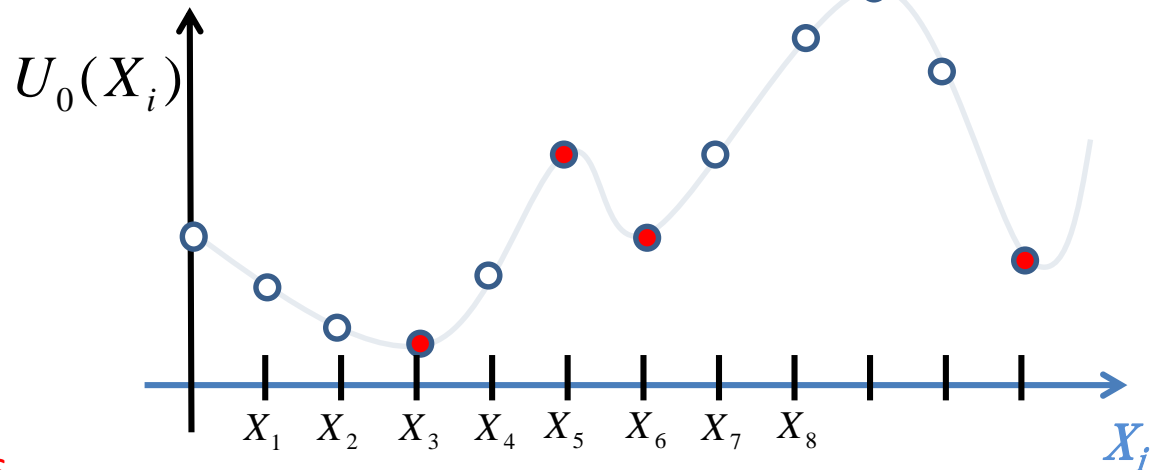
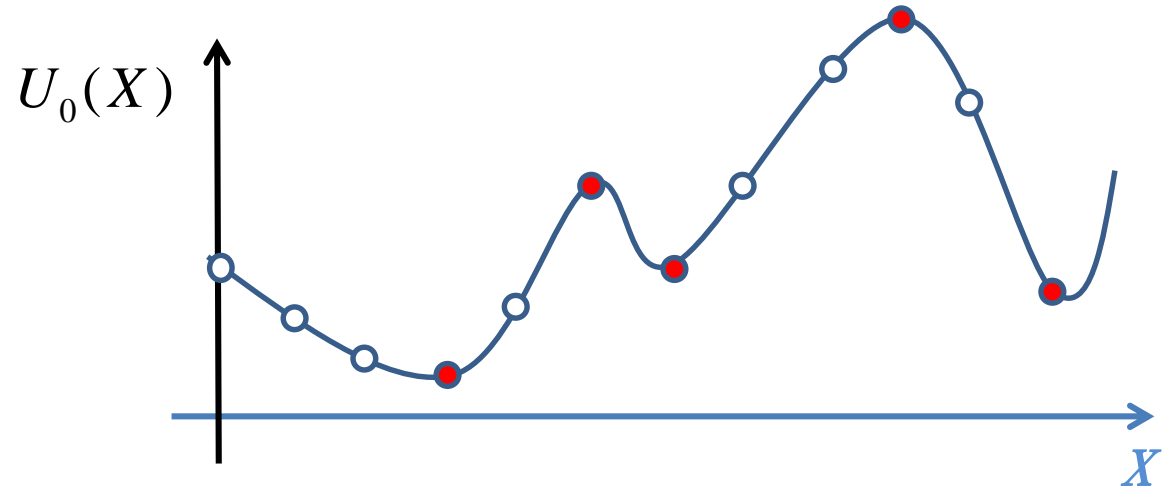
# Important reminder for making potential energy surface



Number of calculating point



enough large number  
to satisfy the following needs



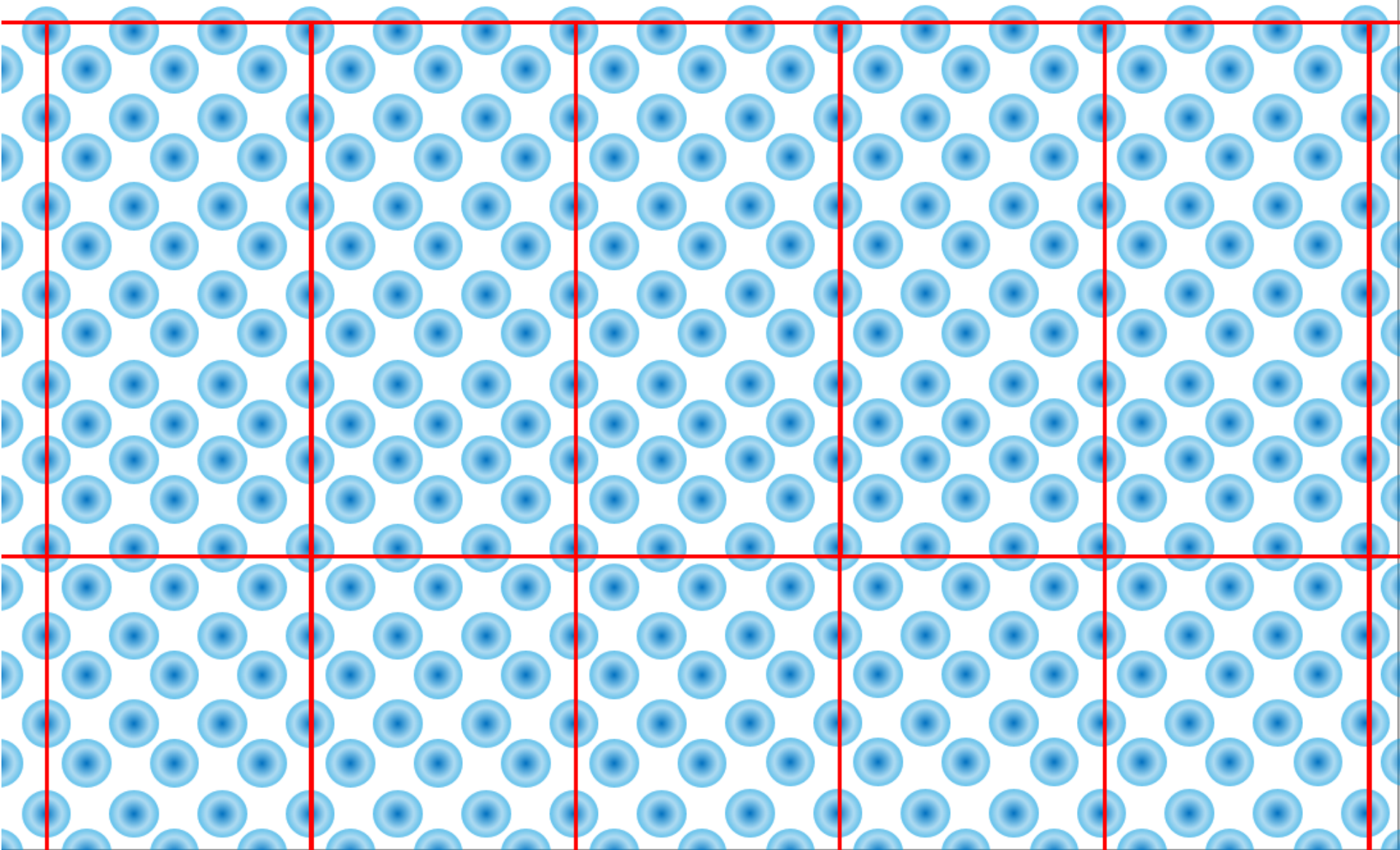
- All extremums (maximals and minimals) have to be reproduced.
- Potential energy curves have to be smoothly connected

