

The fastest wave



Naniwa

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- [1] Hiroshi Nakanishi, 2016. Ch.6 Quantum adsorption states of small mass atoms on solid surfaces. In: H. Kasai, M. C. S. Escaño, ed. Physics of Surface, Interface and Cluster Catalysis. Bristol, UK, IOP Publishing.
- [2] Hiroshi Nakanishi, Quantum States of the Hydrogen Isotope in Solid Materials and on Their Surfaces", J. Comput. Chem. Jpn., Vol. 15, No. 5, pp. 124–135 (2016).

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Naniwa formulation
from total Hamiltonian
(ab initio)

Hamiltonian

$$H = \sum_{I=1}^{N_{\text{nc.}}} \left(-\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + \sum_{i=1}^{n_e} \left(-\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R})$$

m_e, M_I : masses of electron and nucleus I

$n_e, N_{\text{nc.}}$: numbers of electron and nucleus

Interactions

$$V(\mathbf{r}, \mathbf{R}) = \sum_{i=1}^{n_e} \sum_{I=1}^{N_{\text{nc.}}} \frac{-Z_I e^2}{|r_i - R_I|} + \sum_{i=1}^{n_e} \sum_{j=1}^{i-1} \frac{e^2}{|r_j - r_i|} + \sum_{I=1}^{N_{\text{nc.}}} \sum_{J=1}^{I-1} \frac{Z_J Z_I e^2}{|R_J - R_I|}$$

Z_I : atomic number of nucleus I

e : elementary charge

Particle position vectors

$$\mathbf{r} = (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots, \mathbf{r}_i, \dots, \mathbf{r}_{n_e})$$

$$\mathbf{R} = (\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_I, \dots, \mathbf{R}_{N_{\text{nc.}}}) \quad 3(n_e + N_{\text{nc.}})$$

Schrödinger equation

$$H\Psi(\mathbf{r}, \mathbf{R}) = E\Psi(\mathbf{r}, \mathbf{R})$$

Born-Oppenheimer approximation



$$\Psi_{n,\omega}(\mathbf{r}, \mathbf{R}) = \psi_{n;\mathbf{R}}(\mathbf{r}) \cdot \phi_{\omega;n}(\mathbf{R})$$

$\psi_{n;\mathbf{R}}(\mathbf{r})$: the n -th electron wave function
in the case of the fixed nucleus position \mathbf{R} .

$\phi_{\omega;n}(\mathbf{R})$: the ω -th nucleus motion wave function
in the case of the electron state n .

Equation for electron state:

$$\left[\sum_{i=1}^{n_e} \left(-\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R}) \right] \psi_{n;\mathbf{R}}(\mathbf{r}) = U_n(\mathbf{R}) \psi_{n;\mathbf{R}}(\mathbf{r}) \quad \dots \quad (*)$$

Equation for nucleus motion:

$$\left[\sum_{I=1}^{N_{\text{nc}}} \left(-\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + U_n(\mathbf{R}) \right] \phi_{\omega;n}(\mathbf{R}) = E_{\omega;n} \phi_{\omega;n}(\mathbf{R}) \quad \dots \quad (**)$$

$$\mathbf{r} = (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots, \mathbf{r}_i, \dots, \mathbf{r}_{n_e})$$
$$\mathbf{R} = (\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_I, \dots, \mathbf{R}_{N_{\text{nc}}})$$

Equation for electron state:

$$\left[\sum_{i=1}^{n_e} \left(-\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R}) \right] \psi_{n;\mathbf{R}}(\mathbf{r}) = U_n(\mathbf{R}) \psi_{n;\mathbf{R}}(\mathbf{r}) \quad \dots \quad (*)$$

For fixed \mathbf{R} , we can obtain the eigen energies, $U_n(\mathbf{R})$, and eigenstates, $\psi_{n;\mathbf{R}}(\mathbf{r})$ with the aid of the conventional first principles (*electron states*) calculation.

The eigenenergies, $U_n(\mathbf{R})$, as a function of \mathbf{R} can be consider as the adiabatic potential energy surface for nucleus motion.

Equation for nucleus motion:

$$\left[\sum_{I=1}^{N_{\text{nc}}} \left(-\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + U_n(\mathbf{R}) \right] \phi_{\omega;n}(\mathbf{R}) = E_{\omega;n} \phi_{\omega;n}(\mathbf{R}) \quad \dots \quad (**)$$

The eigen energy, $E_{\omega,n}$, corresponds to the total energy, E , appeared in the Schrödinger equation for total system: $H\Psi(\mathbf{r}, \mathbf{R}) = E\Psi(\mathbf{r}, \mathbf{R})$

quantum numbers

ω : index of quantum state for nucleus motion

n : index of quantum state for electron system

Equation for electron state:

$$\left[\sum_{i=1}^{n_e} \left(-\frac{\hbar^2}{2m_e} \right) \nabla_i^2 + V(\mathbf{r}, \mathbf{R}) \right] \psi_{n;\mathbf{R}}(\mathbf{r}) = U_n(\mathbf{R}) \psi_{n;\mathbf{R}}(\mathbf{r}) \quad \dots \quad (*)$$

Equation for nucleus motion:

$$\left[\sum_{I=1}^{N_{\text{nc.}}} \left(-\frac{\hbar^2}{2M_I} \right) \nabla_I^2 + U_n(\mathbf{R}) \right] \phi_{\omega;n}(\mathbf{R}) = E_{\omega;n} \phi_{\omega;n}(\mathbf{R}) \quad \dots \quad (**)$$

$$\mathbf{r} = (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \dots, \mathbf{r}_i, \dots, \mathbf{r}_{n_e})$$
$$\mathbf{R} = (\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_I, \dots, \mathbf{R}_{N_{\text{nc.}}})$$

Our quantum simulation scheme: Naniwa

Interactions between nucleus is calculated by DFT based first principle calculations

... (*)

Potential energy for nucleus motions: $U_n(\mathbf{R})$

Solve the Schrödinger equation for nucleus motion ... (**)

Wave function for nucleus motion → Derive the various physical quantities

Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
Period 1	1 H	2 He																
Period 2	3 Li	4 Be									11 Na	12 Mg	13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
Period 3	19 K	20 Ca									29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
Period 4	37 Rb	38 Sr									47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
Period 5	55 Cs	56 Ba									79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
Period 6	87 Fr	88 Ra									111 Uu	112 Uu	113 Uu	114 Uu	115 Uu	116 Uu	117 Uu	118 Uu

78 Pt 195.078

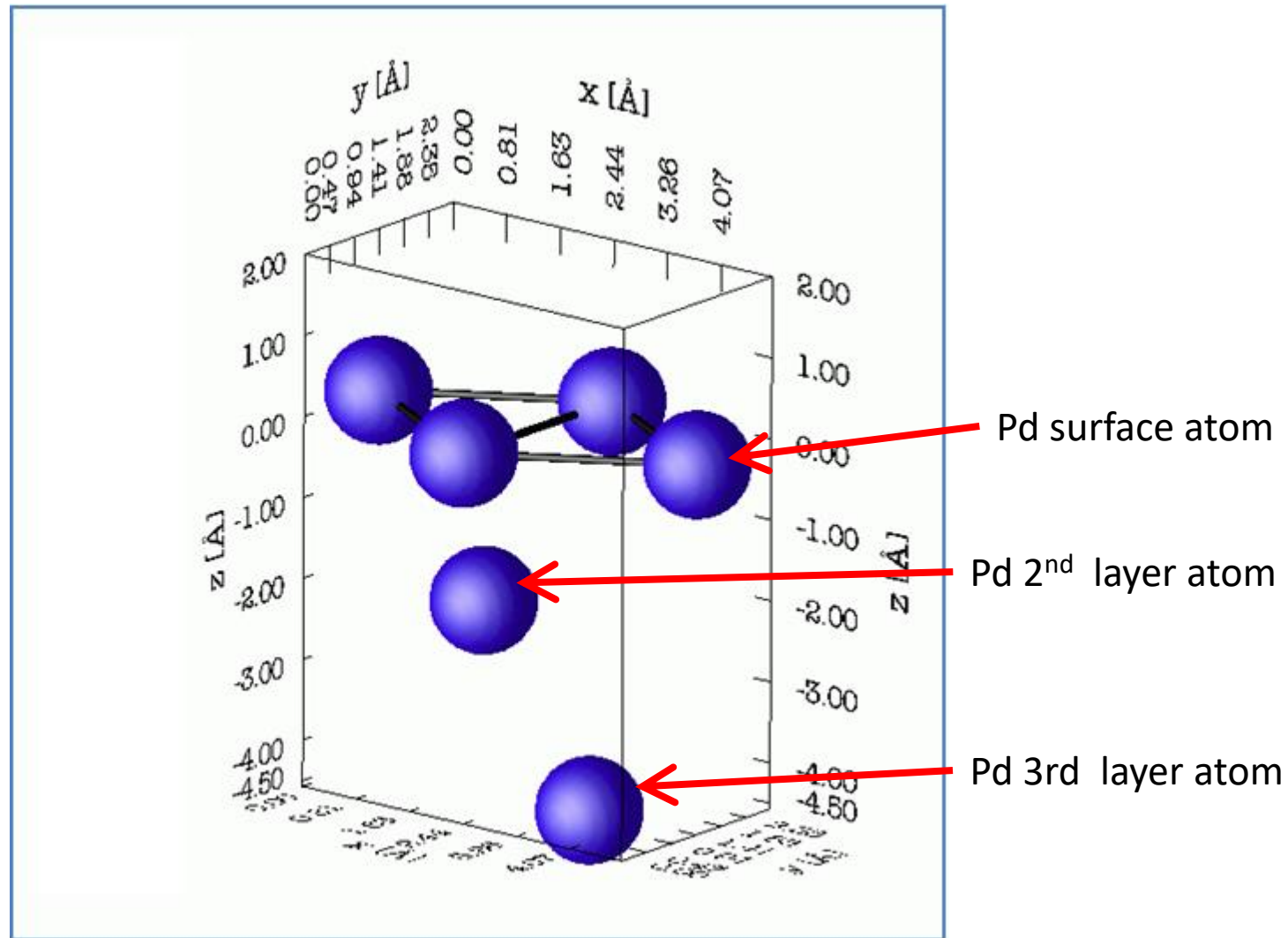
1 H 1.00794



- Parameters are only atomic number of elements
- No fitting and no artificial procedure

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

$U_0(\mathbf{R}_1)$, $\mathbf{R}_1 = (x_1, y_1, z_1)$ **Single hydrogen atom near Pd(111) surface**

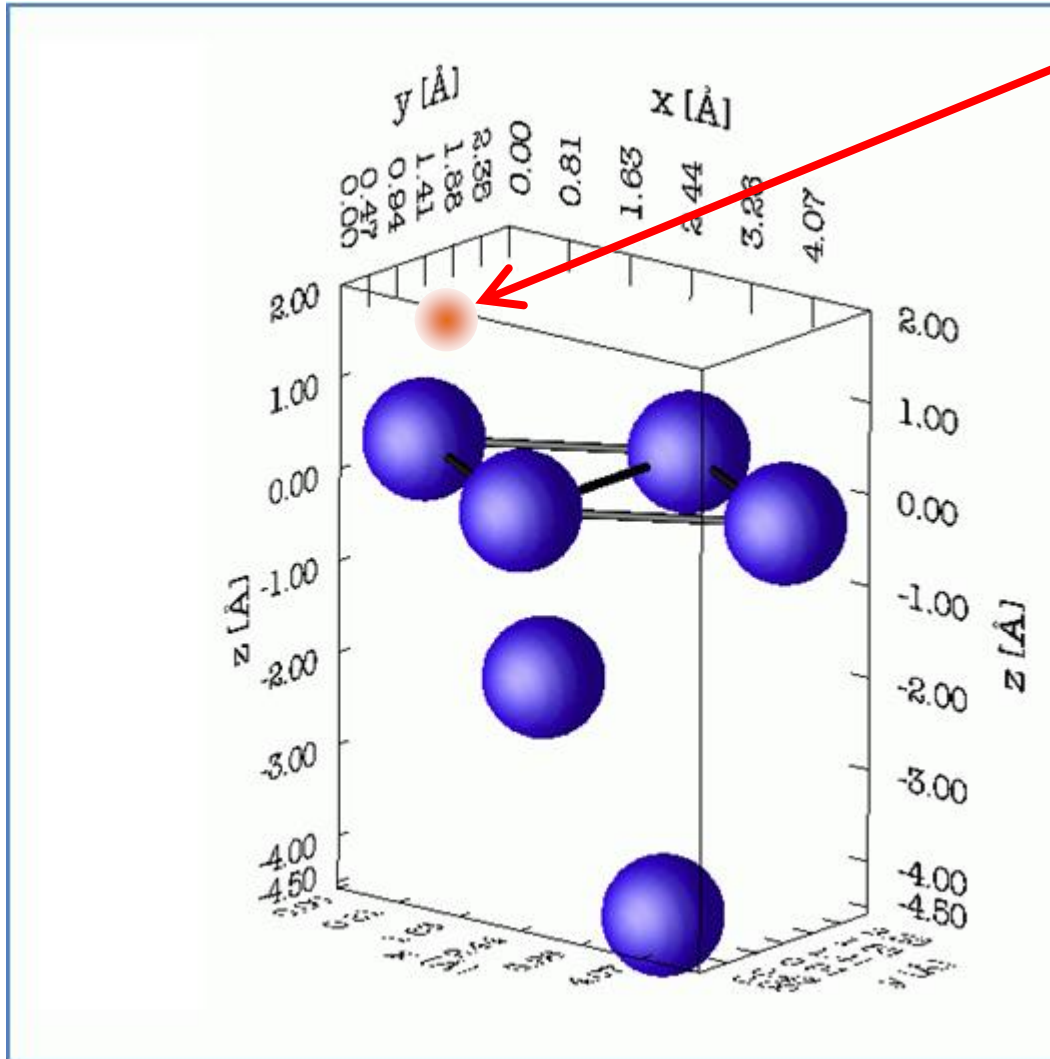


contour surface plots

adiabatic potential energy surface for nucleus motion.

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

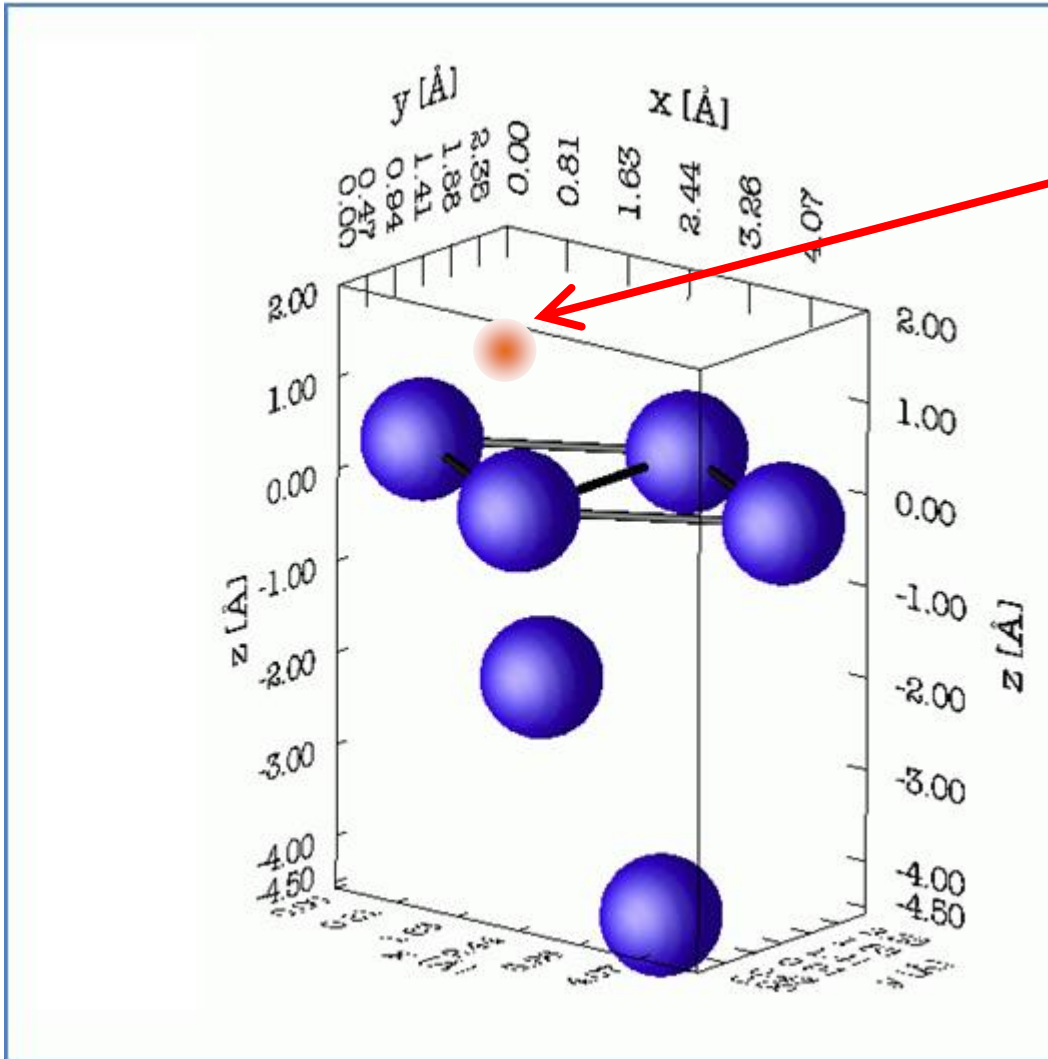
Single hydrogen atom near Pd(111) surface



$\mathbf{R}_1 = (x_1, y_1, z_1) \rightarrow U_0(\mathbf{R}_1),$

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

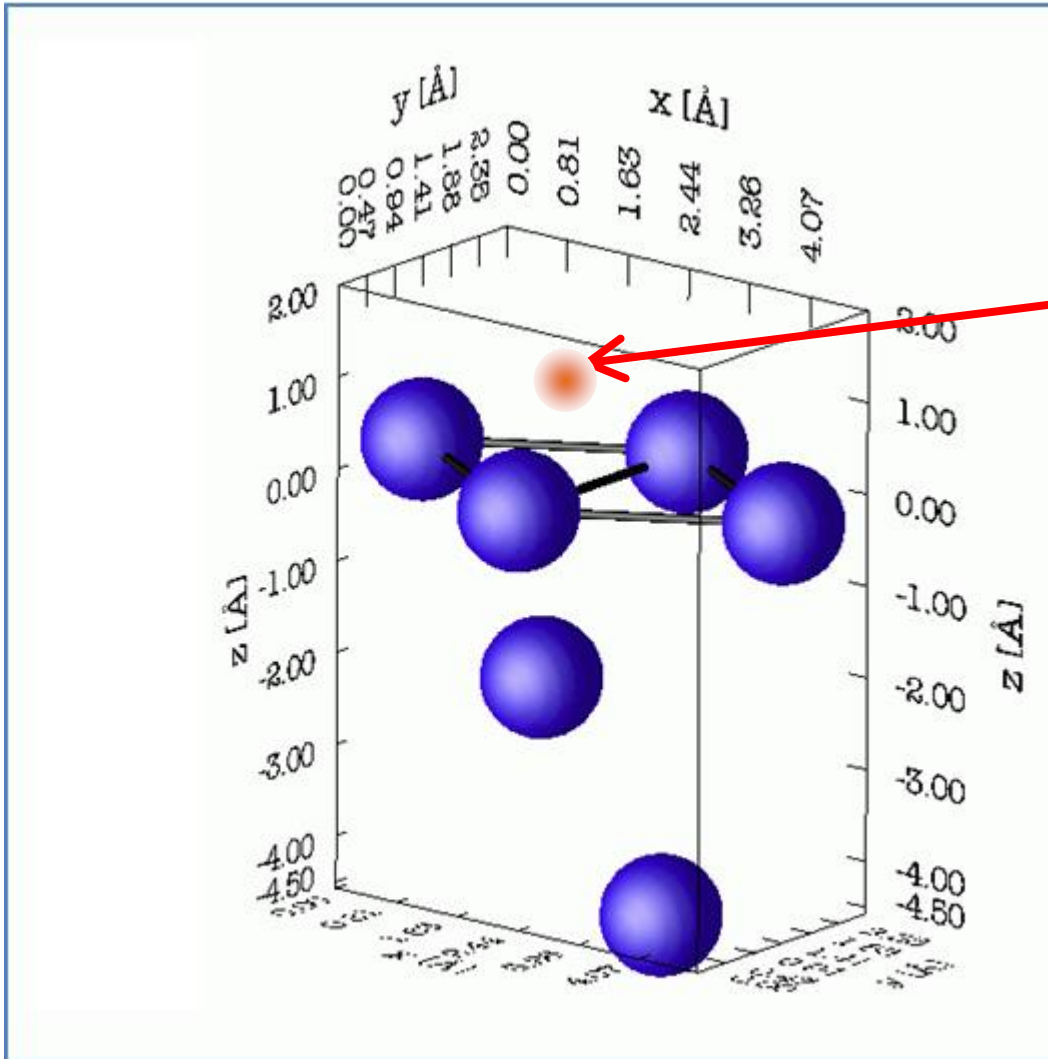
Single hydrogen atom near Pd(111) surface



$$\mathbf{R}_1 = (x_1, y_1, z_1) \quad \Rightarrow \quad U_0(\mathbf{R}_1),$$
$$\mathbf{R}_2 = (x_2, y_2, z_2) \quad \Rightarrow \quad U_0(\mathbf{R}_2),$$
$$\mathbf{R}_3 = (x_3, y_3, z_3) \quad \Rightarrow \quad U_0(\mathbf{R}_3),$$
$$\mathbf{R}_4 = (x_4, y_4, z_4) \quad \Rightarrow \quad U_0(\mathbf{R}_4),$$

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

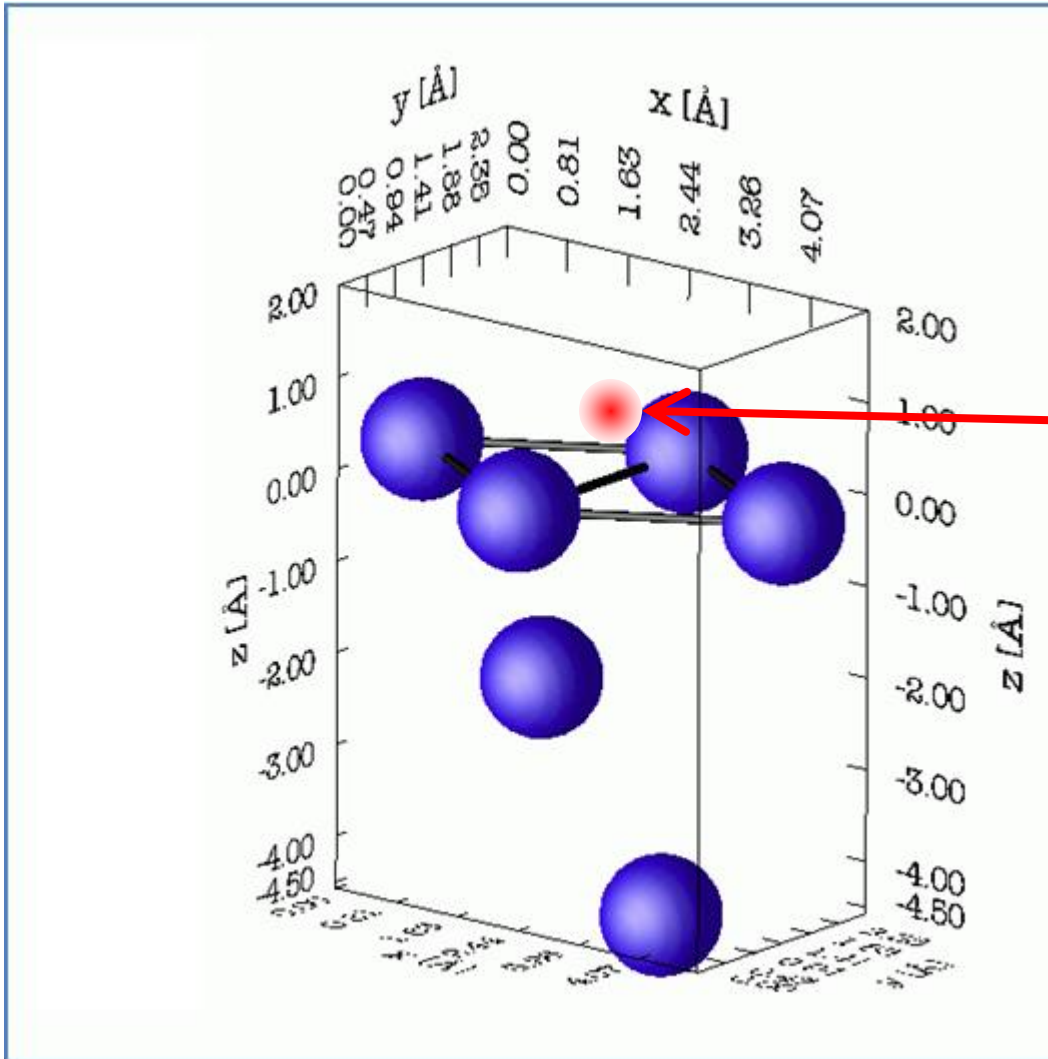
Single hydrogen atom near Pd(111) surface



$$\mathbf{R}_1 = (x_1, y_1, z_1) \quad \Rightarrow \quad U_0(\mathbf{R}_1),$$
$$\mathbf{R}_2 = (x_2, y_2, z_2) \quad \Rightarrow \quad U_0(\mathbf{R}_2),$$
$$\mathbf{R}_3 = (x_3, y_3, z_3) \quad \Rightarrow \quad U_0(\mathbf{R}_3),$$
$$\mathbf{R}_4 = (x_4, y_4, z_4) \quad \Rightarrow \quad U_0(\mathbf{R}_4),$$

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

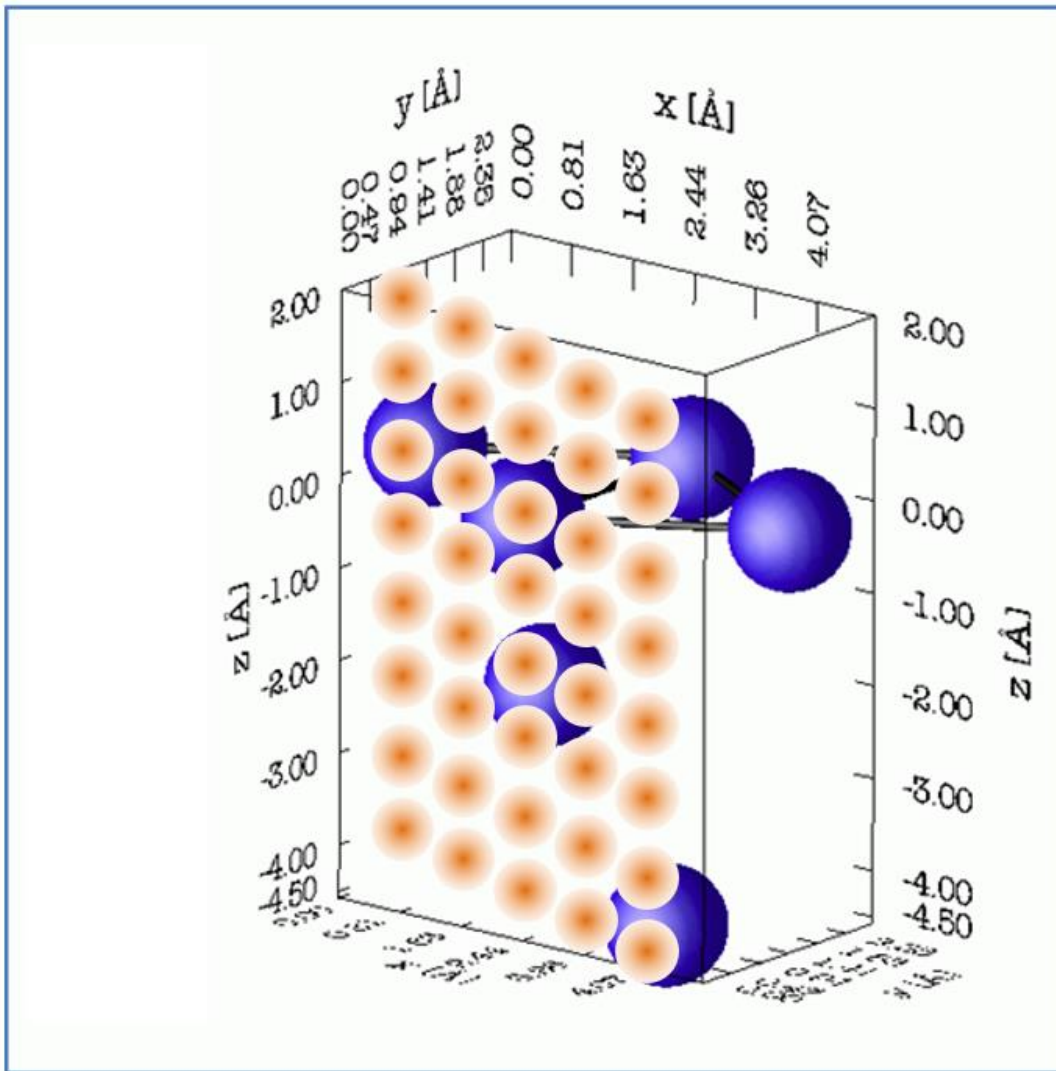
Single hydrogen atom near Pd(111) surface



$\mathbf{R}_1 = (x_1, y_1, z_1) \rightarrow U_0(\mathbf{R}_1),$
 $\mathbf{R}_2 = (x_2, y_2, z_2) \rightarrow U_0(\mathbf{R}_2),$
 $\mathbf{R}_3 = (x_3, y_3, z_3) \rightarrow U_0(\mathbf{R}_3),$
 $\mathbf{R}_4 = (x_4, y_4, z_4) \rightarrow U_0(\mathbf{R}_4),$

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

Single hydrogen atom near Pd(111) surface



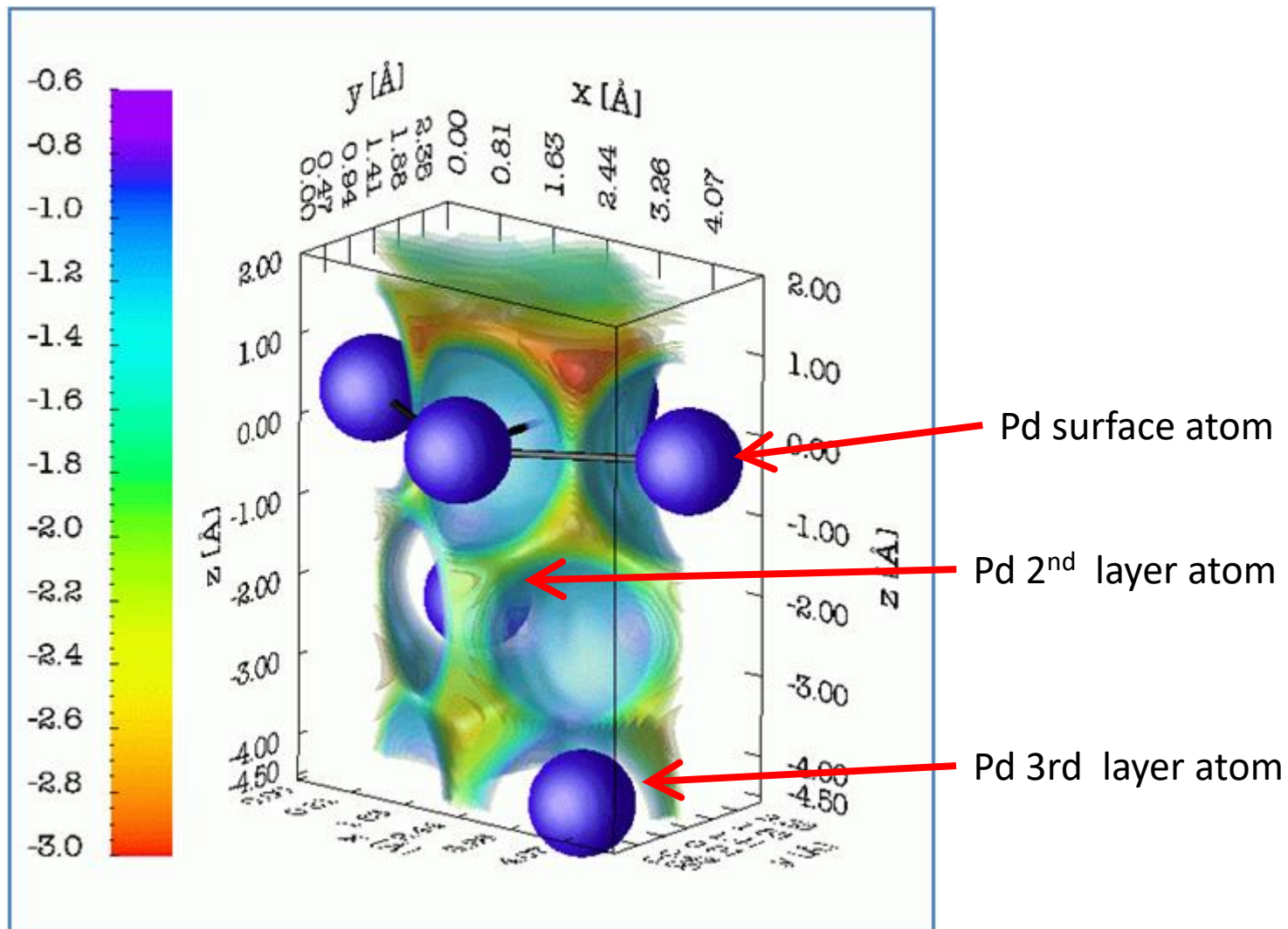
3-dimensional potential energy for hydrogen nucleus motion

$$U_0(\mathbf{R}),$$

Function of \mathbf{R}

Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

$U_0(\mathbf{R}_1)$, $\mathbf{R}_1 = (x_1, y_1, z_1)$ **Single hydrogen atom near Pd(111) surface**