# Important reminder for making potential energy surface

In the case of **Solid Surface**

Long Super cell = Unit cell

Host material atoms

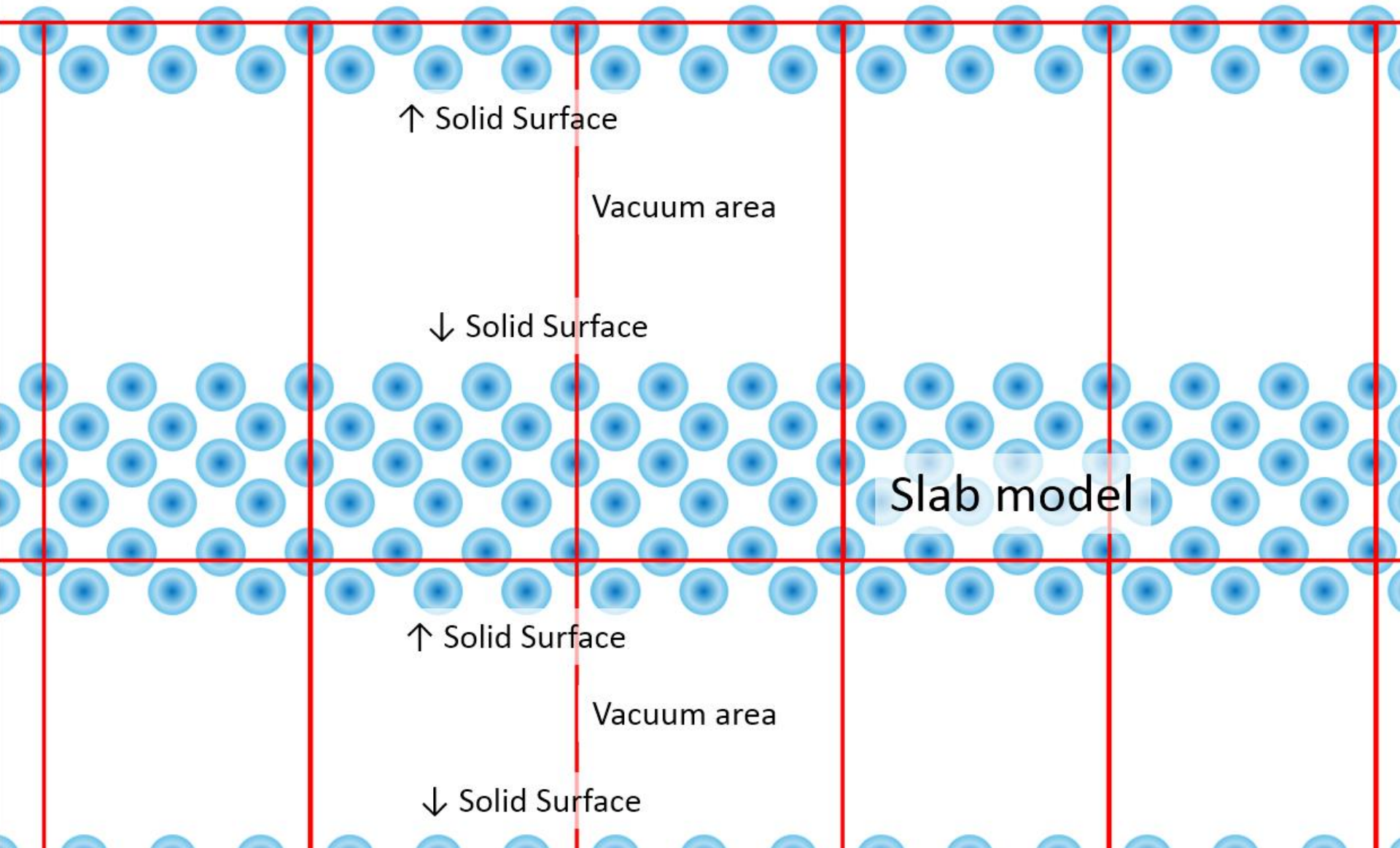


# Important reminder for making potential energy surface

In the case of Solid Surface

Long Super cell = Unit cell

Host material atoms



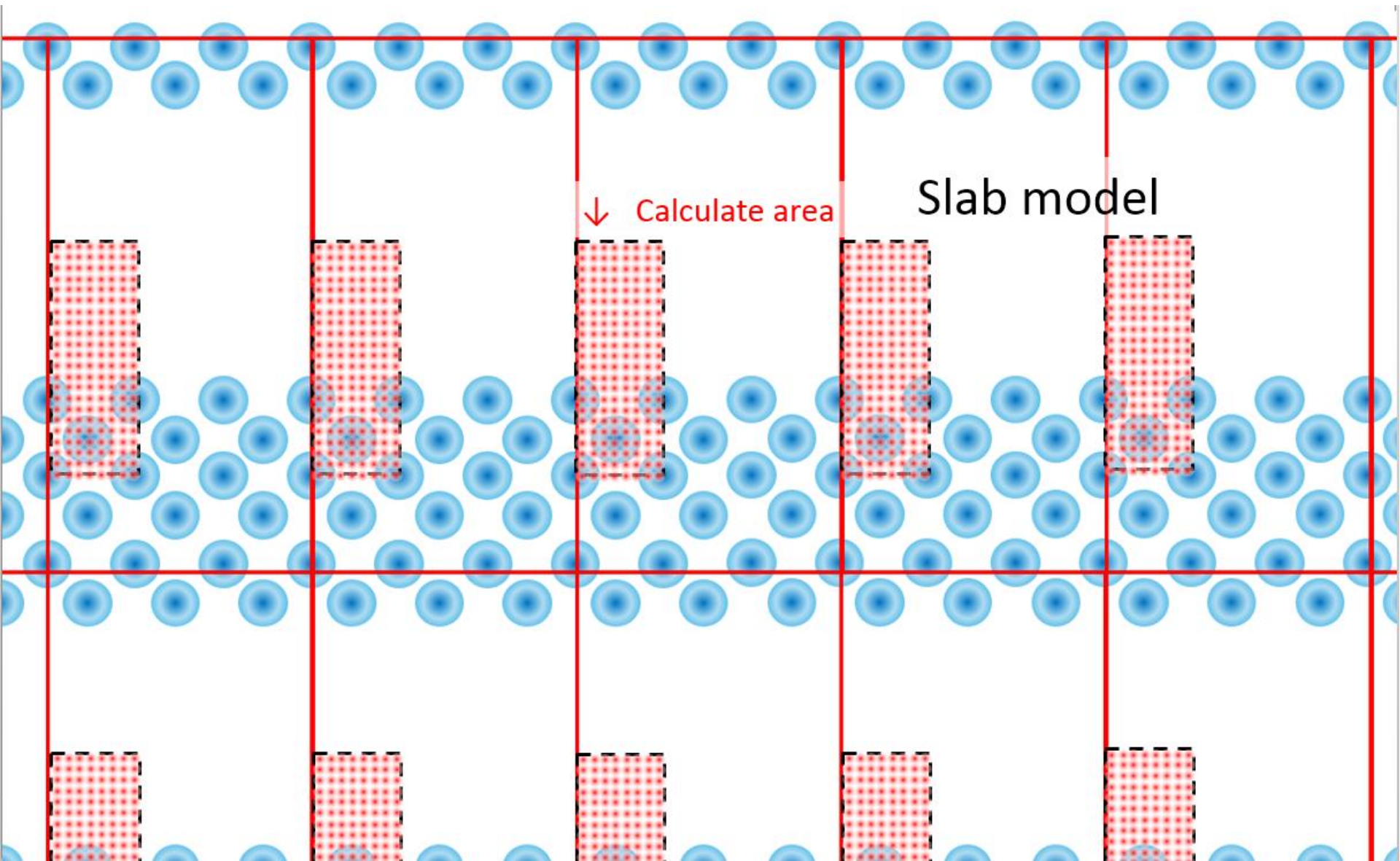


# Important reminder for making potential energy surface

In the case of Solid Surface

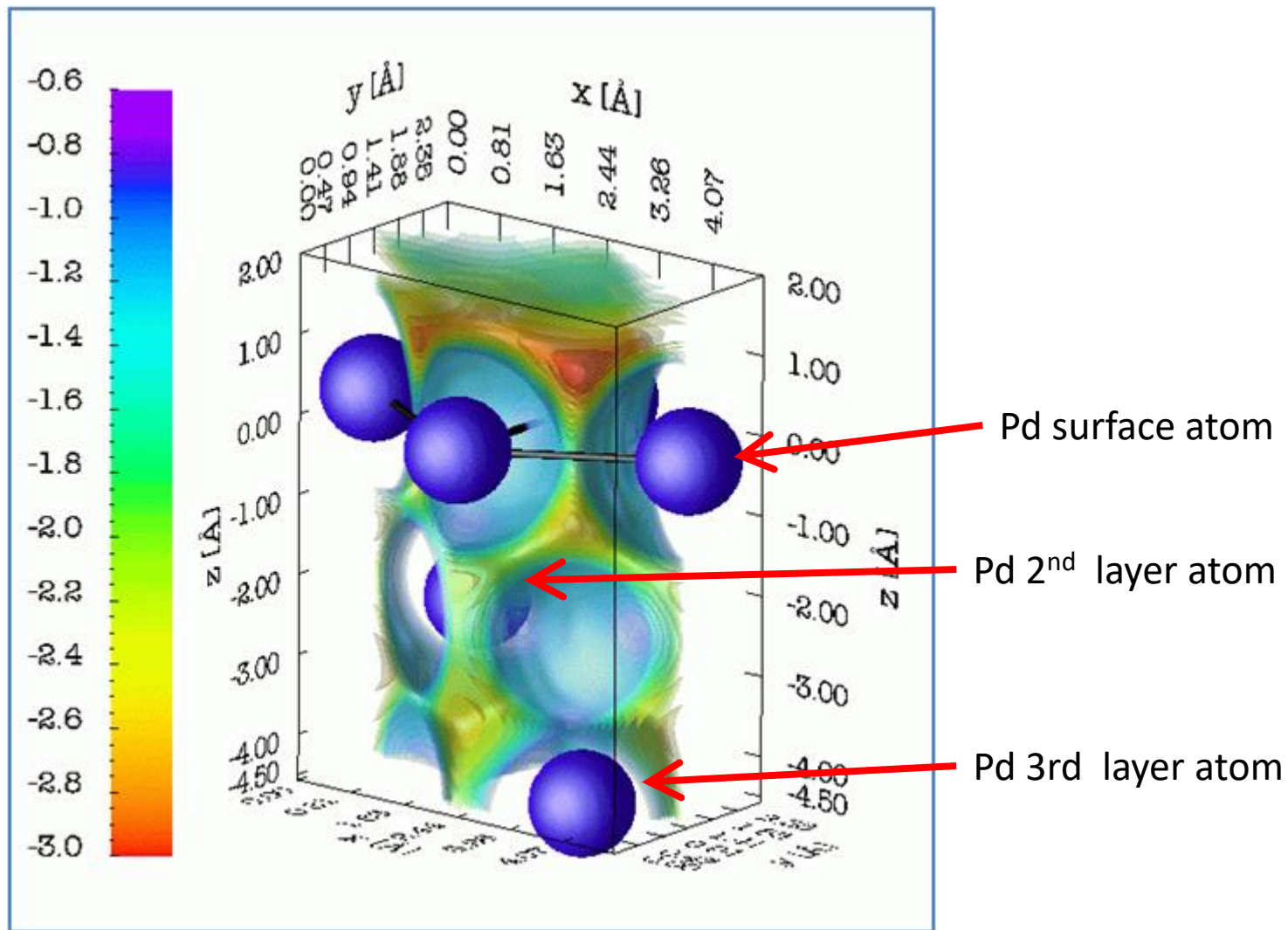
Long Super cell = Unit cell

Host material atoms



Example of  $U_n(\mathbf{R})$ : Potential energy for hydrogen nucleus motion

$U_0(\mathbf{R}_1)$ ,  $\mathbf{R}_1 = (x_1, y_1, z_1)$  **Single hydrogen atom near Pd(111) surface**



contour surface plots

adiabatic potential energy surface for nucleus motion.