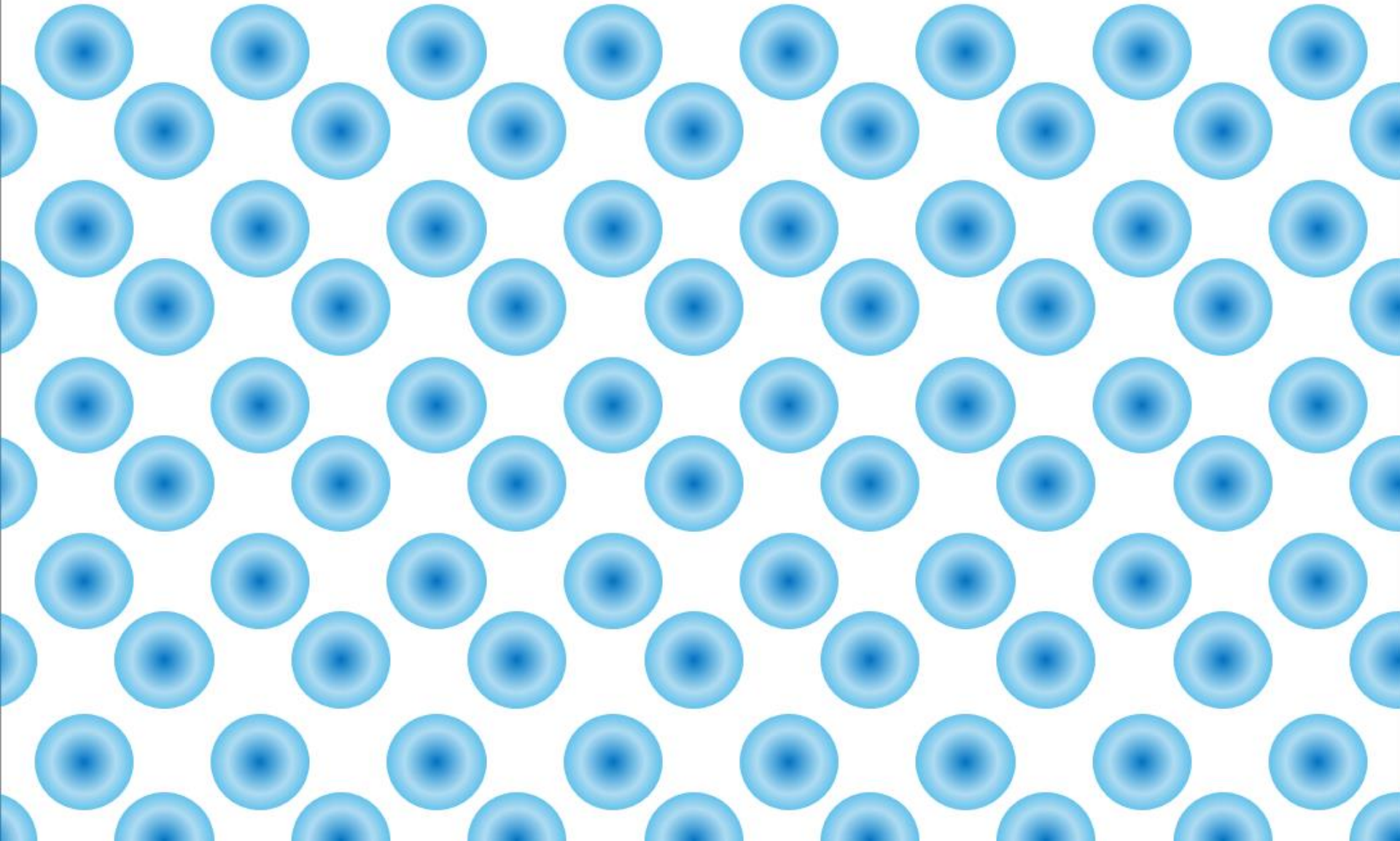
contour surface plots

adiabatic potential energy surface for nucleus motion.