Next we solve the equation (\*\*)

for a hydrogen atom motion

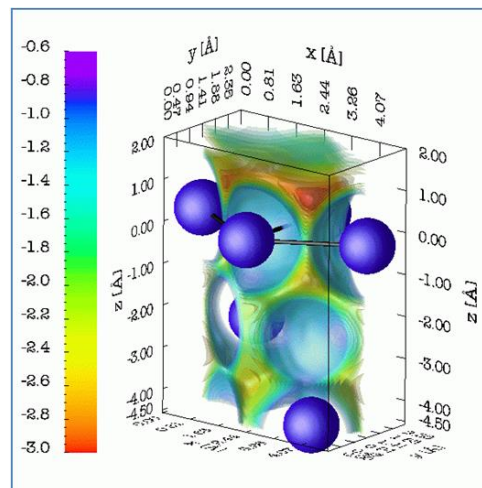
under given potential,  $U_0(x, y, z)$ .

$$\left[ -\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + U_0(x, y, z) \right] \phi_{\omega;0}(x, y, z) = E_{\omega,0} \phi_{\omega;0}(x, y, z)$$

... (\*\*)

$(x, y, z)$  : Hydrogen atom position

$U_0(x, y, z)$



# Difference in interaction potential with host

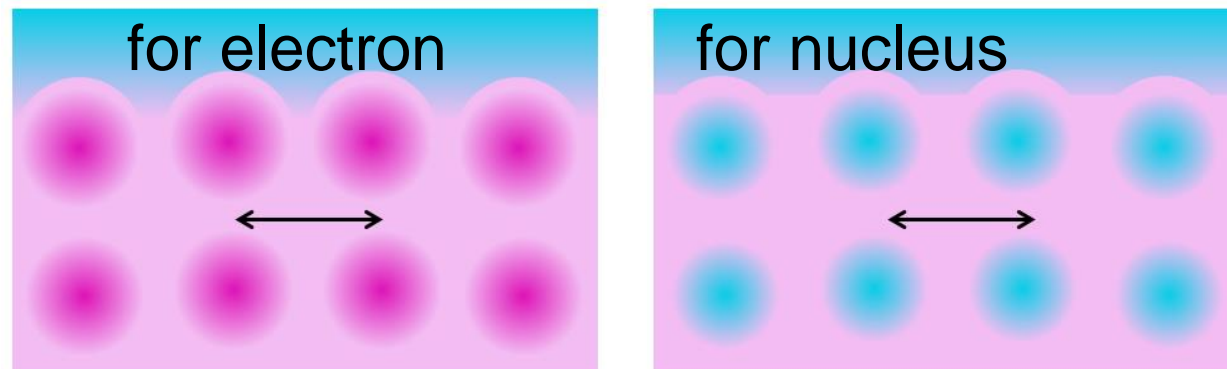
for electron state:

- Attractive potential from host nucleus ✖
- Near surface, attractive potential into bulk side ✖

for nucleus state:

- Repulsive potential from host nucleus ✖
- Near surface, attractive potential into bulk side ✖

Scale length is same in potential for electron and for nucleus





# Difference in kinetic energy

for electron state:

$$\left[ \left( -\frac{\hbar^2}{2m_e} \right) \left( \frac{\partial^2}{\partial^2 x_i} + \frac{\partial^2}{\partial^2 y_i} + \frac{\partial^2}{\partial^2 z_i} \right) + V(\mathbf{r}, \mathbf{R}) \right]$$

muon      hydrogen

Mass ratio:  $\gamma = \frac{M_I}{m_e} = 200, 2000 \sim 5000$

for nucleus state:

$$\left[ \left( -\frac{\hbar^2}{2M_I} \right) \left( \frac{\partial^2}{\partial^2 X_I} + \frac{\partial^2}{\partial^2 Y_I} + \frac{\partial^2}{\partial^2 Z_I} \right) + U(\mathbf{R}) \right] = \left[ \left( -\frac{\hbar^2}{2\gamma m_e} \right) \left( \frac{\partial^2}{\partial^2 X_I} + \frac{\partial^2}{\partial^2 Y_I} + \frac{\partial^2}{\partial^2 Z_I} \right) + U(\mathbf{R}) \right]$$

$$= \left[ \left( -\frac{\hbar^2}{2m_e} \right) \left( \frac{\partial^2}{\partial^2 \xi_I} + \frac{\partial^2}{\partial^2 \eta_I} + \frac{\partial^2}{\partial^2 \zeta_I} \right) + U\left(\frac{\rho}{\sqrt{\gamma}}\right) \right]$$

variable transformation for particle position

$$\sqrt{\gamma} X_I = \xi_I, \sqrt{\gamma} Y_I = \eta_I, \sqrt{\gamma} Z_I = \zeta_I, \sqrt{\gamma} R = \rho$$

Effective scale length is expanded.



$\times \sqrt{\gamma}$   
14,45 ~ 70

Very short de Broglie wave length

# Difference in kinetic energy

for electron state:

$$\left[ \left( -\frac{\hbar^2}{2m_e} \right) \left( \frac{\partial^2}{\partial^2 x_i} + \frac{\partial^2}{\partial^2 y_i} + \frac{\partial^2}{\partial^2 z_i} \right) + V(\mathbf{r}, \mathbf{R}) \right]$$

for nucleus state:

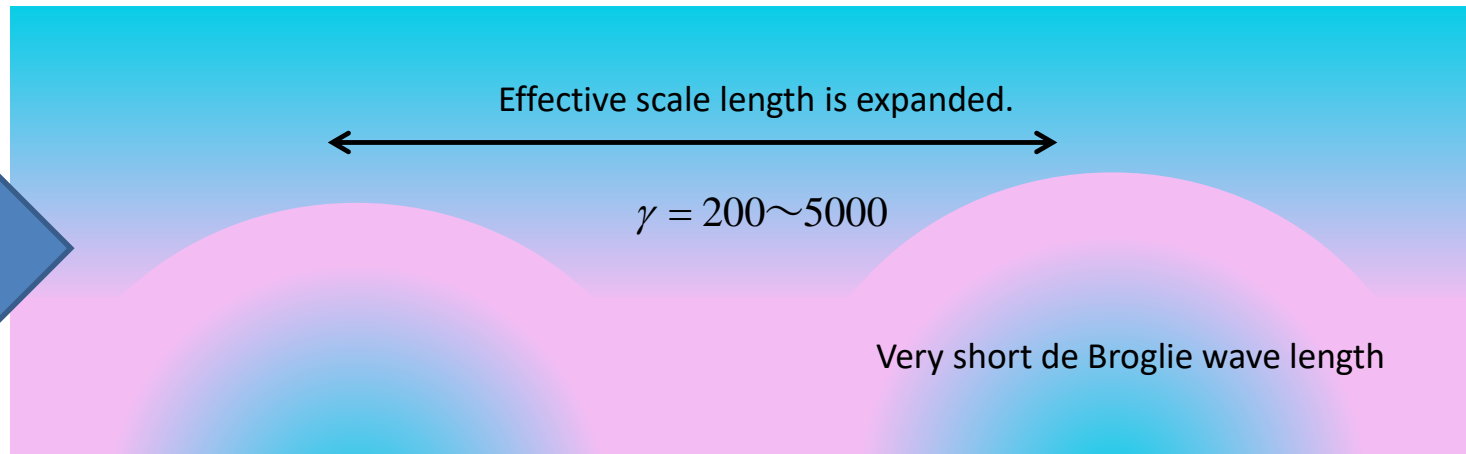
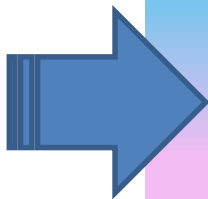
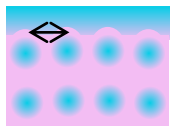
Mass ratio:  $\gamma = \frac{M_I}{m_e} = 200 \sim 5000$

$$\left[ \left( -\frac{\hbar^2}{2M_I} \right) \left( \frac{\partial^2}{\partial^2 X_I} + \frac{\partial^2}{\partial^2 Y_I} + \frac{\partial^2}{\partial^2 Z_I} \right) + U(\mathbf{R}) \right]$$

**Plane wave is unsuitable as basis function describing the wave function of nucleus**

$$= \left[ \left( -\frac{\hbar^2}{2m_e} \right) \left( \frac{\partial^2}{\partial^2 \xi_I} + \frac{\partial^2}{\partial^2 \eta_I} + \frac{\partial^2}{\partial^2 \zeta_I} \right) + U\left(\frac{\rho}{\gamma}\right) \right]$$

variable transformation for particle position  
 $\gamma x_I = \xi_I, \gamma y_I = \eta_I, \gamma z_I = \zeta_I, \gamma R = \rho$



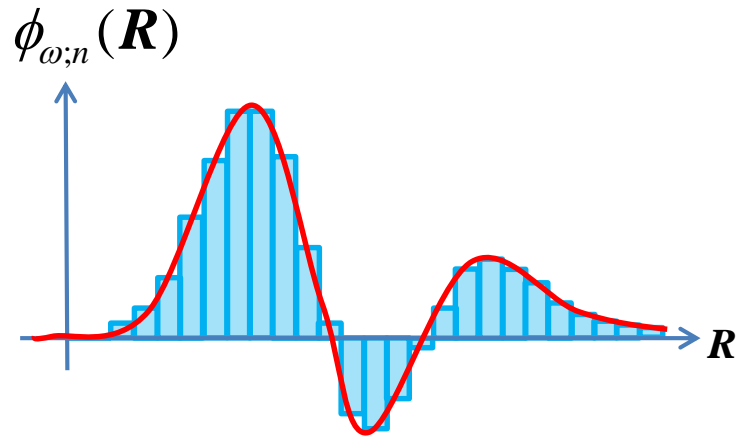
# For describing the nucleus state.

Dividing up space into small areas,

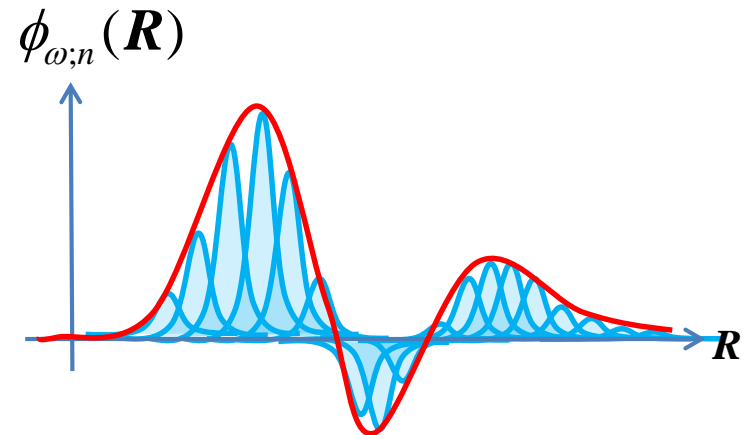
localized function at each area can be suitable as basis function.



Rectangular function



Gauss function



**linear combination of basis functions:**  $\phi_\omega(x, y, z) = \sum_{\ell}^{N_G} C_{\omega,\ell} G_\ell(x, y, z)$

**Variation method**

$$\sum_{\ell'} [H_{\ell\ell'} - E_\omega S_{\ell\ell'}] C_{\omega,\ell} = 0$$

$$H_{\ell\ell'} = K_{\ell\ell'} + U_{\ell\ell'}$$

$$K_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_\ell(x, y, z) \left[ -\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \right] G_{\ell'}(x, y, z) dx dy dz$$

$$U_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_\ell(x, y, z) U_0(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

$$S_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_\ell(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

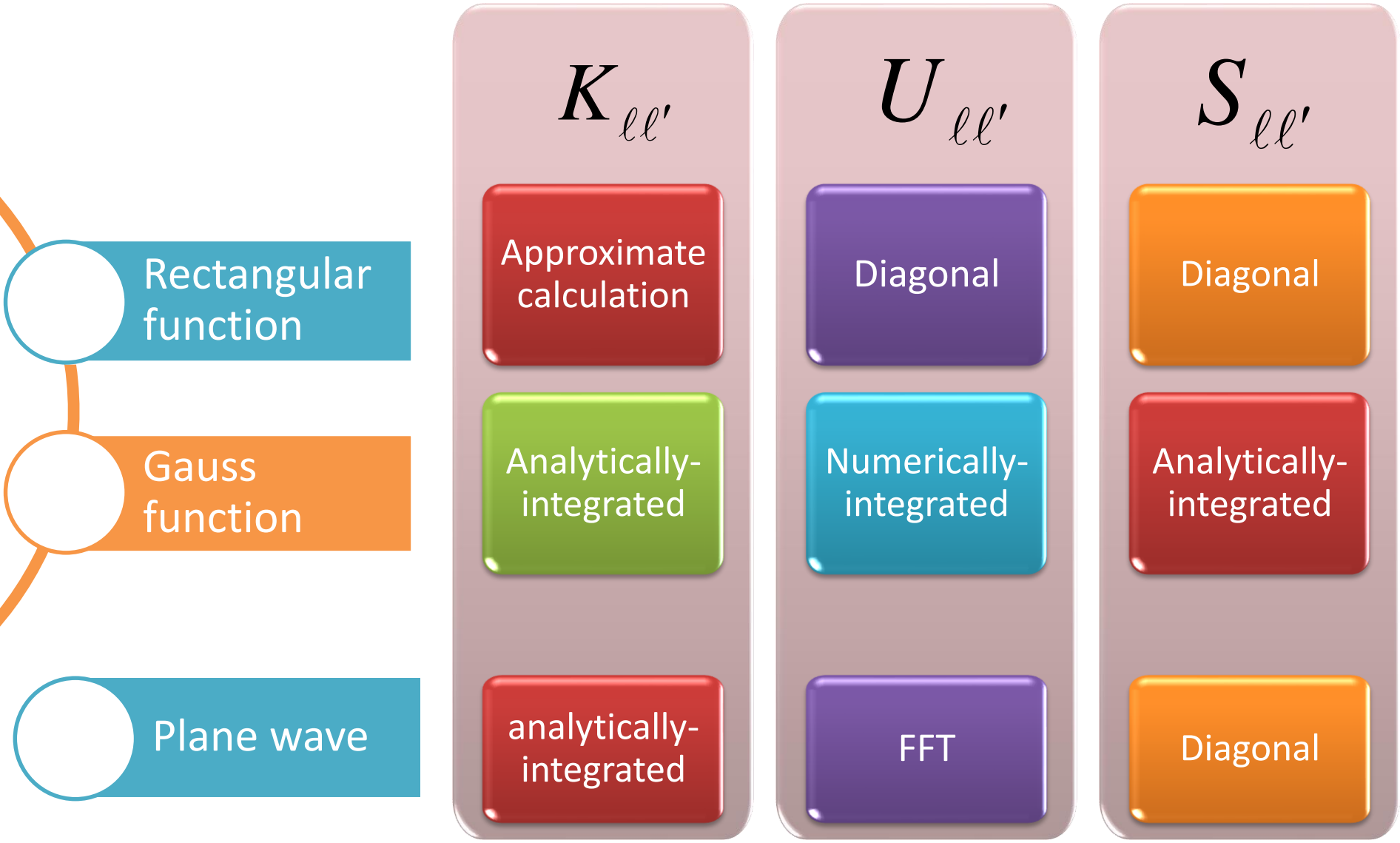
Secular equation

$N_G \times N_G$

$N_G$ : number of basis functions

$$\begin{vmatrix} H_{11} - E S_{11} & H_{12} - E S_{12} & \cdots & H_{1N_G} - E S_{1N_G} \\ H_{21} - E S_{21} & H_{22} - E S_{22} & \cdots & H_{2N_G} - E S_{2N_G} \\ \vdots & \vdots & \ddots & \vdots \\ H_{N_G 1} - E S_{N_G 1} & H_{N_G 2} - E S_{N_G 2} & \cdots & H_{N_G N_G} - E S_{N_G N_G} \end{vmatrix} = 0$$