Important reminder for making potential energy surface

In the case of translation symmetry

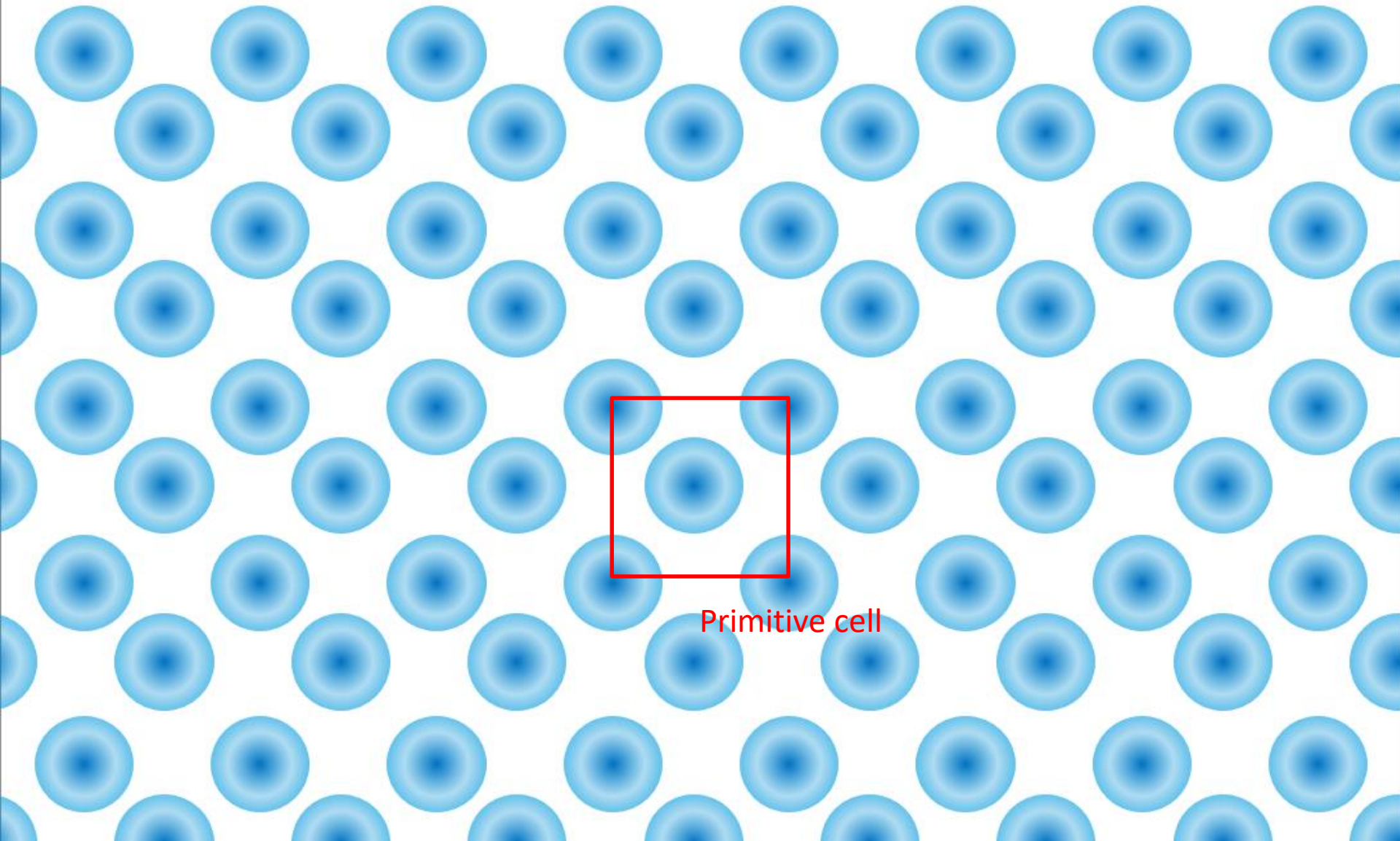
Host material atoms



Important reminder for making potential energy surface

In the case of translation symmetry

Host material atoms

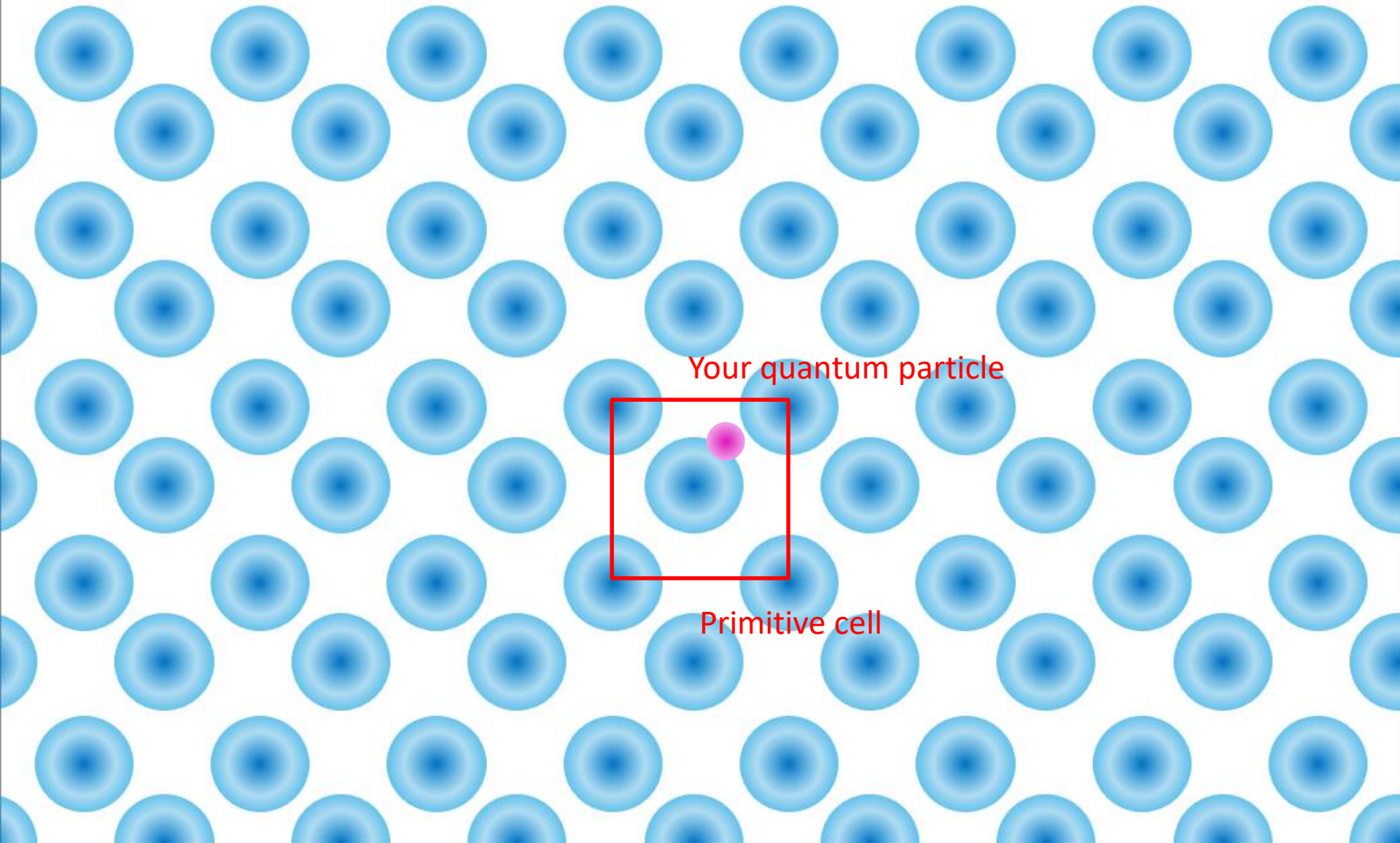


Primitive cell

Important reminder for making potential energy surface

In the case of translation symmetry

Host material atoms

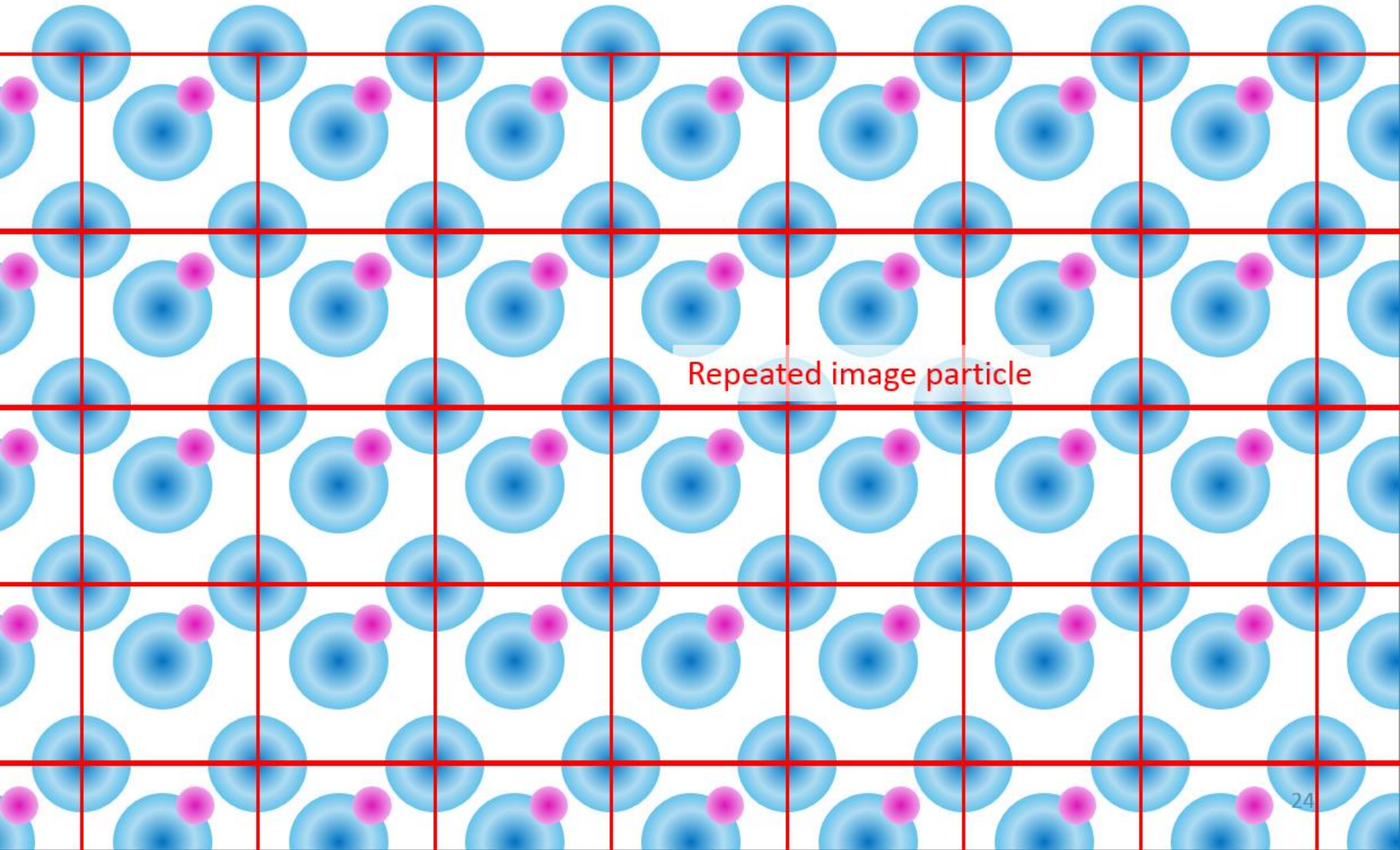


Important reminder for making potential energy surface

In the case of translation symmetry

Primitive cell = unit cell

Host material atoms

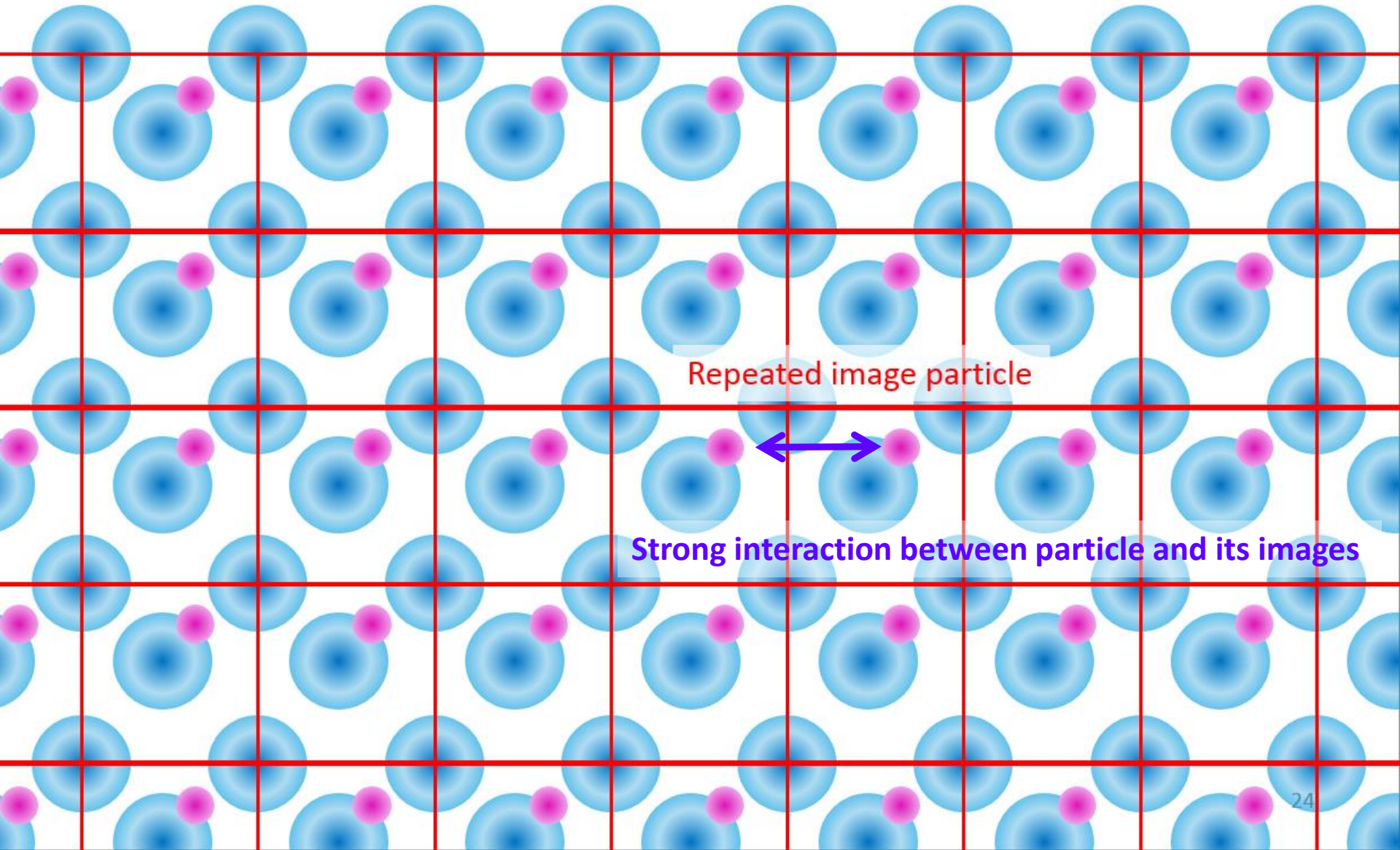


Important reminder for making potential energy surface

In the case of translation symmetry

Primitive cell = unit cell

Host material atoms



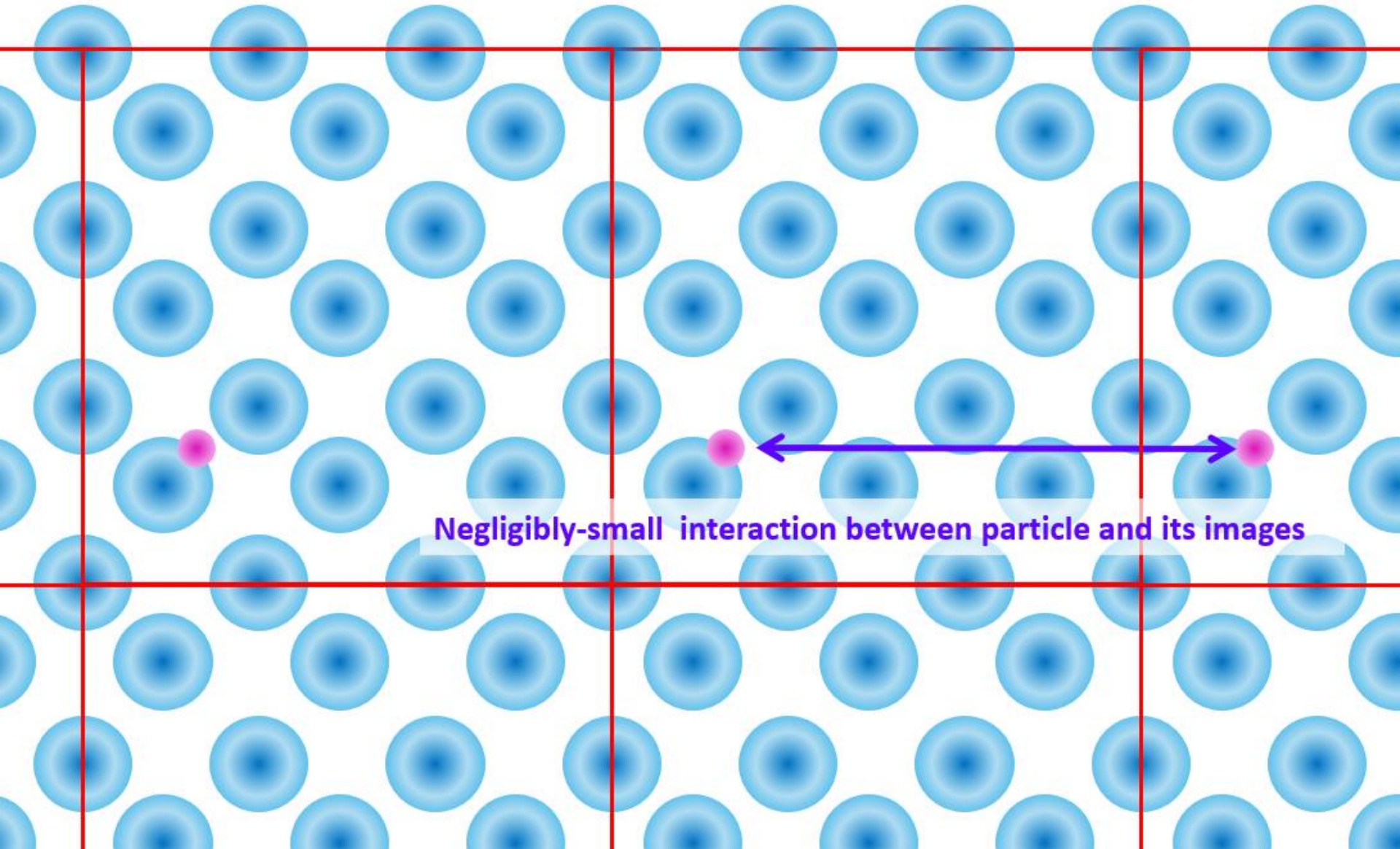
Important reminder for making potential energy surface

Super cell=Unit cell

>> Primitive cell

Host material atoms

In the case of translation symmetry



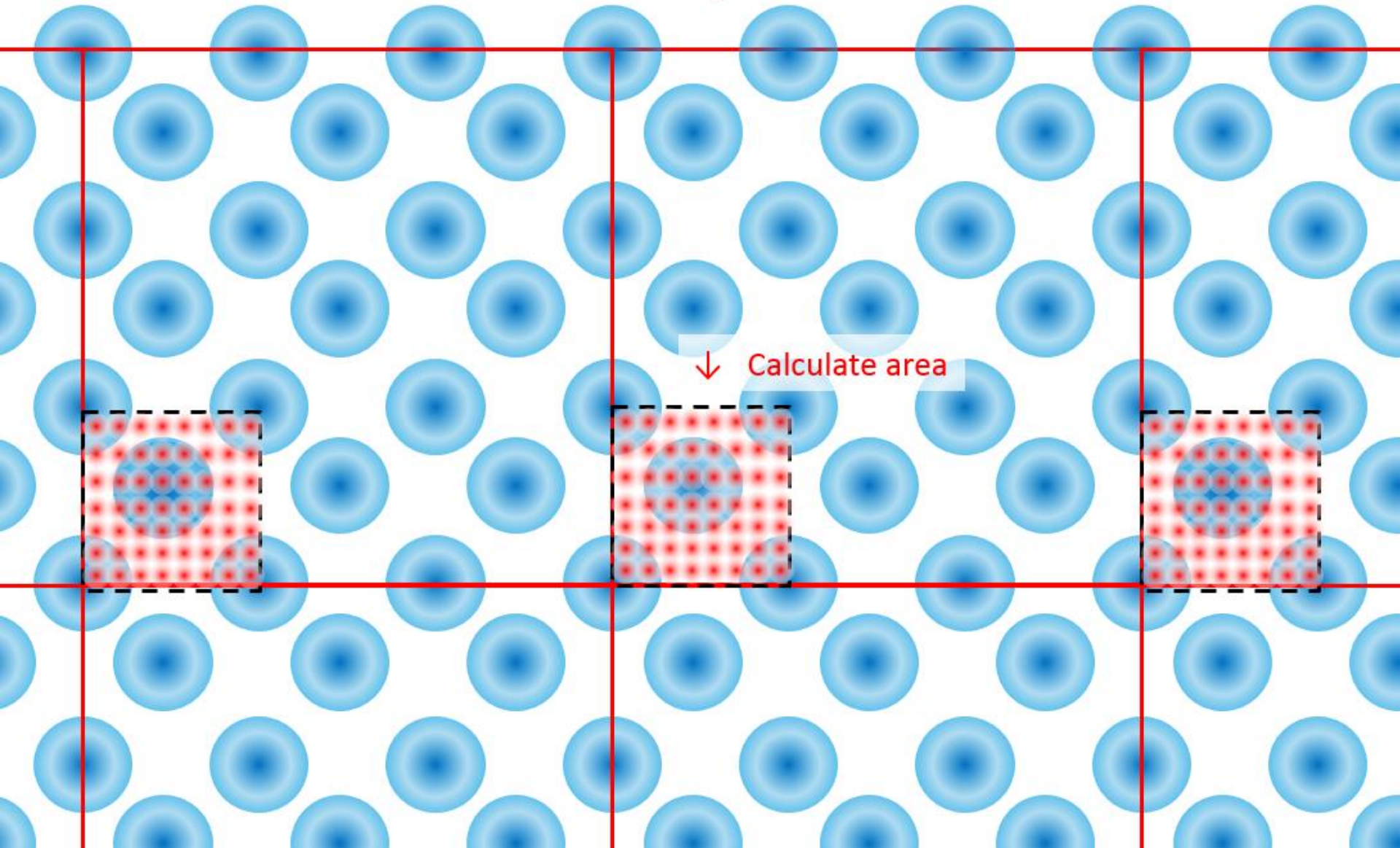
Negligibly-small interaction between particle and its images

Important reminder for making potential energy surface

In the case of translation symmetry

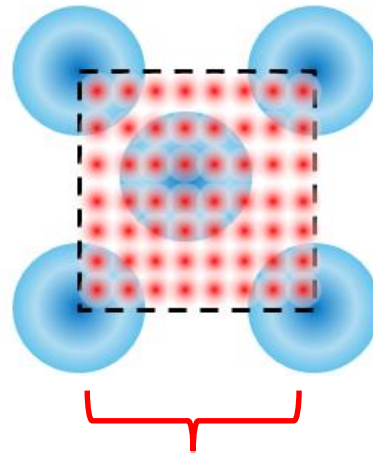
Super cell = Unit cell

Host material atoms



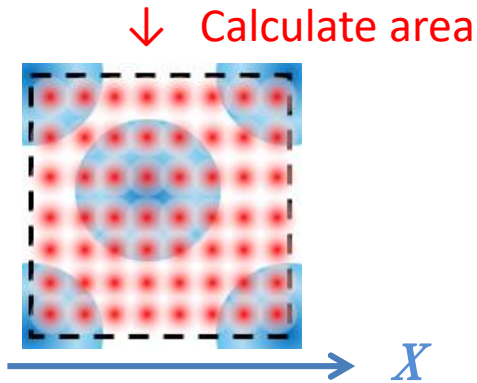
Important reminder for making potential energy surface

↓ Calculate area



Number of calculating point → enough large

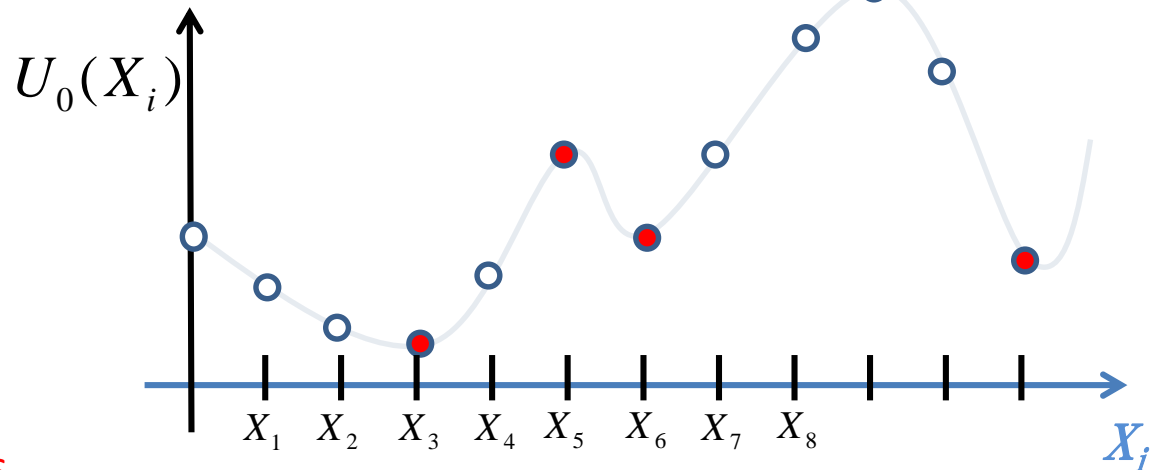
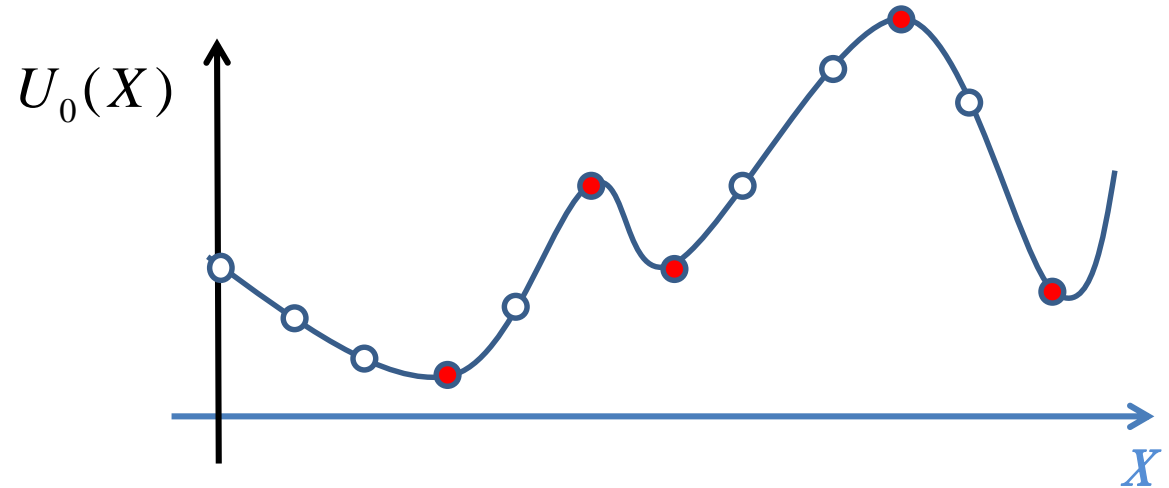
Important reminder for making potential energy surface