# For describing the nucleus state.

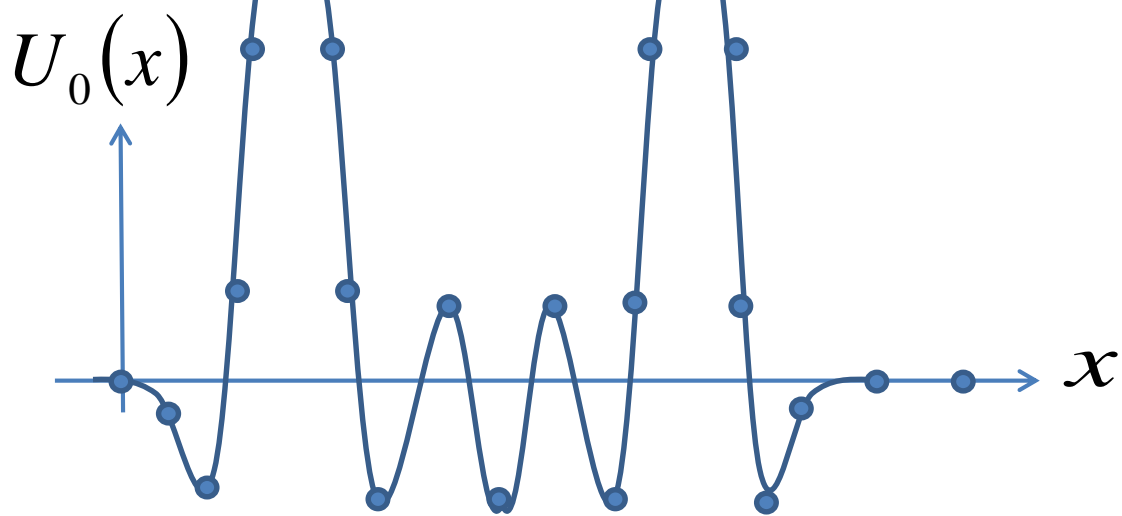


# For describing the nucleus state.

	$K_{ll'}$	$U_{ll'}$	$S_{ll'}$
Rectangular function	Approximate calculation	Diagonal	Diagonal
Gauss function	Analytically-integrated	Numerically-integrated	Analytically-integrated
Plane wave	analytically-integrated	FFT	Diagonal

$U_{\ell\ell'}$ 

Numerically-integrated



$$U_n = U_0(x_n) \quad \text{Value at Sampling point } R_n \quad n = 0, 1, 2, 3, \dots, N-1$$

Discrete Fourier transform

$$U_n \rightarrow \tilde{U}_k$$

Inverse Fourier transform

$$U(x) = \frac{1}{N} \sum_{k=0}^{N-1} \tilde{U}_k \exp\left(\frac{2\pi i}{L} k \cdot x\right)$$

Not reproduce the blue solid line for continuous  $x$ :  $U_0(x)$

$k$	0	1	2	...	$\frac{N-1}{2}$	$\frac{N-1}{2}+1$	...	$N-2$	$N-1$
$\tilde{U}$	$\tilde{U}_0$	$\tilde{U}_1$	$\tilde{U}_2$	...	$\tilde{U}_{\frac{N-1}{2}}$	$\tilde{U}_{\frac{N-1}{2}+1}$	...	$\tilde{U}_{N-2}$	$\tilde{U}_{N-1}$

Right circular  
shift by half data

$k$	$-\frac{N-1}{2}$		...	-1	0	+1	...		$\frac{N-1}{2}$
$\tilde{U}$	$\tilde{U}_{\frac{N-1}{2}+1}$		...	$\tilde{U}_{N-1}$	$\tilde{U}_0$	$\tilde{U}_1$	...		$\tilde{U}_{\frac{N-1}{2}}$

$$U(x) = \frac{1}{N} \sum_{k=-\frac{N-1}{2}}^{\frac{N-1}{2}} \tilde{U}_k \exp\left(\frac{2\pi i}{L} k \cdot x\right)$$

It can solve the missing part between sampling points.

$$U_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_{\ell}(x, y, z) U_0(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

is analytically integrated



$$G_\ell(x, y, z) = \left( \frac{8\beta_x\beta_y\beta_z}{\pi^3} \right)^{1/4} \exp\left\{-\beta_x(x-X_\ell)^2 - \beta_y(y-Y_\ell)^2 - \beta_z(z-Z_\ell)^2\right\}$$

3D-Gauss function whose center locates at glide point  $(X_\nu, Y_\nu, Z_\nu)$

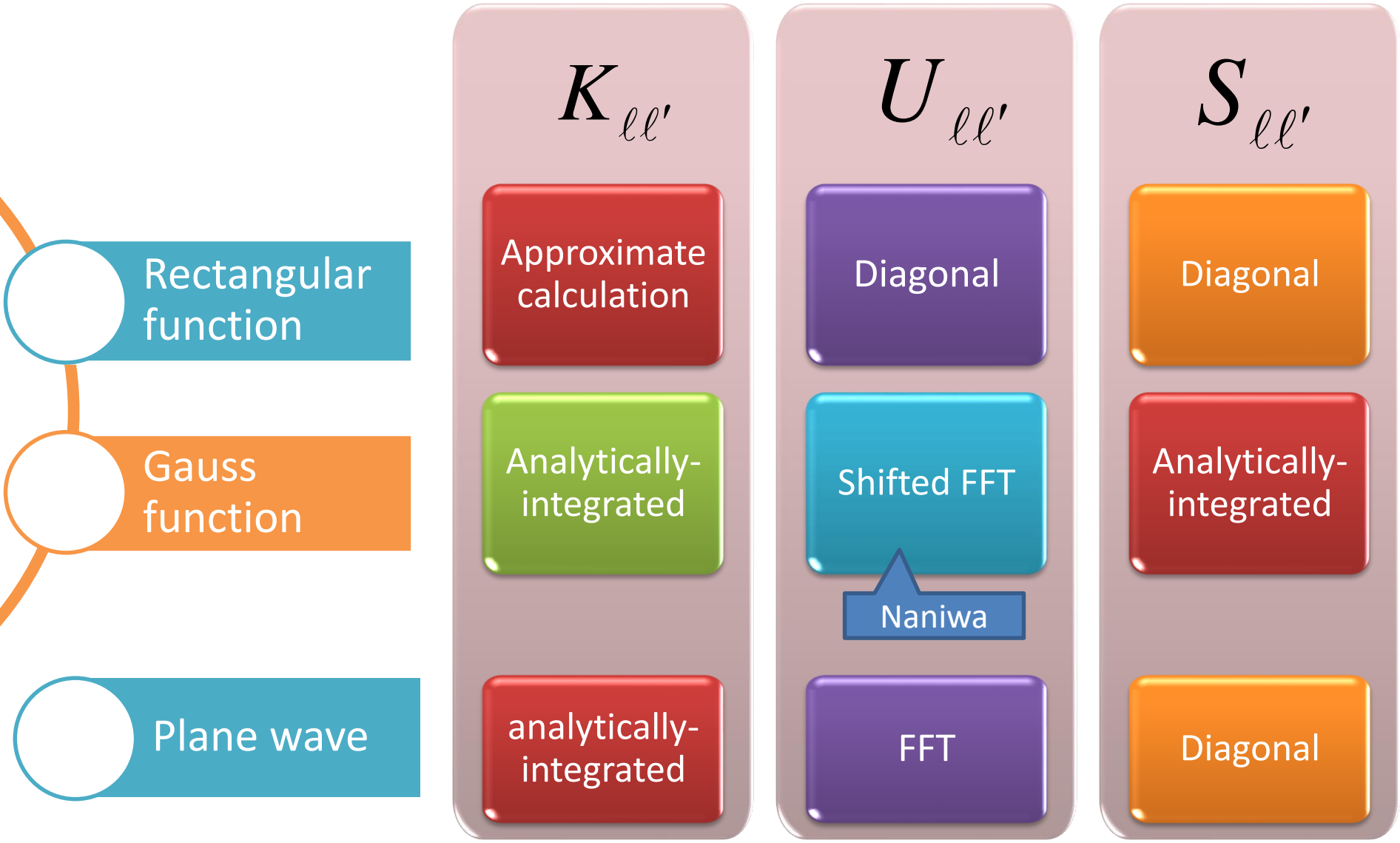
$$S_{\ell\ell'} = \exp\left\{-\frac{\beta_x}{2}(X_\ell - X_{\ell'})^2 - \frac{\beta_y}{2}(Y_\ell - Y_{\ell'})^2 - \frac{\beta_z}{2}(Z_\ell - Z_{\ell'})^2\right\}$$

$$K_{\ell\ell'} = \left( -\frac{\hbar^2}{2M} \right) \cdot S_{\ell\ell'} \cdot \left[ \beta_x \left\{ \beta_x (X_\ell - X_{\ell'})^2 - 1 \right\} + \beta_y \left\{ \beta_y (Y_\ell - Y_{\ell'})^2 - 1 \right\} + \beta_z \left\{ \beta_z (Z_\ell - Z_{\ell'})^2 - 1 \right\} \right]$$

$$U_{\ell\ell'} = \frac{S_{\ell\ell'}}{N_1 N_2 N_3} \sum_{k_1=-(N_1-1)/2}^{(N_1-1)/2} \sum_{k_2=-(N_2-1)/2}^{(N_2-1)/2} \sum_{k_3=-(N_3-1)/2}^{(N_3-1)/2} \tilde{U}_{k_1, k_2, k_3} \\ \times \exp\left[ -\frac{1}{8\beta_x} \left( \frac{2\pi(k_1)}{L_x} \right)^2 - \frac{1}{8\beta_y} \left( \frac{2\pi(k_2)}{L_y} \right)^2 - \frac{1}{8\beta_z} \left( \frac{2\pi(k_3)}{L_z} \right)^2 \right. \\ \left. + i \left( \frac{\pi(k_1)}{L_x} \right) (X_\ell + X_{\ell'}) + i \left( \frac{\pi(k_2)}{L_y} \right) (Y_\ell + Y_{\ell'}) + i \left( \frac{\pi(k_3)}{L_z} \right) (Z_\ell + Z_{\ell'}) \right]$$

All matrix elements are given by analytical from with use of shifted FFT.