Number of calculating point



enough large number
to satisfy the following needs



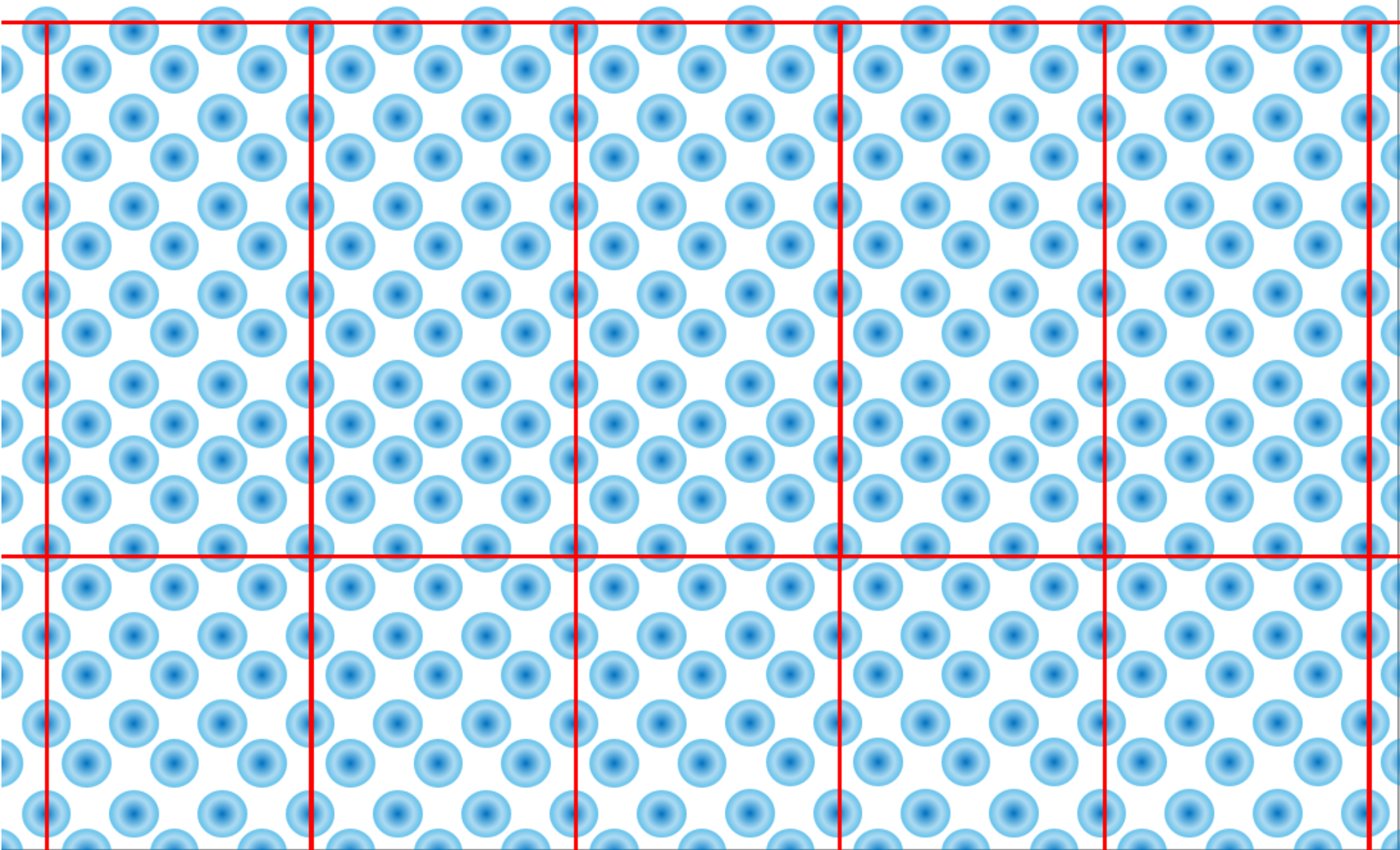
- All extremums (maximals and minimals) have to be reproduced.
- Potential energy curves have to be smoothly connected

Important reminder for making potential energy surface

In the case of **Solid Surface**

Long Super cell = Unit cell

Host material atoms

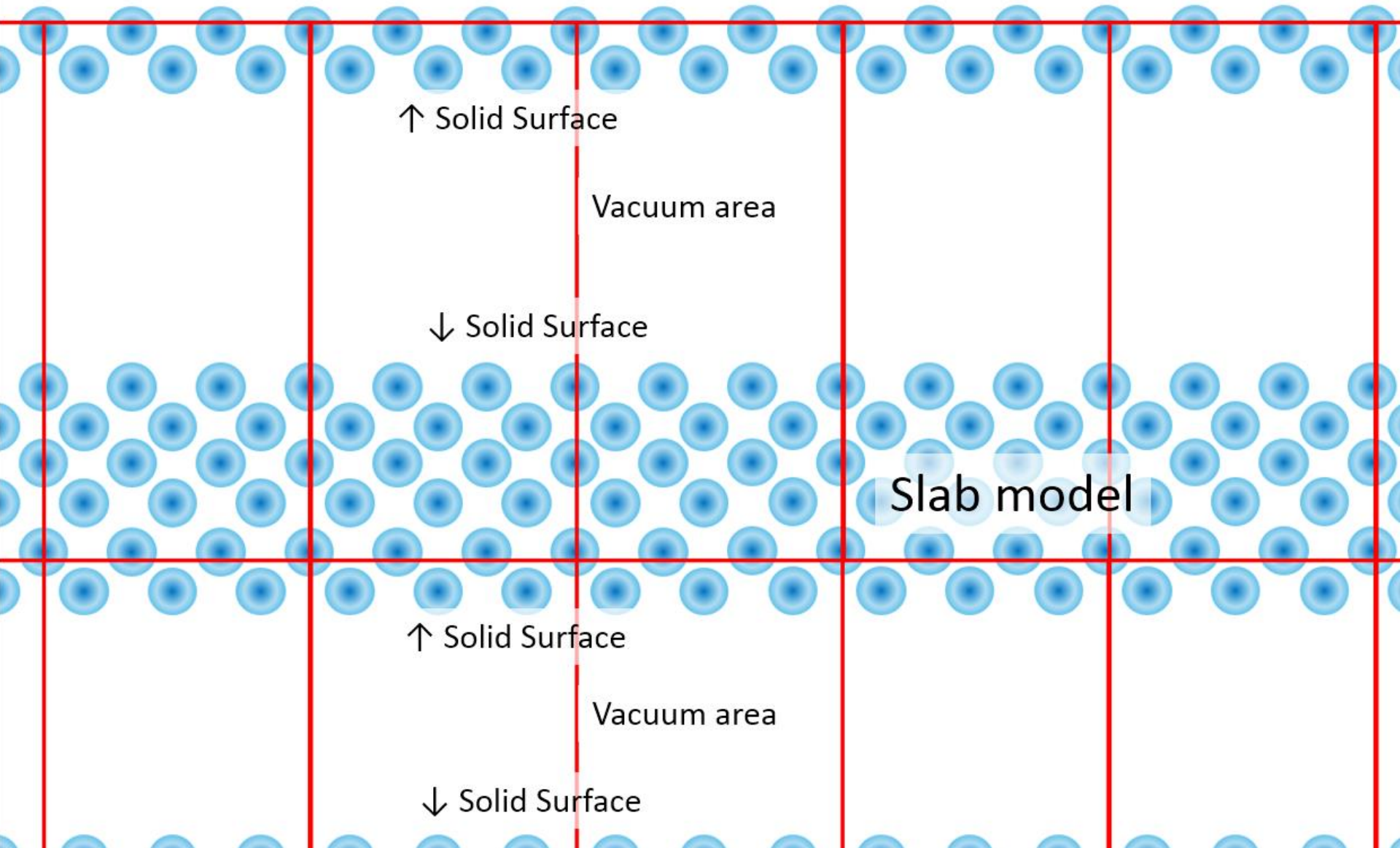


Important reminder for making potential energy surface

In the case of Solid Surface

Long Super cell = Unit cell

Host material atoms

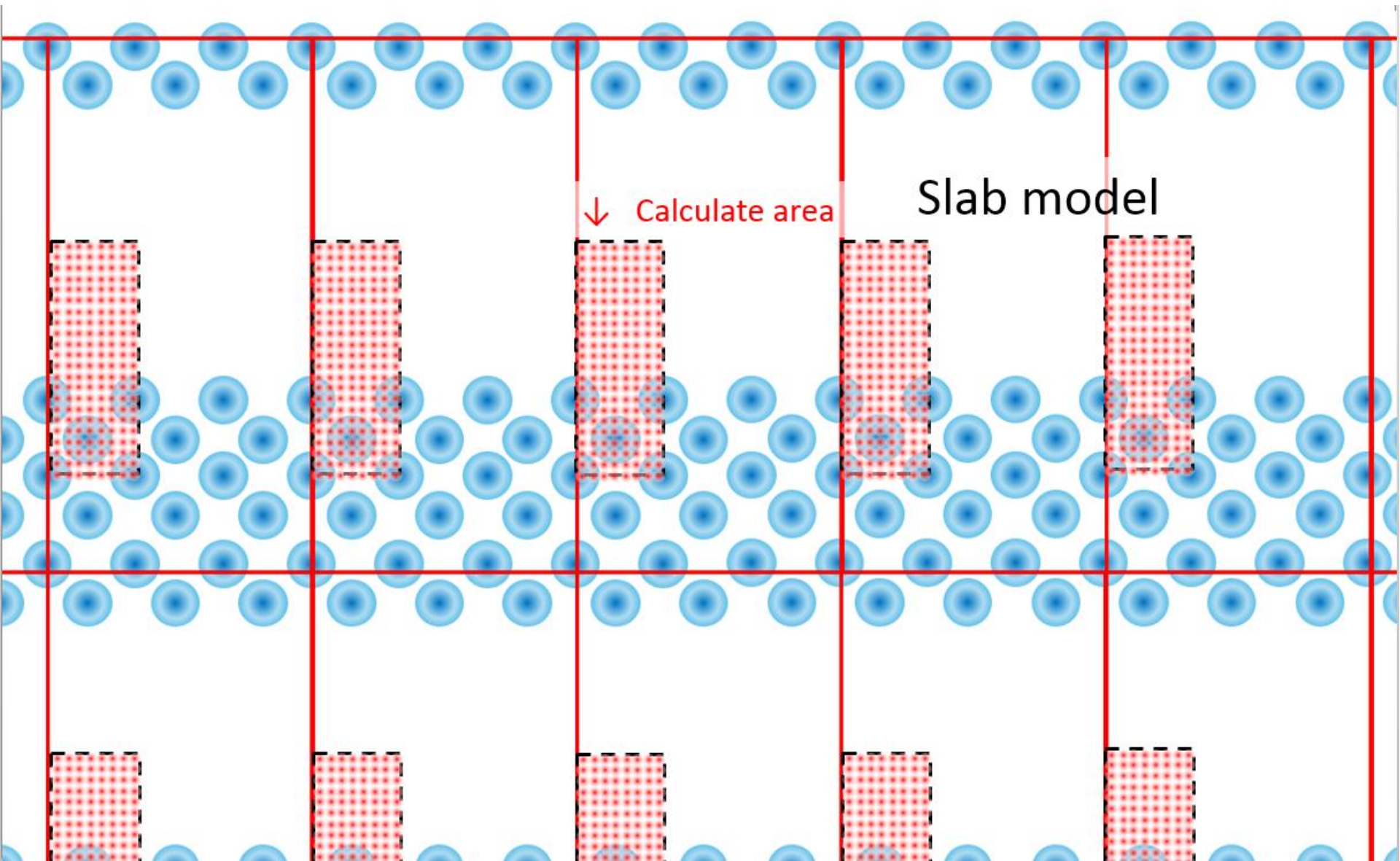


Important reminder for making potential energy surface

In the case of Solid Surface

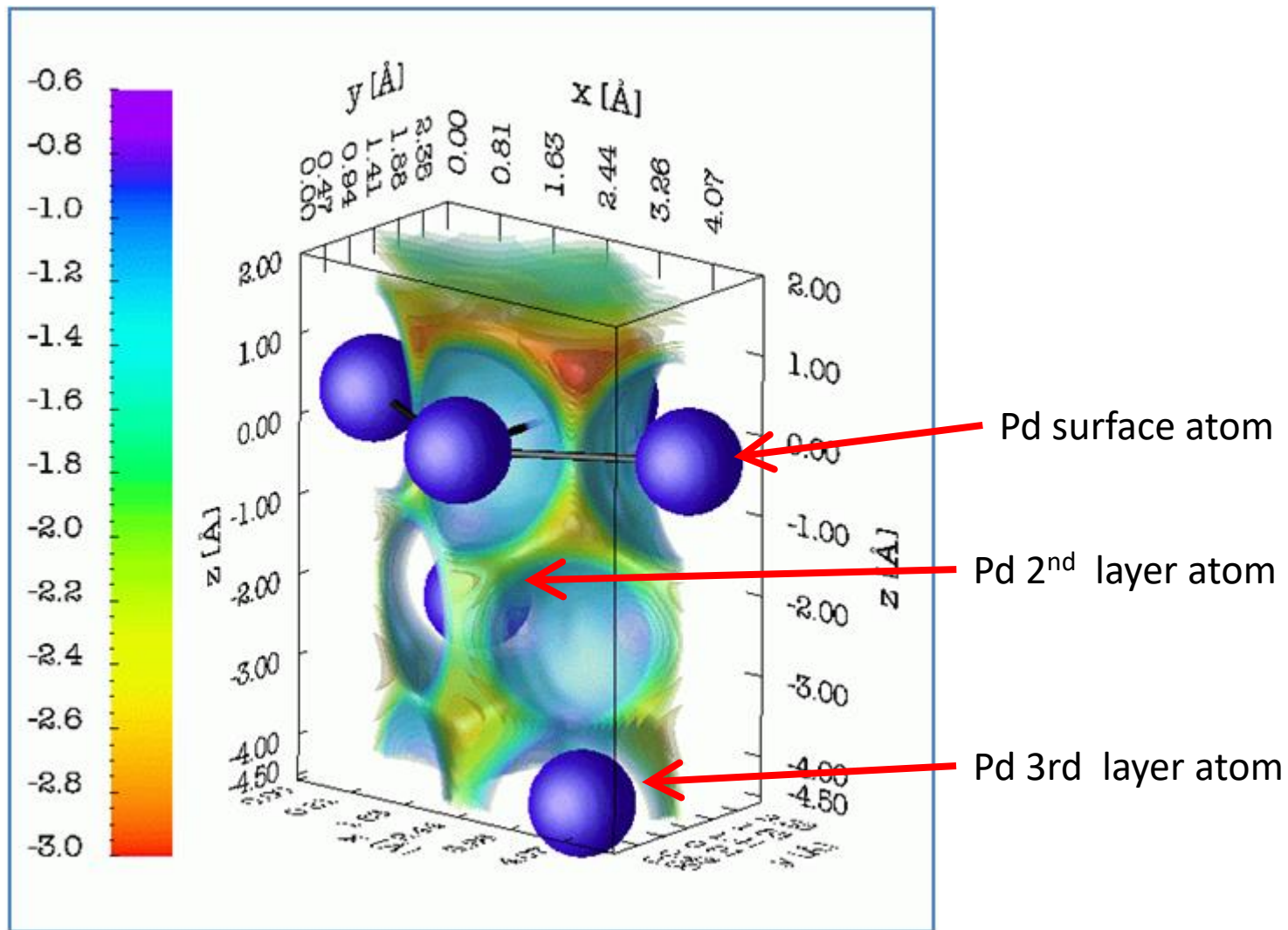
Long Super cell = Unit cell

Host material atoms



Example of $U_n(\mathbf{R})$: Potential energy for hydrogen nucleus motion

$U_0(\mathbf{R}_1)$, $\mathbf{R}_1 = (x_1, y_1, z_1)$ **Single hydrogen atom near Pd(111) surface**



contour surface plots

adiabatic potential energy surface for nucleus motion.