# For describing the nucleus state.



**Naniwa-Static:** It is a nucleus version of the first principles quantum state calculations.

Equation for a single hydrogen atom motion:

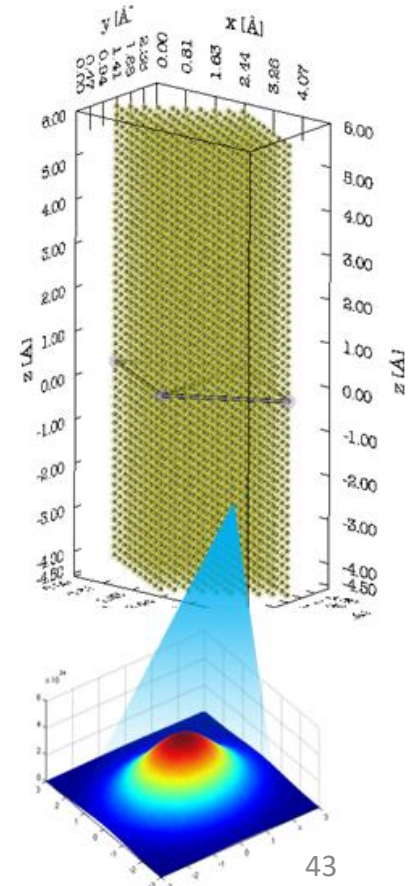
$$\left[ -\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + U_0(x, y, z) \right] \phi_{\omega;0}(x, y, z) = E_{\omega,0} \phi_{\omega;0}(x, y, z) \quad \dots (**)$$

$(x, y, z)$  : Hydrogen atom position

The wave function for Hydrogen atom motion near the surface has position localized character. Then we described it by linear combination of the 3D-Gauss functions located at grid points,  $(\xi_l, \eta_l, \zeta_l)$  .

$$\phi_{\omega}(x, y, z) = \sum_{\ell}^{N_G} C_{\omega,\ell} G_{\ell}(x, y, z) \quad \dots (***)$$

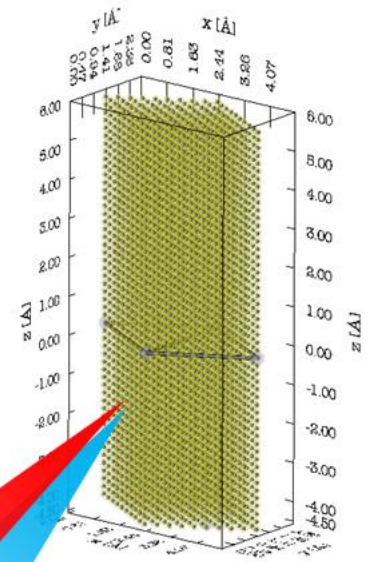
$$G_{\ell}(x, y, z) = \left( \frac{\sigma_x \sigma_y \sigma_z}{\pi^3} \right)^{1/4} \exp \left\{ -\sigma_x (x - \xi_{\ell})^2 - \sigma_y (y - \eta_{\ell})^2 - \sigma_z (z - \zeta_{\ell})^2 \right\}$$



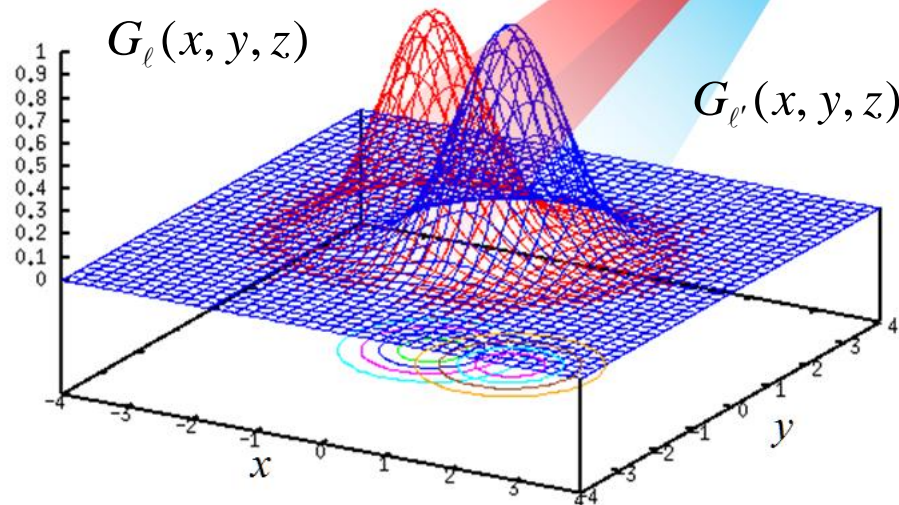
**We solve eq.(\*\*) by the variation method.**

The  $\ell$ th 3D-Gauss function located at the  $\ell$ th grid point,  $(\xi_\ell, \eta_\ell, \varsigma_\ell)$  is given by

$$G_\ell(x, y, z) = \left( \frac{\sigma_x \sigma_y \sigma_z}{\pi^3} \right)^{1/4} \exp \left\{ -\sigma_x (x - \xi_\ell)^2 - \sigma_y (y - \eta_\ell)^2 - \sigma_z (z - \varsigma_\ell)^2 \right\}$$



The nearest neighbor 3D-Gauss functions have to be overlapped.

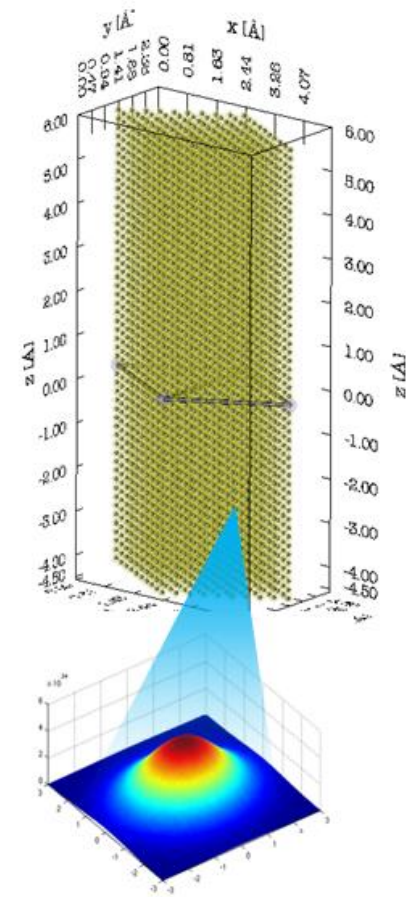


nearest neighbor  $\langle \ell, \ell' \rangle$

# Important reminder for making the grid set of 3D-Gauss functions

You have to check the convergence of numerical results by the some trial runs for various:

- ✓ Number of grid points  $N_G$
- ✓ The decay factors  $\sigma_x, \sigma_y, \sigma_z$





Variation method for eq.(\*\*) by use of the trial function (\*\*\*)

$$\sum_{\ell'} [H_{\ell\ell'} - E_{\omega} S_{\ell\ell'}] C_{\omega,\ell} = 0$$

$$H_{\ell\ell'} = K_{\ell\ell'} + U_{\ell\ell'}$$

$$K_{\ell\ell'} = \iiint \int_{-\infty}^{+\infty} G_{\ell}(x, y, z) \left[ -\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \right] G_{\ell'}(x, y, z) dx dy dz$$

$$U_{\ell\ell'} = \iiint \int_{-\infty}^{+\infty} G_{\ell}(x, y, z) U_0(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

$$S_{\ell\ell'} = \iiint \int_{-\infty}^{+\infty} G_{\ell}(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

Secular equation  $N_G \times N_G$   $N_G$ : number of Gauss functions

$$\begin{vmatrix} H_{11} - E S_{11} & H_{12} - E S_{12} & \cdots & H_{1N_G} - E S_{1N_G} \\ H_{21} - E S_{21} & H_{22} - E S_{22} & \cdots & H_{2N_G} - E S_{2N_G} \\ \vdots & \vdots & \ddots & \vdots \\ H_{N_G1} - E S_{N_G1} & H_{N_G2} - E S_{N_G2} & \cdots & H_{N_GN_G} - E S_{N_GN_G} \end{vmatrix} = 0$$

We can get the wave functions for hydrogen atom motion  
with their eigen energies.