Next we solve the equation (**)
for a hydrogen atom motion

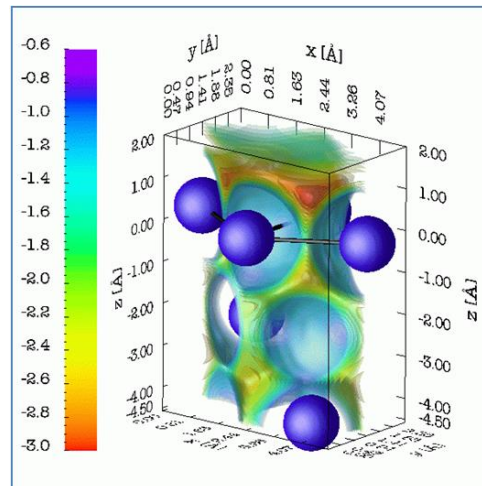
under given potential, $U_0(x, y, z)$.

$$\left[-\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + U_0(x, y, z) \right] \phi_{\omega;0}(x, y, z) = E_{\omega,0} \phi_{\omega;0}(x, y, z)$$

... (**)

(x, y, z) : Hydrogen atom position

$U_0(x, y, z)$



Difference in interaction potential with host

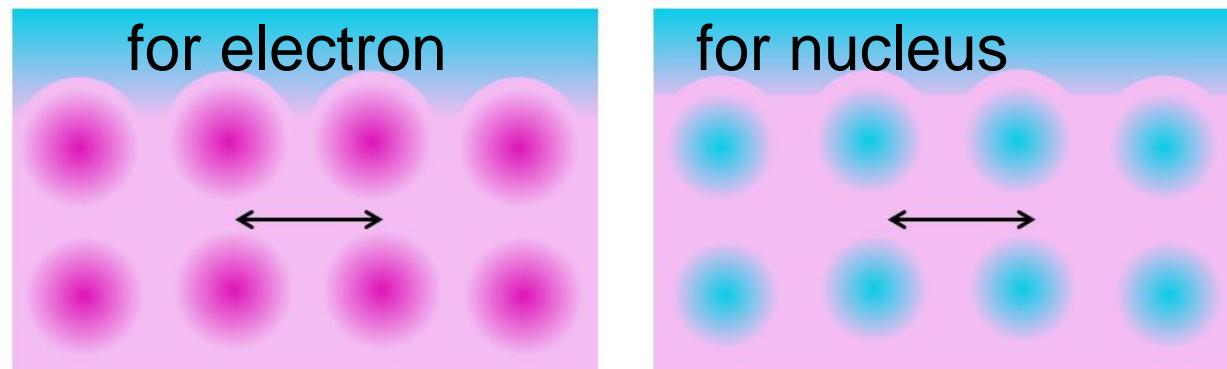
for electron state:

- Attractive potential from host nucleus ✖
- Near surface, attractive potential into bulk side ✖

for nucleus state:

- Repulsive potential from host nucleus ✖
- Near surface, attractive potential into bulk side ✖

Scale length is same in potential for electron and for nucleus



Difference in kinetic energy

for electron state:

$$\left[\left(-\frac{\hbar^2}{2m_e} \right) \left(\frac{\partial^2}{\partial^2 x_i} + \frac{\partial^2}{\partial^2 y_i} + \frac{\partial^2}{\partial^2 z_i} \right) + V(\mathbf{r}, \mathbf{R}) \right]$$

muon

hydrogen

Mass ratio: $\gamma = \frac{M_I}{m_e} = 200, 2000 \sim 5000$

for nucleus state:

$$\left[\left(-\frac{\hbar^2}{2M_I} \right) \left(\frac{\partial^2}{\partial^2 X_I} + \frac{\partial^2}{\partial^2 Y_I} + \frac{\partial^2}{\partial^2 Z_I} \right) + U(\mathbf{R}) \right] = \left[\left(-\frac{\hbar^2}{2\gamma m_e} \right) \left(\frac{\partial^2}{\partial^2 X_I} + \frac{\partial^2}{\partial^2 Y_I} + \frac{\partial^2}{\partial^2 Z_I} \right) + U(\mathbf{R}) \right]$$

$$= \left[\left(-\frac{\hbar^2}{2m_e} \right) \left(\frac{\partial^2}{\partial^2 \xi_I} + \frac{\partial^2}{\partial^2 \eta_I} + \frac{\partial^2}{\partial^2 \zeta_I} \right) + U\left(\frac{\rho}{\sqrt{\gamma}}\right) \right]$$

variable transformation for particle position

$$\sqrt{\gamma} X_I = \xi_I, \sqrt{\gamma} Y_I = \eta_I, \sqrt{\gamma} Z_I = \zeta_I, \sqrt{\gamma} \mathbf{R} = \rho$$

Effective scale length is expanded.



$\times \sqrt{\gamma}$
14,45 ~ 70

Very short de Broglie wave length

Difference in kinetic energy

for electron state:

$$\left[\left(-\frac{\hbar^2}{2m_e} \right) \left(\frac{\partial^2}{\partial^2 x_i} + \frac{\partial^2}{\partial^2 y_i} + \frac{\partial^2}{\partial^2 z_i} \right) + V(\mathbf{r}, \mathbf{R}) \right]$$

for nucleus state:

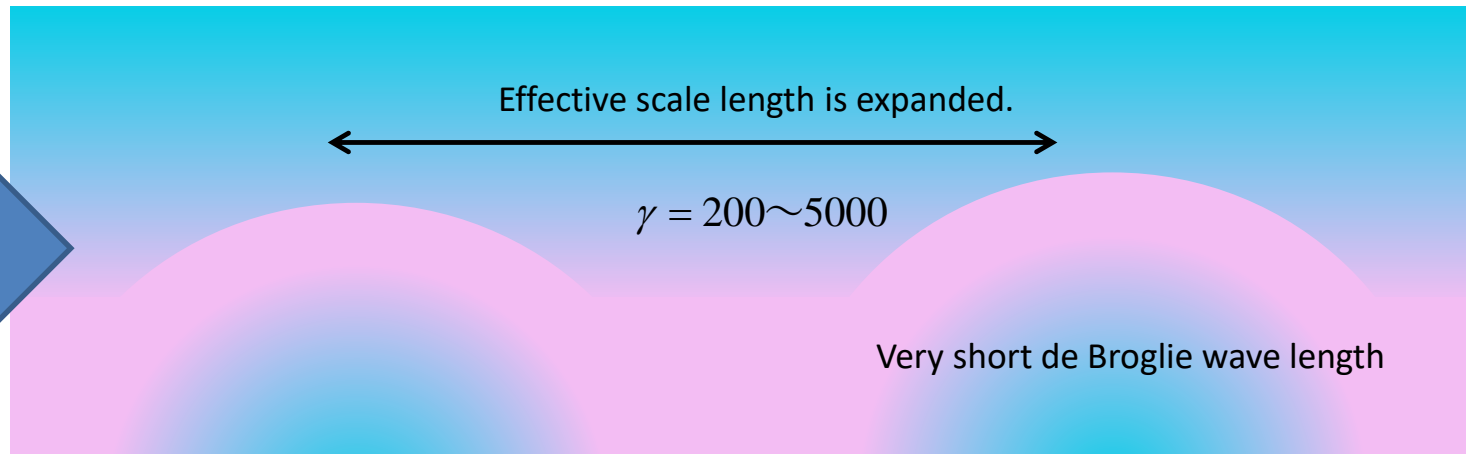
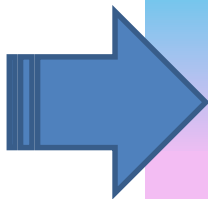
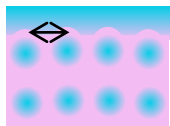
Mass ratio: $\gamma = \frac{M_I}{m_e} = 200 \sim 5000$

$$\left[\left(-\frac{\hbar^2}{2M_I} \right) \left(\frac{\partial^2}{\partial^2 X_I} + \frac{\partial^2}{\partial^2 Y_I} + \frac{\partial^2}{\partial^2 Z_I} \right) + U(\mathbf{R}) \right]$$

Plane wave is unsuitable as basis function describing the wave function of nucleus

$$= \left[\left(-\frac{\hbar^2}{2m_e} \right) \left(\frac{\partial^2}{\partial^2 \xi_I} + \frac{\partial^2}{\partial^2 \eta_I} + \frac{\partial^2}{\partial^2 \zeta_I} \right) + U\left(\frac{\rho}{\gamma}\right) \right]$$

variable transformation for particle position
 $\gamma x_i = \xi_i, \gamma y_i = \eta_i, \gamma z_i = \zeta_i, \gamma R = \rho$



Very short de Broglie wave length

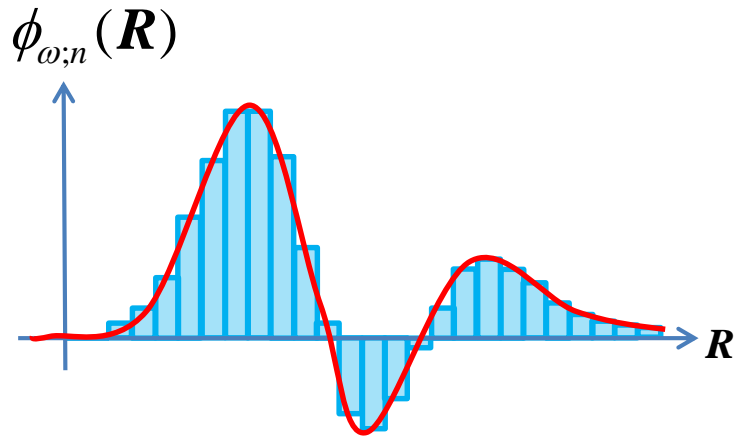
For describing the nucleus state.

Dividing up space into small areas,

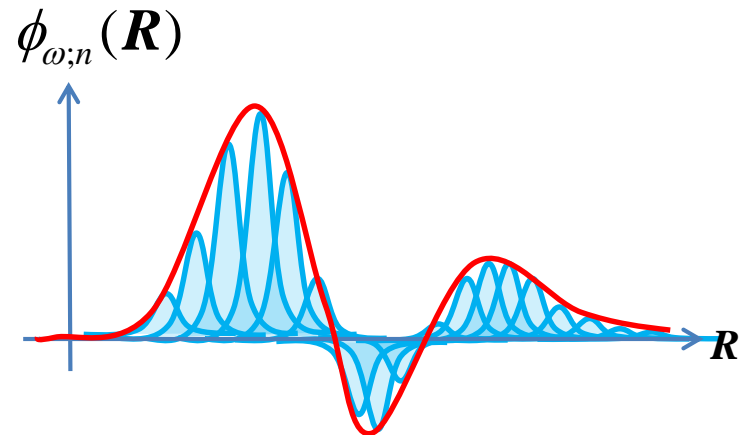
localized function at each area can be suitable as basis function.



Rectangular function



Gauss function



linear combination of basis functions: $\phi_{\omega}(x, y, z) = \sum_{\ell}^{N_G} C_{\omega,\ell} G_{\ell}(x, y, z)$

Variation method

$$\sum_{\ell'} [H_{\ell\ell'} - E_{\omega} S_{\ell\ell'}] C_{\omega,\ell} = 0$$

$$H_{\ell\ell'} = K_{\ell\ell'} + U_{\ell\ell'}$$

$$K_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_{\ell}(x, y, z) \left[-\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \right] G_{\ell'}(x, y, z) dx dy dz$$

$$U_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_{\ell}(x, y, z) U_0(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

$$S_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_{\ell}(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

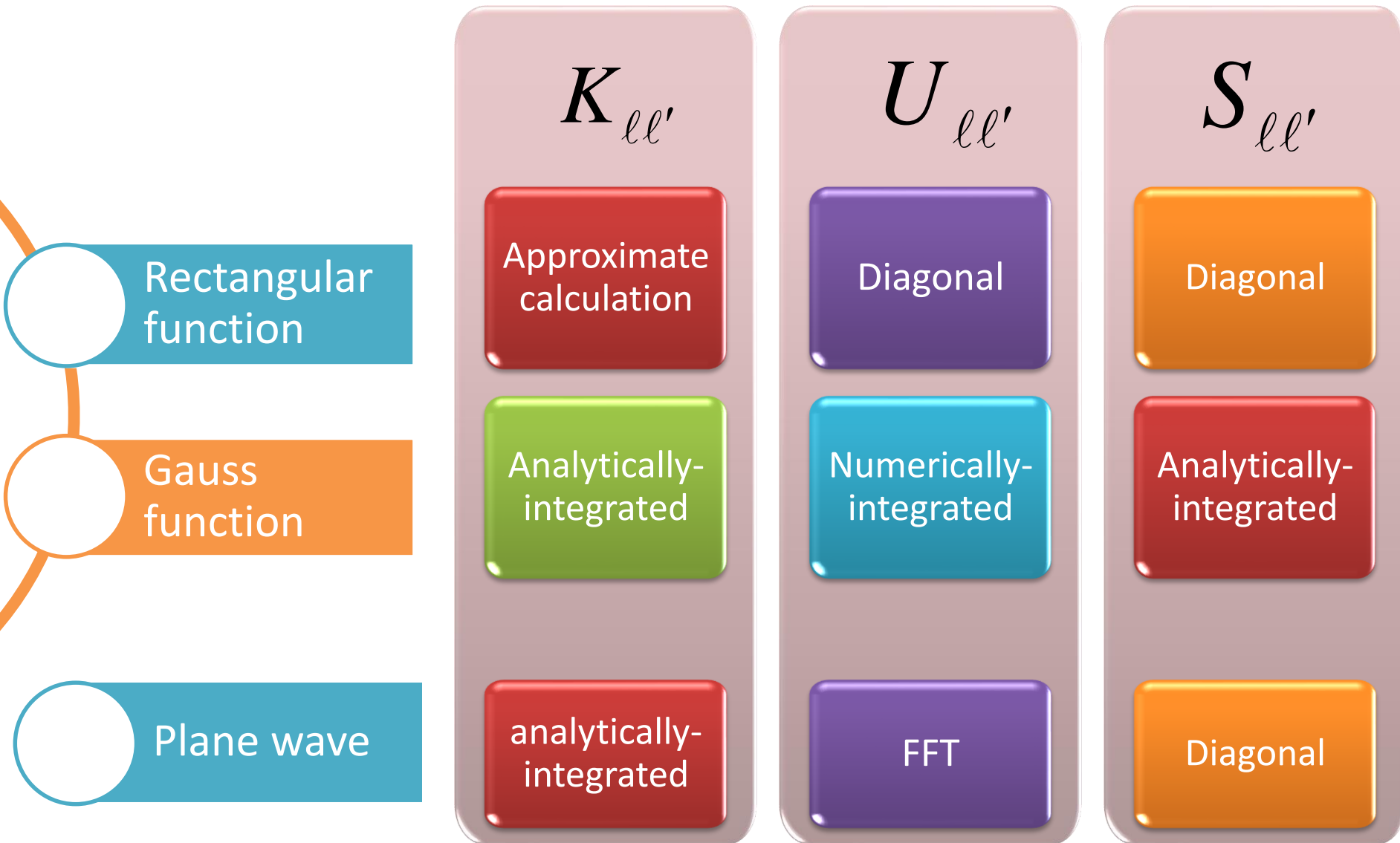
Secular equation

$N_G \times N_G$

N_G : number of basis functions

$$\begin{vmatrix} H_{11} - E S_{11} & H_{12} - E S_{12} & \cdots & H_{1N_G} - E S_{1N_G} \\ H_{21} - E S_{21} & H_{22} - E S_{22} & \cdots & H_{2N_G} - E S_{2N_G} \\ \vdots & \vdots & \ddots & \vdots \\ H_{N_G 1} - E S_{N_G 1} & H_{N_G 2} - E S_{N_G 2} & \cdots & H_{N_G N_G} - E S_{N_G N_G} \end{vmatrix} = 0$$

For describing the nucleus state.

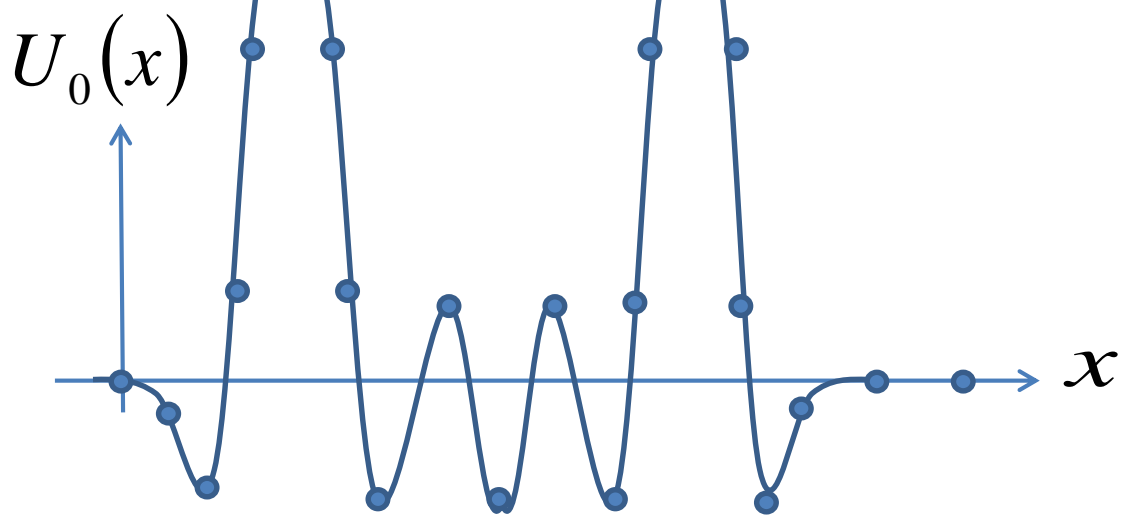


For describing the nucleus state.