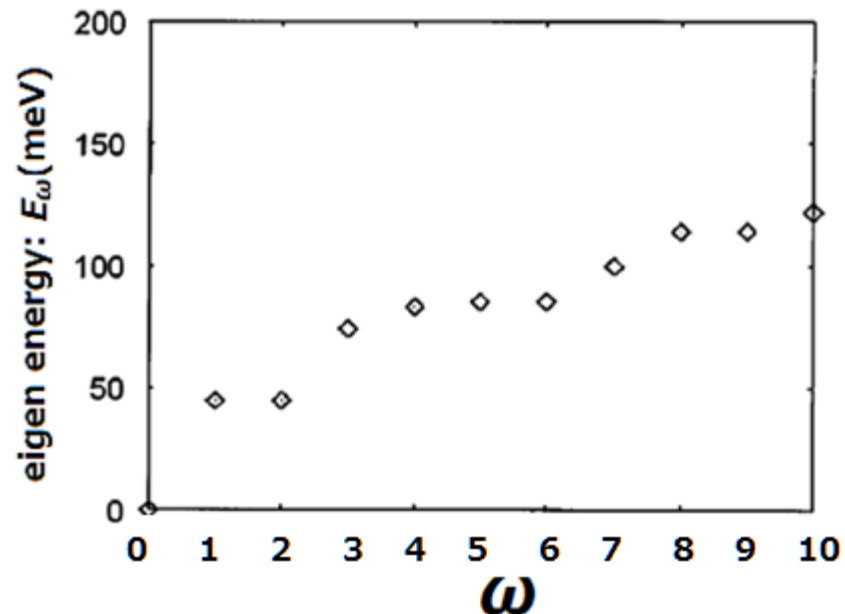
Example : H-Ir(111)2x2 ( $\theta=1/4ML$ )

Eigen energy for quantum states  
of a hydrogen atom motion on the surface

$$\begin{vmatrix} H_{11} - E S_{11} & H_{12} - E S_{12} & \cdots & H_{1N_G} - E S_{1N_G} \\ H_{21} - E S_{21} & H_{22} - E S_{22} & \cdots & H_{2N_G} - E S_{2N_G} \\ \vdots & \vdots & \ddots & \vdots \\ H_{N_G 1} - E S_{N_G 1} & H_{N_G 2} - E S_{N_G 2} & \cdots & H_{N_G N_G} - E S_{N_G N_G} \end{vmatrix} = 0$$

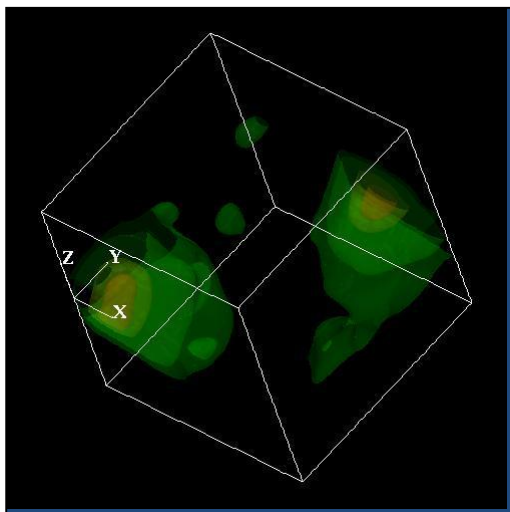
Secular equation

Eigen energy

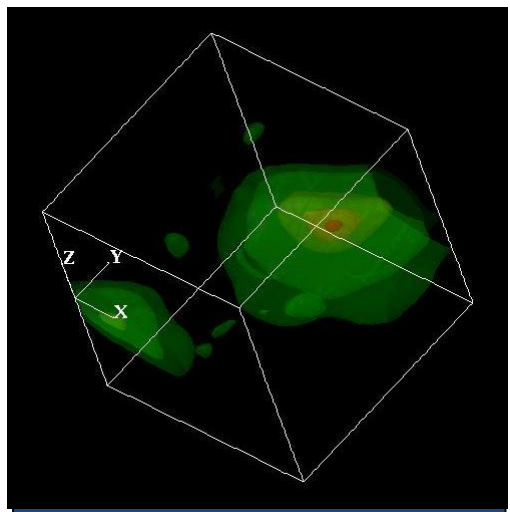


We can get the wave functions for hydrogen atom motion with their eigenenergies.

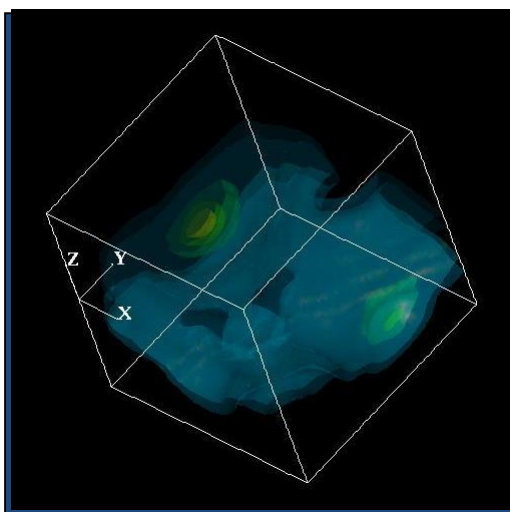
EX.  
H-Ir(111)2x2  
( $\theta=1/4\text{ML}$ )



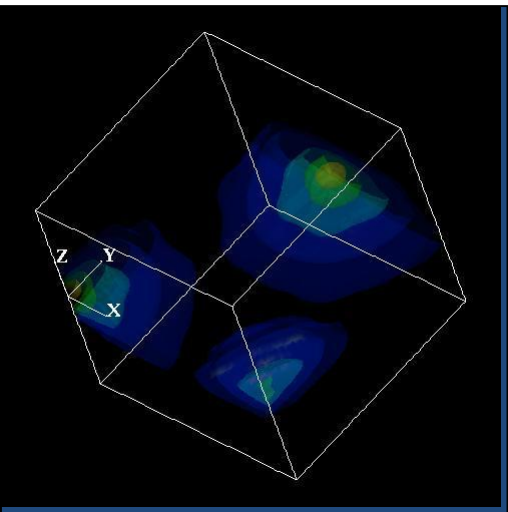
The 1<sup>st</sup> excited state



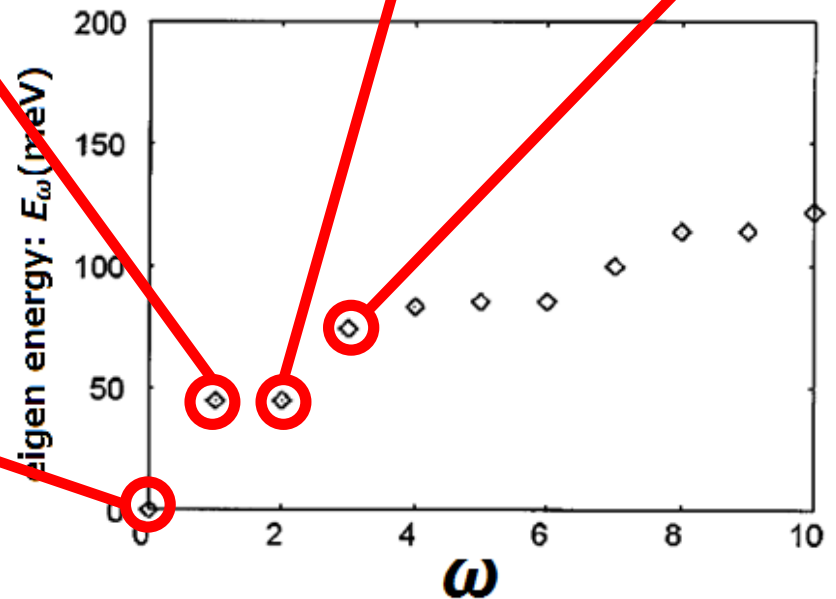
The 2<sup>nd</sup> excited state



The 3<sup>rd</sup> excited state



ground state



The expectation value of an observable,  $O$ , at  $\omega$ th state is given by

$$\begin{aligned}\langle O \rangle_{\omega} &= \iiint \phi_{\omega}^*(x, y, z) \hat{O} \phi_{\omega}(x, y, z) dx dy dz \\ &= \sum_{q=1}^{N_G} \sum_{q'=1}^{N_G} C_{\omega,q}^* C_{\omega,q'} \iiint G_q(x, y, z) \hat{O} G_{q'}(x, y, z) dx dy dz\end{aligned}$$

It is easy to calculate  $\langle O \rangle_{\omega}$  from obtained eigenvectors  $(C_{\omega,1}, C_{\omega,2}, C_{\omega,3}, \dots, C_{\omega,N_G})$ .

Example of observable

Position:  $\widehat{\vec{R}} = (x, y, z)$

Momentum:  $\widehat{\vec{p}} = (\hat{p}_x, \hat{p}_y, \hat{p}_z)$

$$\hat{p}_x = -i\hbar \frac{\partial}{\partial x}, \hat{p}_y = -i\hbar \frac{\partial}{\partial y}, \hat{p}_z = -i\hbar \frac{\partial}{\partial z}$$

Distribution of position:

$$\rho_{\text{position}}(x', y', z') = \delta(x' - x) \cdot \delta(y' - y) \cdot \delta(z' - z)$$

Distribution of momentum:

$$\rho_{\text{momentum}}(\vec{p}) = \delta(p_x - \hat{p}_x) \cdot \delta(p_y - \hat{p}_y) \cdot \delta(p_z - \hat{p}_z)$$



Electron density distribution:  $\rho_{n,\omega}(\mathbf{r})$

$$\Psi_{n,\omega}(\mathbf{r}, \mathbf{R}) = \psi_{n;\mathbf{R}}(\mathbf{r}) \cdot \phi_{\omega;n}(\mathbf{R}), \quad \mathbf{r} = (r_1, r_2, r_3, \dots, r_i, \dots, r_{n_e})$$

$$\begin{aligned} \rho_{n,\omega}(r) &= \iint |\psi_{n;\mathbf{R}}(\mathbf{r})|^2 \cdot |\phi_{\omega;n}(\mathbf{R})|^2 \delta(r - r_1) d\mathbf{R} dr \\ &= \int \rho_{n;\mathbf{R}}(r) \cdot |\phi_{\omega;n}(\mathbf{R})|^2 d\mathbf{R} \end{aligned}$$

From DFT based ab initio electron state calculation  $\rightarrow \rho_{n;\mathbf{R}}(r) = \sum_{\text{occ.} l} |\varphi_{l;\mathbf{R}}(r)|^2$

\* Even in the adiabatic approximation, isotope effects appear in the electron states.