	$K_{ll'}$	$U_{ll'}$	$S_{ll'}$
Rectangular function	Approximate calculation	Diagonal	Diagonal
Gauss function	Analytically-integrated	Numerically-integrated	Analytically-integrated
Plane wave	analytically-integrated	FFT	Diagonal

$U_{\ell\ell'}$

Numerically-integrated



$$U_n = U_0(x_n) \quad \text{Value at Sampling point } R_n \quad n = 0, 1, 2, 3, \dots, N-1$$

Discrete Fourier transform

$$U_n \rightarrow \tilde{U}_k$$

Inverse Fourier transform

$$U(x) = \frac{1}{N} \sum_{k=0}^{N-1} \tilde{U}_k \exp\left(\frac{2\pi i}{L} k \cdot x\right)$$

Not reproduce the blue solid line for continuous x : $U_0(x)$

k	0	1	2	...	$\frac{N-1}{2}$	$\frac{N-1}{2}+1$...	$N-2$	$N-1$
\tilde{U}	\tilde{U}_0	\tilde{U}_1	\tilde{U}_2	...	$\tilde{U}_{\frac{N-1}{2}}$	$\tilde{U}_{\frac{N-1}{2}+1}$...	\tilde{U}_{N-2}	\tilde{U}_{N-1}

Right circular shift by half data

k	$-\frac{N-1}{2}$...	-1	0	+1	...		$\frac{N-1}{2}$
\tilde{U}	$\tilde{U}_{\frac{N-1}{2}+1}$...	\tilde{U}_{N-1}	\tilde{U}_0	\tilde{U}_1	...		$\tilde{U}_{\frac{N-1}{2}}$

$$U(x) = \frac{1}{N} \sum_{k=-\frac{N-1}{2}}^{\frac{N-1}{2}} \tilde{U}_k \exp\left(\frac{2\pi i}{L} k \cdot x\right)$$

It can solve the missing part between sampling points.

$$U_{\ell\ell'} = \iiint_{-\infty}^{+\infty} G_{\ell}(x, y, z) U_0(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

is analytically integrated

$$G_\ell(x, y, z) = \left(\frac{8\beta_x\beta_y\beta_z}{\pi^3} \right)^{1/4} \exp\left\{-\beta_x(x-X_\ell)^2 - \beta_y(y-Y_\ell)^2 - \beta_z(z-Z_\ell)^2\right\}$$

3D-Gauss function whose center locates at glide point (X_ν, Y_ν, Z_ν)

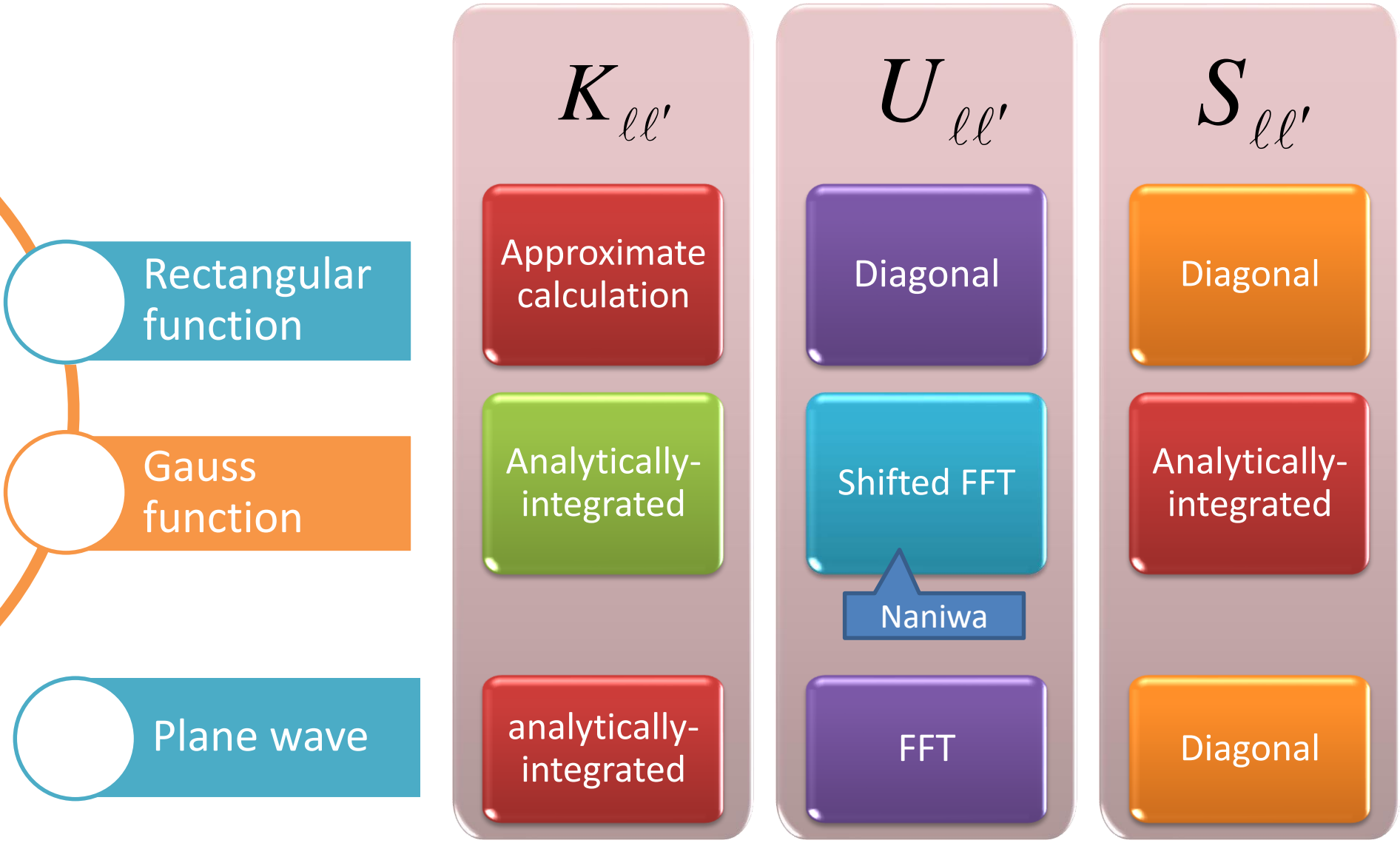
$$S_{\ell\ell'} = \exp\left\{-\frac{\beta_x}{2}(X_\ell - X_{\ell'})^2 - \frac{\beta_y}{2}(Y_\ell - Y_{\ell'})^2 - \frac{\beta_z}{2}(Z_\ell - Z_{\ell'})^2\right\}$$

$$K_{\ell\ell'} = \left(-\frac{\hbar^2}{2M} \right) \cdot S_{\ell\ell'} \cdot \left[\beta_x \left\{ \beta_x (X_\ell - X_{\ell'})^2 - 1 \right\} + \beta_y \left\{ \beta_y (Y_\ell - Y_{\ell'})^2 - 1 \right\} + \beta_z \left\{ \beta_z (Z_\ell - Z_{\ell'})^2 - 1 \right\} \right]$$

$$U_{\ell\ell'} = \frac{S_{\ell\ell'}}{N_1 N_2 N_3} \sum_{k_1=-(N_1-1)/2}^{(N_1-1)/2} \sum_{k_2=-(N_2-1)/2}^{(N_2-1)/2} \sum_{k_3=-(N_3-1)/2}^{(N_3-1)/2} \tilde{U}_{k_1, k_2, k_3} \\ \times \exp\left[-\frac{1}{8\beta_x} \left(\frac{2\pi(k_1)}{L_x} \right)^2 - \frac{1}{8\beta_y} \left(\frac{2\pi(k_2)}{L_y} \right)^2 - \frac{1}{8\beta_z} \left(\frac{2\pi(k_3)}{L_z} \right)^2 \right. \\ \left. + i \left(\frac{\pi(k_1)}{L_x} \right) (X_\ell + X_{\ell'}) + i \left(\frac{\pi(k_2)}{L_y} \right) (Y_\ell + Y_{\ell'}) + i \left(\frac{\pi(k_3)}{L_z} \right) (Z_\ell + Z_{\ell'}) \right]$$

All matrix elements are given by analytical from with use of shifted FFT.

For describing the nucleus state.



Naniwa-Static: It is a nucleus version of the first principles quantum state calculations.

Equation for a single hydrogen atom motion:

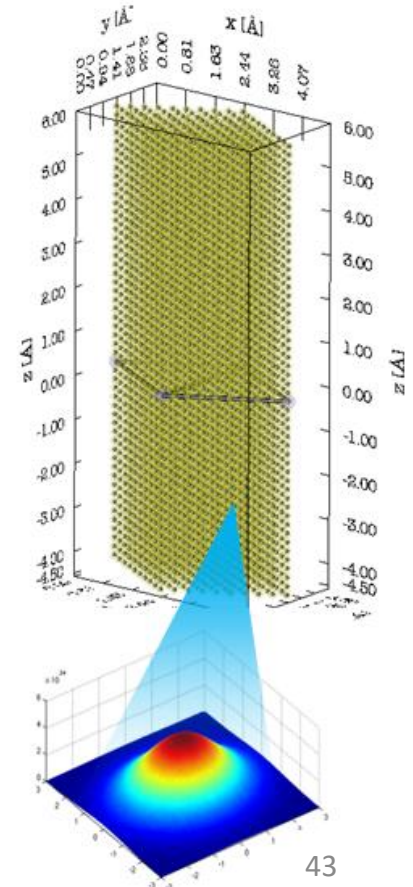
$$\left[-\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + U_0(x, y, z) \right] \phi_{\omega;0}(x, y, z) = E_{\omega,0} \phi_{\omega;0}(x, y, z) \quad \dots (**)$$

(x, y, z) : Hydrogen atom position

The wave function for Hydrogen atom motion near the surface has position localized character. Then we described it by linear combination of the 3D-Gauss functions located at grid points, (ξ_l, η_l, ζ_l) .

$$\phi_{\omega}(x, y, z) = \sum_{\ell}^{N_G} C_{\omega,\ell} G_{\ell}(x, y, z) \quad \dots (***)$$

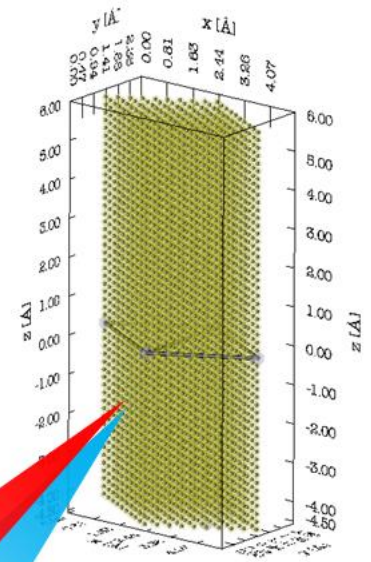
$$G_{\ell}(x, y, z) = \left(\frac{\sigma_x \sigma_y \sigma_z}{\pi^3} \right)^{1/4} \exp \left\{ -\sigma_x (x - \xi_{\ell})^2 - \sigma_y (y - \eta_{\ell})^2 - \sigma_z (z - \zeta_{\ell})^2 \right\}$$



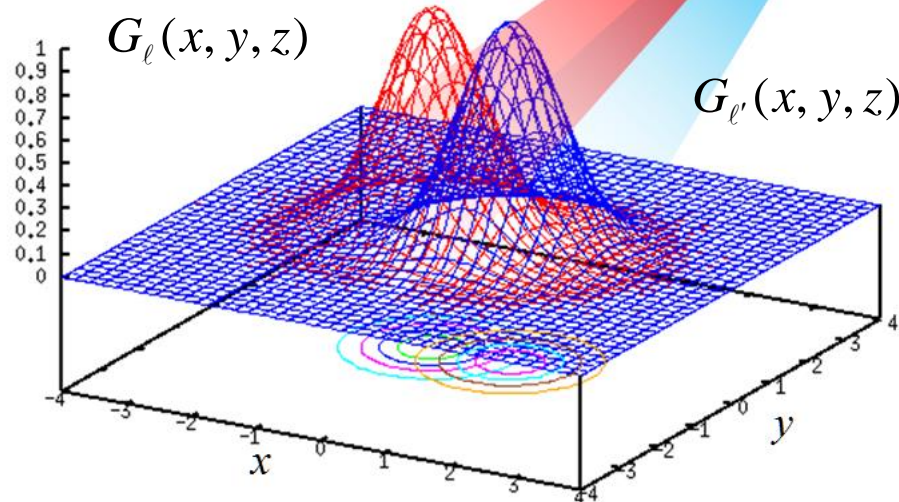
We solve eq.() by the variation method.**

The ℓ th 3D-Gauss function located at the ℓ th grid point, $(\xi_\ell, \eta_\ell, \varsigma_\ell)$ is given by

$$G_\ell(x, y, z) = \left(\frac{\sigma_x \sigma_y \sigma_z}{\pi^3} \right)^{1/4} \exp \left\{ -\sigma_x (x - \xi_\ell)^2 - \sigma_y (y - \eta_\ell)^2 - \sigma_z (z - \varsigma_\ell)^2 \right\}$$



The nearest neighbor 3D-Gauss functions have to be overlapped.

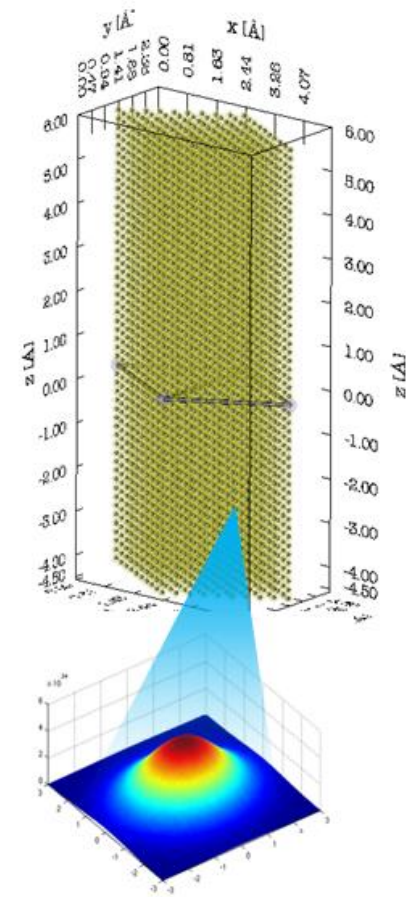


nearest neighbor $\langle \ell, \ell' \rangle$

Important reminder for making the grid set of 3D-Gauss functions

You have to check the convergence of numerical results by the some trial runs for various:

- ✓ Number of grid points N_G
- ✓ The decay factors $\sigma_x, \sigma_y, \sigma_z$



Variation method for eq.(**) by use of the trial function (***)

$$\sum_{\ell'} [H_{\ell\ell'} - E_{\omega} S_{\ell\ell'}] C_{\omega,\ell} = 0$$

$$H_{\ell\ell'} = K_{\ell\ell'} + U_{\ell\ell'}$$

$$K_{\ell\ell'} = \iint \int_{-\infty}^{+\infty} G_{\ell}(x, y, z) \left[-\frac{\hbar^2}{2M_{\text{Hydrogen}}} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \right] G_{\ell'}(x, y, z) dx dy dz$$

$$U_{\ell\ell'} = \iint \int_{-\infty}^{+\infty} G_{\ell}(x, y, z) U_0(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

$$S_{\ell\ell'} = \iint \int_{-\infty}^{+\infty} G_{\ell}(x, y, z) G_{\ell'}(x, y, z) dx dy dz$$

Secular equation $N_G \times N_G$ N_G : number of Gauss functions

$$\begin{vmatrix} H_{11} - E S_{11} & H_{12} - E S_{12} & \cdots & H_{1N_G} - E S_{1N_G} \\ H_{21} - E S_{21} & H_{22} - E S_{22} & \cdots & H_{2N_G} - E S_{2N_G} \\ \vdots & \vdots & \ddots & \vdots \\ H_{N_G1} - E S_{N_G1} & H_{N_G2} - E S_{N_G2} & \cdots & H_{N_GN_G} - E S_{N_GN_G} \end{vmatrix} = 0$$

We can get the wave functions for hydrogen atom motion with their eigen energies.

Example : H-Ir(111)2x2 ($\theta=1/4ML$)

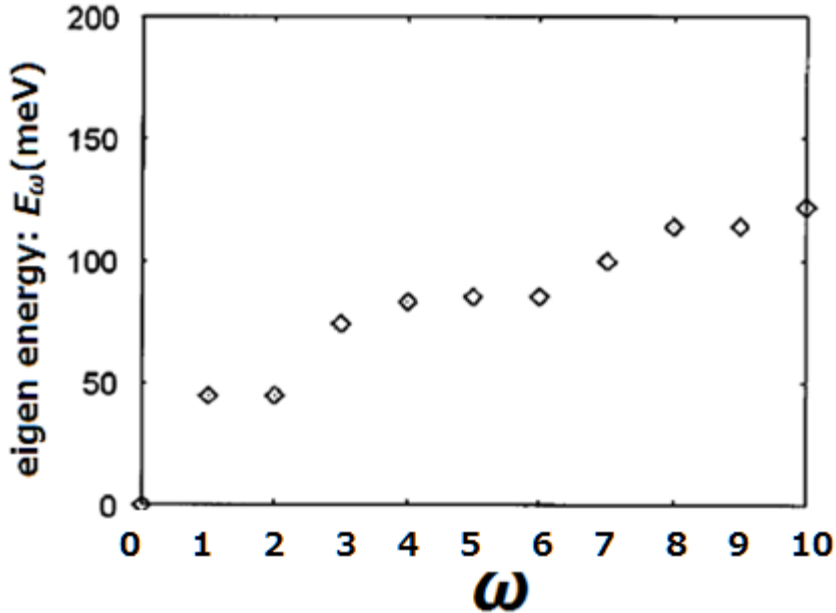
Eigen energy for quantum states of a hydrogen atom motion on the surface

$$\begin{vmatrix} H_{11} - E S_{11} & H_{12} - E S_{12} & \dots & H_{1N_G} - E S_{1N_G} \\ H_{21} - E S_{21} & H_{22} - E S_{22} & \dots & H_{2N_G} - E S_{2N_G} \\ \vdots & \vdots & \ddots & \vdots \\ H_{N_G 1} - E S_{N_G 1} & H_{N_G 2} - E S_{N_G 2} & \dots & H_{N_G N_G} - E S_{N_G N_G} \end{vmatrix} = 0$$

Secular equation

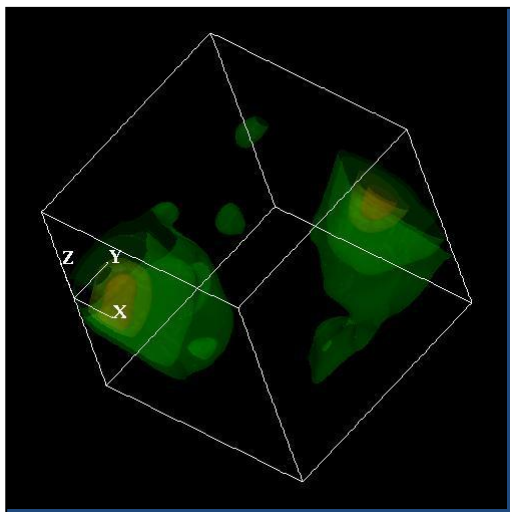


Eigen energy

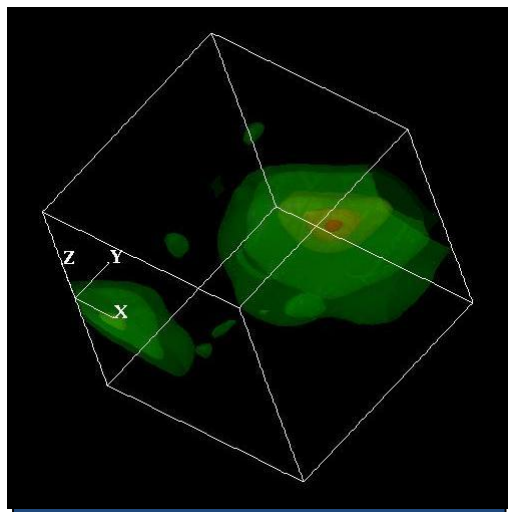


We can get the wave functions for hydrogen atom motion with their eigenenergies.