$|\phi_{\omega;n}(\mathbf{R})|^2 \Rightarrow$  broad, delocalized

as change of  $\text{T}^+ \Rightarrow \text{D}^+ \Rightarrow \text{H}^+ \Rightarrow \mu^+$

The fastest wave

浪速

Naniwa



## 2. System Requirements [Recommended]

### ❑ Hardware

- ✓ Computer Processor: 3.0GHz Intel Core i7 or better
- ✓ Computer Memory: 8 GB or more

### ❑ Operating System: 64-bit Linux distribution

### ❑ Software

- (1) Naniwa package file : NaniwaSykXXXXXXXXX.tar  
XXXXXXXXX is version number. (ex. NaniwaSyk20170303.tar)
- (2) ab initio electronic state calculation package  
ex. State-Senri, Osaka2K, RSPACE, ... GAUSSIAN, VASP, ...

### For install

- (1) Compiler: Intel® Fortran compiler
- (2) Math library: Intel® Math Kernel Library (MKL)

### For use

- (0) Unix shell: csh or tcsh
- (1) Text editor: vi , mule ... (as you like)
- (2) Visualization tool: gnuplot, OpenDX, XCrySDen

For install

(1) Compiler: Intel® Fortran compiler

<http://software.intel.com/en-us/articles/intel-composer-xe/>

(2) Math library: Intel® Math Kernel Library (MKL)

<http://software.intel.com/en-us/articles/intel-mkl/>

For use

(1) Text editor: vi , mule ... (as you like)

(2) Visualization tool: gnuplot, OpenDX

“gnuplot”

: a command-line program that can make 2- and/or 3-dimensional plots of functions and data.

<http://www.gnuplot.info/>

“OpenDX”

: IBM Visualization Data Explorer program.

<http://www.opendx.org/> (dead link: see appendix)

“XCrySDen”

: a crystalline and molecular structure visualization program.

<http://www.xcrysden.org/>

### 3. How to install

- (1) Copy the package file “NaniwaSykXXXXXXXXX.tar” to your home directory.  
XXXXXXXXX is version number. (ex. NaniwaSyk20130110A.tar)
- (2) Decompress the package file.

Type following command lines:

```
tar xvf NaniwaSykXXXXXXXXX.tar [Enter]
```



You can get following directory on your home directory.

## Installed directory structure

[your home directory]

|-- naniwa -+

|-- doc: documents

|-- SRC : source codes

|-- bin: execution programs

|-- work: working directory

|-- qs : script for Grid Engine (Job scheduler)

|-- etc -+

|-- OpenDX: files for Visualization software OpenDX®

|-- potential: files for making potential data

| -- example: input data examples

|-- results: simulation results

(3) Move to SRC directory

Type :

```
cd ./naniwa/SRC [Enter]
```

(4) Compile the source codes

Type :

```
make all [Enter]
```

*The compilation must finish without errors, although warnings may be possible.*

## Error message

```
fastDFT3D_MKL.f(5): error #7002: Error in opening the compiled module file.  
Check INCLUDE paths. [MKL_DFTI]  
    Use MKL_DFTI  
-----^  
fastDFT3D_MKL.f(10): error #6457: This derived type name has not been declared.  
[DFTI_DESCRIPTOR]  
    type(DFTI_DESCRIPTOR), POINTER :: hand  
-----^  
compilation aborted for fastDFT3D_MKL.f (code 1)  
make: *** [naniwa] Error 1
```

*Please ask to your system administrator to compile mkl\_dfti.f90.  
Please do followings as root (super user).*

```
cd /opt/intel/mkl/include  
ifort -c mkl_dfti.f90
```

*\* You can find some hints to solve your problem in "Makefile".*

(5) Move the execution file to binary directory

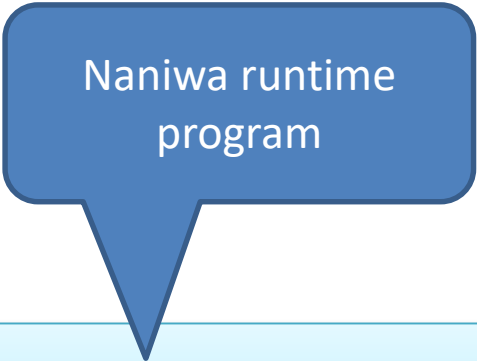
Type:

```
make all-install [Enter]
```

*Check the execution files under bin directory*

Type :

```
ls ../bin [Enter]
```



Naniwa runtime  
program

*You can see following files:*

```
BandStructure ChargeState eigen2spec naniwaSS_run poteng2xsf  
BHGCAR2DX chgcar2xsf makeBHGCAR pec state2dx  
cellexpander chkPOTENG makePOTENG poteng2dx state2xsf
```

(6) test run.

*Move to work directory.*

```
cd [Enter]
```

```
cd ./naniwa/work [Enter]
```

*Copy the test data.*

```
cp -r ../etc/example/test ./ [Enter]
```

```
cd test [Enter]
```

```
ls [Enter]
```

```
INSET POTENG run.csh
```

*Execute the program*

```
csh ./run.csh [Enter]
```

```
machine name
```

```
Job start date & time
```

```
Naniwa start date & time
```

```
finish naniwa
```

```
Naniwa terminate date & time
```

*Cf. if you use the Sungrid Engine, type `qsub ./run.csh` to submit your job.*

*Check output files*

*ls -al* [Enter]

```
total 104
drwxr-xr-x 3 hiro staff   72 Aug 20 12:53 .
drwxr-xr-x 3 hiro staff  17 Aug 20 12:52 ..
-rw-r--r-- 1 hiro staff 478 Aug 20 12:52 INSET
drwxr-xr-x 2 hiro staff 4096 Aug 20 12:55 kpoint0000
-rw-r--r-- 1 hiro staff 8305 Aug 20 12:55 LOG
-rw-r--r-- 1 hiro staff 90085 Aug 20 12:52 POTENG
-rw-r--r-- 1 hiro staff  290 Aug 20 12:52 run.csh
```

*cat LOG* [Enter]



*If successful, you can get the following LOG file:*

```
*****  
*      Naniwa code      *  
*****
```

```
NaniwaSYk Version 2012.11.22  
created by NAKANISHI, Hiroshi  
OSAKA UNIVERSITY
```

```
-----  
INSET title :  
*
```

```
Calculaton mode :      0  
*** Full calculation
```

```
-----  
Atomic Mass = 1.00000000000000 (proton)  
              = 1.672621637000000E-027 (kg)
```

```
-----  
Number of node index (No1,No2,No3)  
          9      9      9
```

```
Gaussian decay factors (1/Angstrom Angstrom)  
SigmaX= 5.00000000000000  
SigmaY= 5.00000000000000  
SigmaZ= 5.00000000000000
```

```
-----  
** No correction by symmetry.
```

```
12 0.1882954  
13 0.1882954  
14 0.1882954  
15 0.1882954  
16 0.1882954  
17 0.1931621  
18 0.1931621  
19 0.1931621
```

```
-----  
MSG:checking the probality of each eigenstates  
All k-point calculation, which you requested,are done.
```

```
*****  
* Naniwa code is terminated *  
*****
```

## Program codes and files

- INPUT/ OUTPT files

Naniwa users manual Ch.4

§ 4.2

## How to use

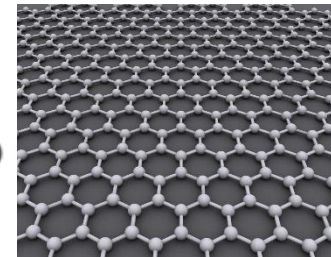
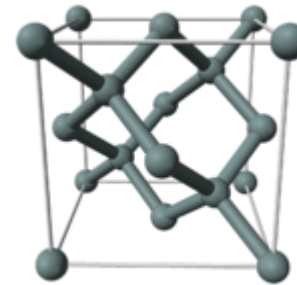
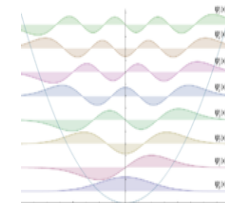
Ch. 5

- Making 3D-potential energy surface for quantum particle in material. § 5.1
- Making the simulation setting § 5.2
- Executing the simulation program. § 5.3
- Check simulation results § 5.4
- How to see the Eigenenergies as a function of quantum number.
- How to see the Wave functions

## Naniwa practice menu

### Quantum states of $\mu^+$ , $H^+$ , $D^+$ , $T^+$

1. near Pd (111) surface
2. on Pd (001) surface
3. in 3D harmonic potential
4. in Si crystal.
5. on graphene
6. in any potentials as you like





# Appendix

How to install “OpenDX” : IBM Visualization Data Explorer program.

<http://www.opendx.org/> (dead link)

When your OS is Ubuntu, type following command in terminal to install OpenDX.

```
sudo apt install dx [Enter]
```

```
[sudo] password for XXXXX: Your password [Enter]
```

```
Reading package lists ... Done
```

```
Building ....
```

```
.....
```

```
Do you want to continue?[Y/n] Y [Enter]
```

```
.....
```

```
Processing triggers for libc-bin (2.273ubuntu1) ...
```