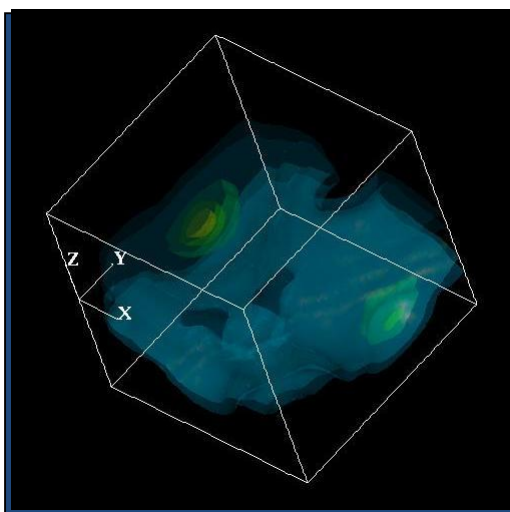
EX.
H-Ir(111)2x2
($\theta=1/4\text{ML}$)



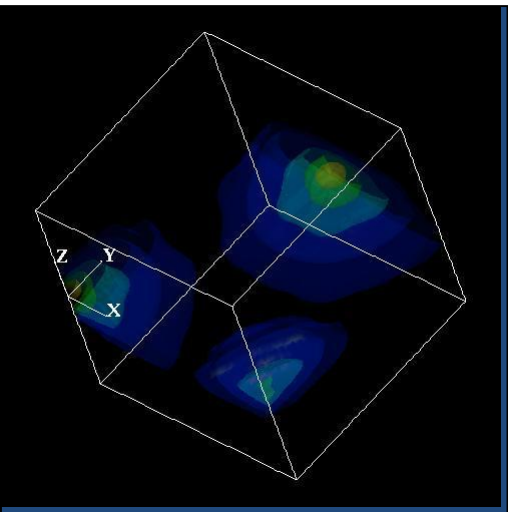
The 1st excited state



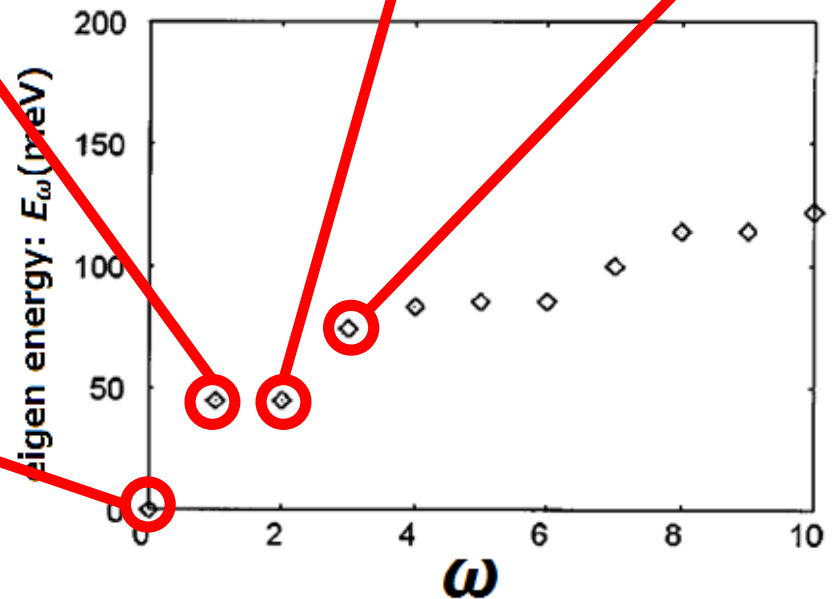
The 2nd excited state



The 3rd excited state



ground state



The expectation value of an observable, O , at ω th state is given by

$$\begin{aligned}\langle O \rangle_{\omega} &= \iiint \phi_{\omega}^*(x, y, z) \hat{O} \phi_{\omega}(x, y, z) dx dy dz \\ &= \sum_{q=1}^{N_G} \sum_{q'=1}^{N_G} C_{\omega,q}^* C_{\omega,q'} \iiint G_q(x, y, z) \hat{O} G_{q'}(x, y, z) dx dy dz\end{aligned}$$

It is easy to calculate $\langle O \rangle_{\omega}$ from obtained eigenvectors $(C_{\omega,1}, C_{\omega,2}, C_{\omega,3}, \dots, C_{\omega,N_G})$.

Example of observable

Position: $\widehat{\vec{R}} = (x, y, z)$

Momentum: $\widehat{\vec{p}} = (\hat{p}_x, \hat{p}_y, \hat{p}_z)$

$$\hat{p}_x = -i\hbar \frac{\partial}{\partial x}, \hat{p}_y = -i\hbar \frac{\partial}{\partial y}, \hat{p}_z = -i\hbar \frac{\partial}{\partial z}$$

Distribution of position:

$$\rho_{\text{position}}(x', y', z') = \delta(x' - x) \cdot \delta(y' - y) \cdot \delta(z' - z)$$

Distribution of momentum:

$$\rho_{\text{momentum}}(\vec{p}) = \delta(p_x - \hat{p}_x) \cdot \delta(p_y - \hat{p}_y) \cdot \delta(p_z - \hat{p}_z)$$

Electron density distribution: $\rho_{n,\omega}(\mathbf{r})$

$$\Psi_{n,\omega}(\mathbf{r}, \mathbf{R}) = \psi_{n;\mathbf{R}}(\mathbf{r}) \cdot \phi_{\omega;n}(\mathbf{R}), \quad \mathbf{r} = (r_1, r_2, r_3, \dots, r_i, \dots, r_{n_e})$$

$$\begin{aligned} \rho_{n,\omega}(r) &= \iint |\psi_{n;\mathbf{R}}(\mathbf{r})|^2 \cdot |\phi_{\omega;n}(\mathbf{R})|^2 \delta(r - r_1) d\mathbf{R} dr \\ &= \int \rho_{n;\mathbf{R}}(r) \cdot |\phi_{\omega;n}(\mathbf{R})|^2 d\mathbf{R} \end{aligned}$$

From DFT based ab initio electron state calculation $\rightarrow \rho_{n;\mathbf{R}}(r) = \sum_{\text{occ.} l} |\varphi_{l;\mathbf{R}}(r)|^2$

* Even in the adiabatic approximation, isotope effects appear in the electron states.

$|\phi_{\omega;n}(\mathbf{R})|^2 \Rightarrow$ broad, delocalized

as change of $\text{T}^+ \Rightarrow \text{D}^+ \Rightarrow \text{H}^+ \Rightarrow \mu^+$

The fastest wave

浪速

Naniwa



2. System Requirements [Recommended]

❑ Hardware

- ✓ Computer Processor: 3.0GHz Intel Core i7 or better
- ✓ Computer Memory: 8 GB or more

❑ Operating System: 64-bit Linux distribution

❑ Software

- (1) Naniwa package file : NaniwaSykXXXXXXXXX.tar
XXXXXXXXX is version number. (ex. NaniwaSyk20170303.tar)
- (2) ab initio electronic state calculation package
ex. State-Senri, Osaka2K, RSPACE, ... GAUSSIAN, VASP, ...

For install

- (1) Compiler: Intel® Fortran compiler
- (2) Math library: Intel® Math Kernel Library (MKL)

For use

- (0) Unix shell: csh or tcsh
- (1) Text editor: vi , mule ... (as you like)
- (2) Visualization tool: gnuplot, OpenDX, XCrySDen

For install

(1) Compiler: Intel® Fortran compiler

<http://software.intel.com/en-us/articles/intel-composer-xe/>

(2) Math library: Intel® Math Kernel Library (MKL)

<http://software.intel.com/en-us/articles/intel-mkl/>

For use

(1) Text editor: vi , mule ... (as you like)

(2) Visualization tool: gnuplot, OpenDX

“gnuplot”

: a command-line program that can make 2- and/or 3-dimensional plots of functions and data.

<http://www.gnuplot.info/>

“OpenDX”

: IBM Visualization Data Explorer program.

<http://www.opendx.org/> (dead link: see appendix)

“XCrySDen”

: a crystalline and molecular structure visualization program.

<http://www.xcrysden.org/>

3. How to install

- (1) Copy the package file “NaniwaSykXXXXXXXXX.tar” to your home directory.
XXXXXXXXX is version number. (ex. NaniwaSyk20130110A.tar)
- (2) Decompress the package file.

Type following command lines:

```
tar xvf NaniwaSykXXXXXXXXX.tar [Enter]
```

You can get following directory on your home directory.

Installed directory structure

[your home directory]

|-- naniwa -+

|-- doc: documents

|-- SRC : source codes

|-- bin: execution programs

|-- work: working directory

|-- qs : script for Grid Engine (Job scheduler)

|-- etc -+

|-- OpenDX: files for Visualization software OpenDX®

|-- potential: files for making potential data

| -- example: input data examples

|-- results: simulation results

(3) Move to SRC directory

Type :

```
cd ./naniwa/SRC [Enter]
```

(4) Compile the source codes

Type :

```
make all [Enter]
```

The compilation must finish without errors, although warnings may be possible.

Error message

```
fastDFT3D_MKL.f(5): error #7002: Error in opening the compiled module file.  
Check INCLUDE paths. [MKL_DFTI]  
    Use MKL_DFTI  
-----^  
fastDFT3D_MKL.f(10): error #6457: This derived type name has not been declared.  
[DFTI_DESCRIPTOR]  
    type(DFTI_DESCRIPTOR), POINTER :: hand  
-----^  
compilation aborted for fastDFT3D_MKL.f (code 1)  
make: *** [naniwa] Error 1
```

*Please ask to your system administrator to compile mkl_dfti.f90.
Please do followings as root (super user).*

```
cd /opt/intel/mkl/include  
ifort -c mkl_dfti.f90
```

** You can find some hints to solve your problem in "Makefile".*

(5) Move the execution file to binary directory

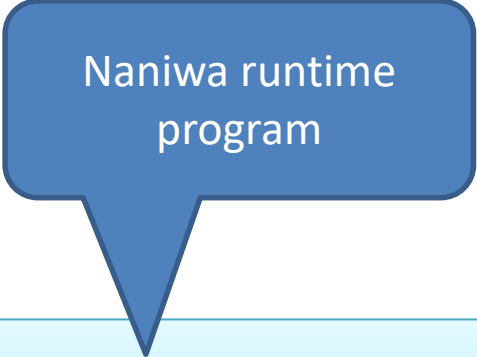
Type:

```
make all-install [Enter]
```

Check the execution files under bin directory

Type :

```
ls ../bin [Enter]
```



Naniwa runtime
program

You can see following files:

```
BandStructure ChargeState eigen2spec naniwaSS_run poteng2xsf  
BHGCAR2DX chgcar2xsf makeBHGCAR pec state2dx  
cellexpander chkPOTENG makePOTENG poteng2dx state2xsf
```

(6) test run.

Move to work directory.

```
cd [Enter]
```

```
cd ./naniwa/work [Enter]
```

Copy the test data.

```
cp -r ../etc/example/test ./ [Enter]
```

```
cd test [Enter]
```

```
ls [Enter]
```

```
INSET POTENG run.csh
```

Execute the program

```
csh ./run.csh [Enter]
```

```
machine name
```

```
Job start date & time
```

```
Naniwa start date & time
```

```
finish naniwa
```

```
Naniwa terminate date & time
```

Cf. if you use the Sungrid Engine, type `qsub ./run.csh` to submit your job.

Check output files

ls -al [Enter]

```
total 104
drwxr-xr-x 3 hiro staff   72 Aug 20 12:53 .
drwxr-xr-x 3 hiro staff  17 Aug 20 12:52 ..
-rw-r--r-- 1 hiro staff 478 Aug 20 12:52 INSET
drwxr-xr-x 2 hiro staff 4096 Aug 20 12:55 kpoint0000
-rw-r--r-- 1 hiro staff 8305 Aug 20 12:55 LOG
-rw-r--r-- 1 hiro staff 90085 Aug 20 12:52 POTENG
-rw-r--r-- 1 hiro staff  290 Aug 20 12:52 run.csh
```

cat LOG [Enter]

If successful, you can get the following LOG file:

```
*****  
*      Naniwa code      *  
*****
```

```
NaniwaSYk Version 2012.11.22  
created by NAKANISHI, Hiroshi  
OSAKA UNIVERSITY
```

```
-----  
INSET title :  
*
```

```
Calculaton mode :      0  
*** Full calculation
```

```
-----  
Atomic Mass = 1.00000000000000 (proton)  
              = 1.672621637000000E-027 (kg)
```

```
-----  
Number of node index (No1,No2,No3)  
          9      9      9
```

```
Gaussian decay factors (1/Angstrom Angstrom)  
SigmaX= 5.00000000000000  
SigmaY= 5.00000000000000  
SigmaZ= 5.00000000000000
```

```
-----  
** No correction by symmetry.
```

```
12 0.1882954  
13 0.1882954  
14 0.1882954  
15 0.1882954  
16 0.1882954  
17 0.1931621  
18 0.1931621  
19 0.1931621
```

```
-----  
MSG:checking the probality of each eigenstates  
All k-point calculation, which you requested,are done.
```

```
*****  
* Naniwa code is terminated *  
*****
```

Program codes and files

- INPUT/ OUTPT files

Naniwa users manual Ch.4

§ 4.2

How to use

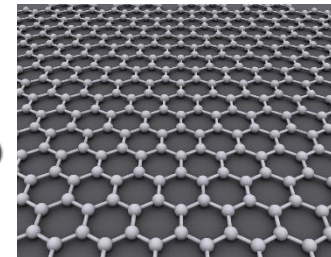
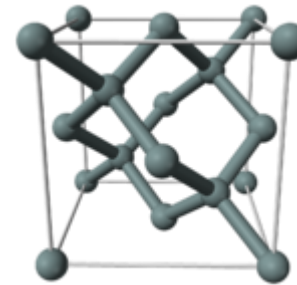
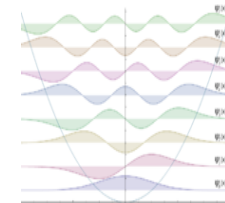
Ch. 5

- Making 3D-potential energy surface for quantum particle in material. § 5.1
- Making the simulation setting § 5.2
- Executing the simulation program. § 5.3
- Check simulation results § 5.4
- How to see the Eigenenergies as a function of quantum number.
- How to see the Wave functions

Naniwa practice menu

Quantum states of μ^+ , H^+ , D^+ , T^+

1. near Pd (111) surface
2. on Pd (001) surface
3. in 3D harmonic potential
4. in Si crystal.
5. on graphene
6. in any potentials as you like





Appendix

How to install “OpenDX” : IBM Visualization Data Explorer program.

<http://www.opendx.org/> (dead link)

When your OS is Ubuntu, type following command in terminal to install OpenDX.

```
sudo apt install dx [Enter]
```

```
[sudo] password for XXXXX: Your password [Enter]
```

```
Reading package lists ... Done
```

```
Building ....
```

```
.....
```

```
Do you want to continue?[Y/n] Y [Enter]
```

```
.....
```

```
Processing triggers for libc-bin (2.273ubuntu1) ...
```